

PERSONAL NUCLEAR-WASTE-DISPOSAL (rock mechanics based) RESEARCH EXPERIENCES 1980-2010

(USA*, CANADA, SWEDEN*, UK, NORWAY)

Nick Barton, (with TerraTek, NGL, then as
NB&A)

A WIDE RANGE OF EXPERIENCES AND SOME USEFUL LESSONS (in TEN locations)

1. BWIP: Basalt waste isolation project, Hanford, Washington, USA 1981
2. ONWI: Office of nuclear waste isolation Heated Block Test, TerraTek, USA 1980-1981
3. AECL/CANMET: Atomic Energy of Canada, coupled modelling using B-B-B prior to UDEC-BB 1983
- 4a. DoE: Reviews of two consortia's rock mass characterization of Yucca Mountain, USA 1998, 2001
- 4b. AMBIENT and THERMAL OVER-CLOSURE consequences
5. SKB: Swedish Nuclear Fuels studies: Stripa SCV site characterization and validation 1986 -1990
6. Äspö ZEDEx velocity, modulus. APSE pillar study, tunnel characterization 1991 -1992, 2003
7. Simpevarp, surface and 2x 1000m deep borehole core Q-histogram 2003-4
8. Forsmark: surface and 2x 1000m deep borehole core Q-histogram 2007 – 2008
9. UK Nirex Geotechnical Consultant: Sellafield Repository and RCF studies. NGI/Atkins. 1990 -1996
10. Norwegian Hirdalen ILW 'mini-repository' 1992-1994. (To be replaced).

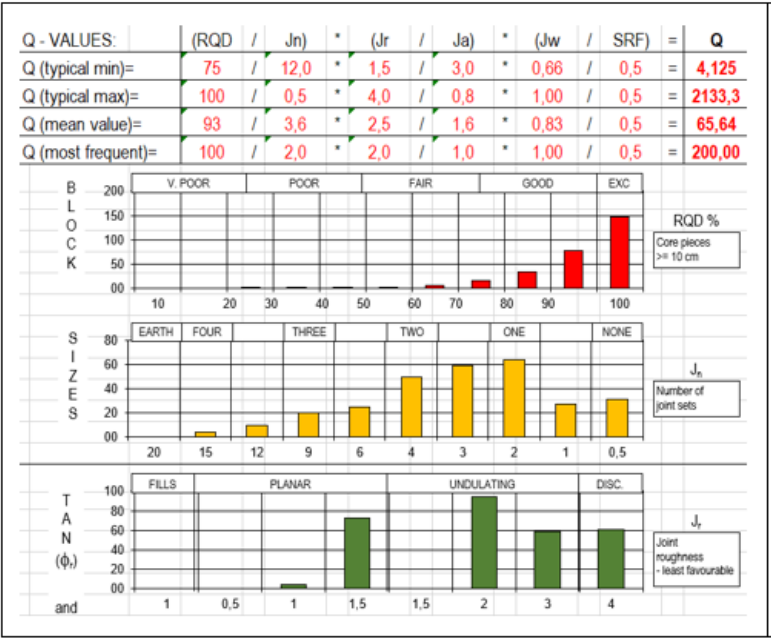


EXAMPLES:

CHARACTERIZATION STUDIES FOR SKB IN SWEDEN (as NB&A)

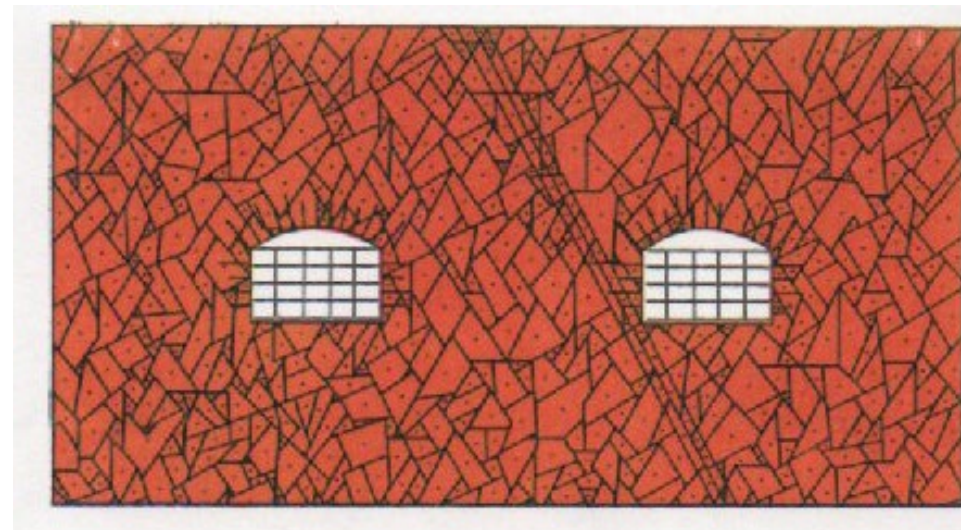
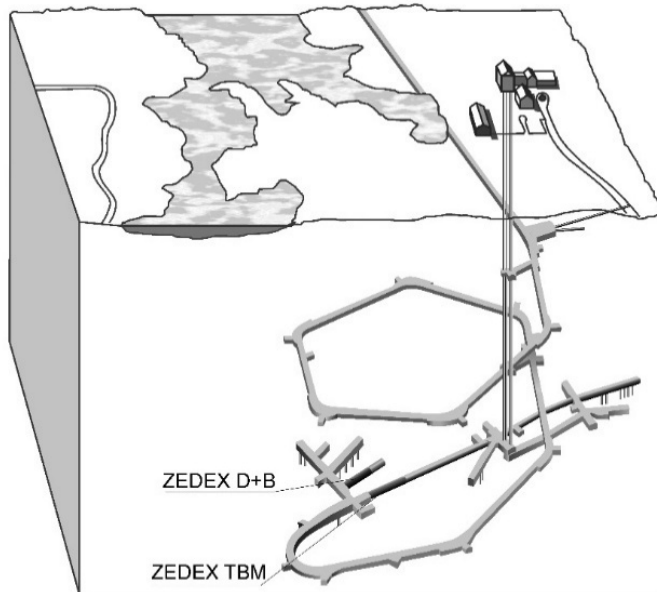
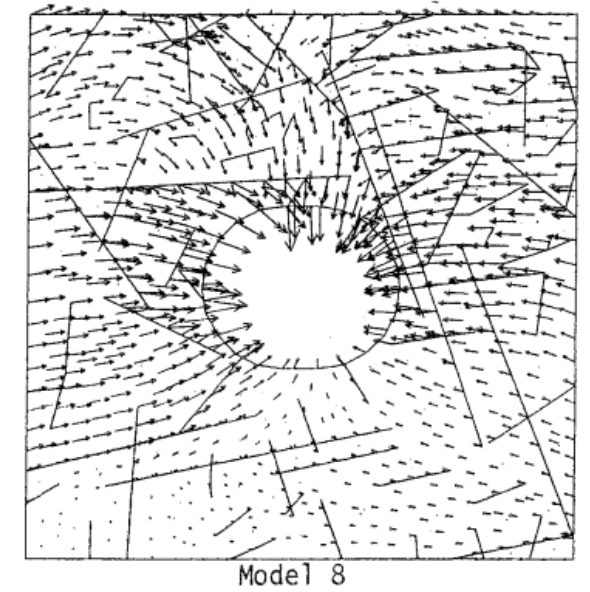
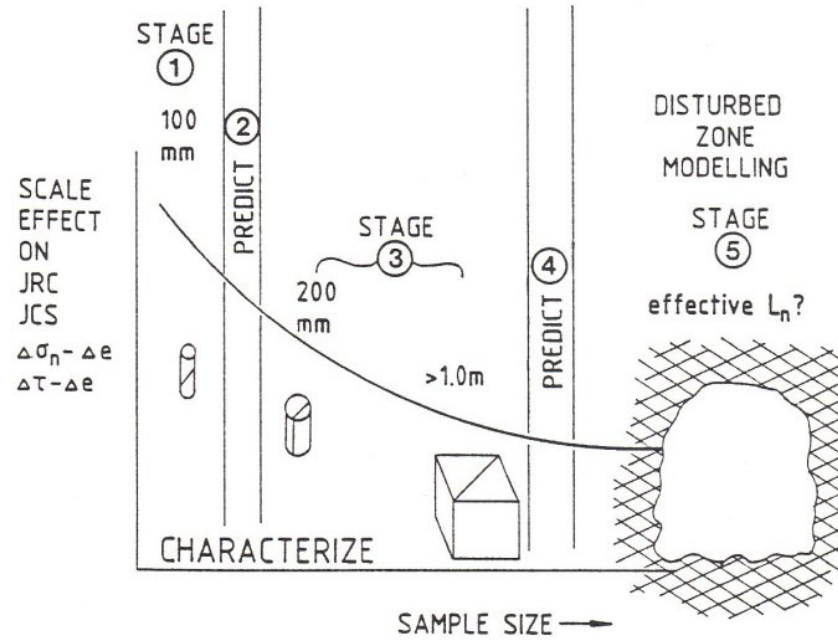
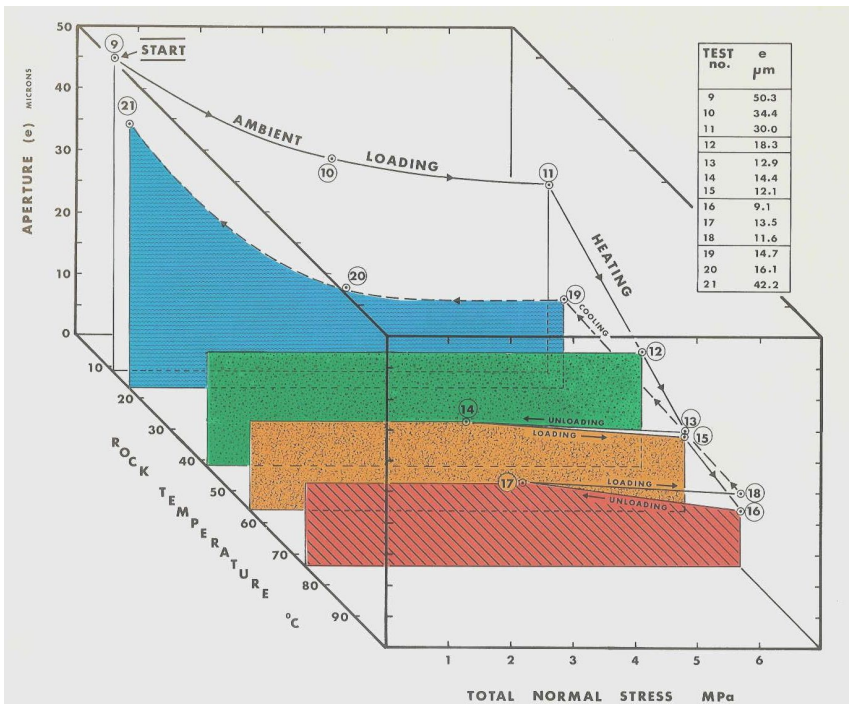
DEEP CORE, KFM-01A AT FORSMARK.

EXPERIMENTAL TUNNEL (APSE / Äspö)



‘FRACTURE ZONES’ AT SURFACE AND IN DEEP CORE KSH-01A AT SIMPEVARP.

FIRST 3 Q-PARAMETERS STATISTICS IN APSE PILLAR TUNNEL.



HEATED BLOCK TEST: ONWI

SCV, STRIPA, UDEC-BB: SKB

ZEDEZ Vp – Qc at Äspö: SKB

ILW CAVERNS, UK NIREX

1). BWIP – HANFORD, WASHINGTON STATE (1981)

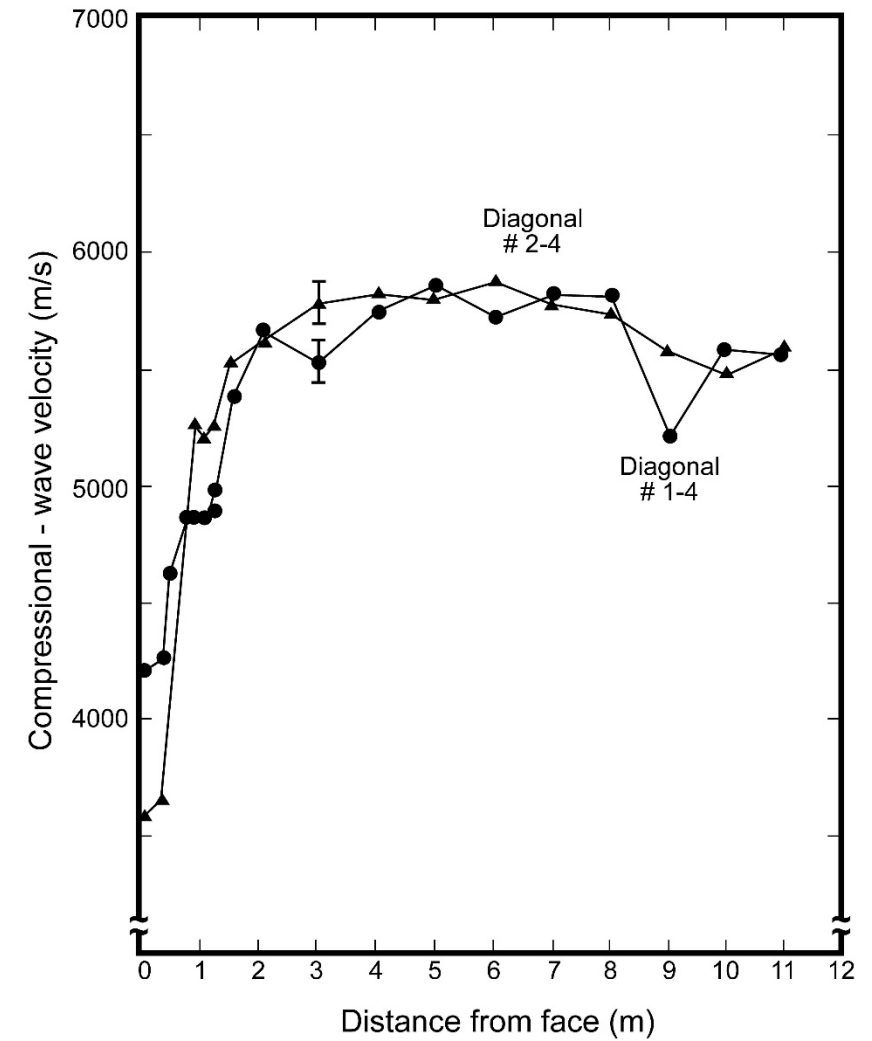
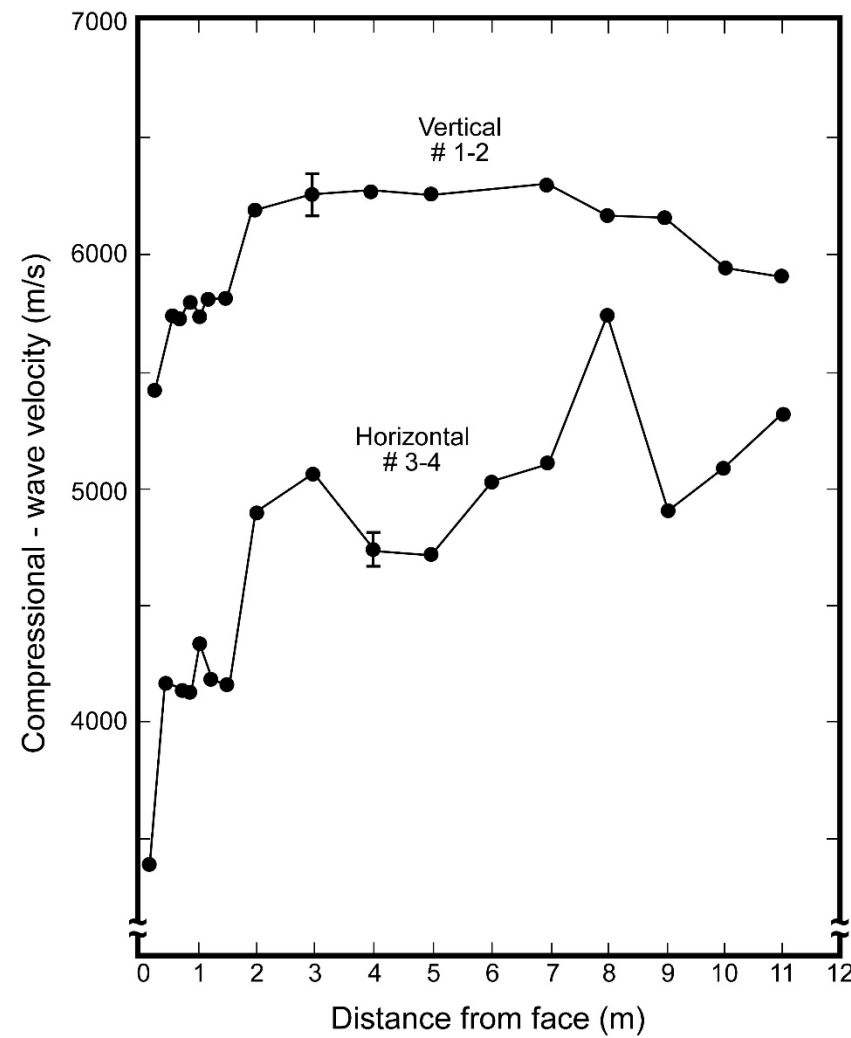
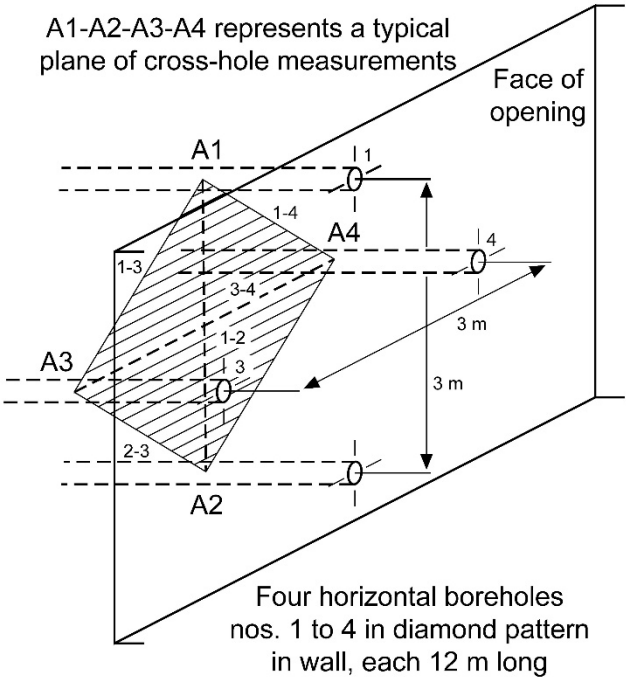


THICK EXPOSURE OF THE
'PLANNED' COHASSET FLOW
ALONG THE COLOMBIA RIVER
FAR WEST OF HANFORD SITE,
WASHINGTON STATE

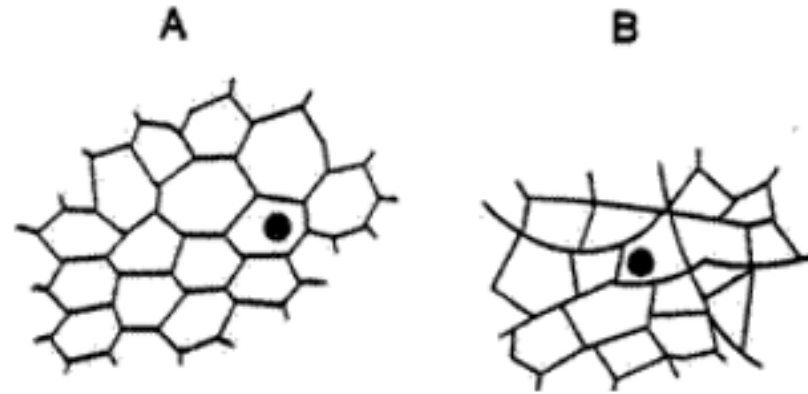


(OF COURSE) BASALT HAS TOO MUCH PERMEABLE
JOINTING – AND SERIOUS EDZ BEHAVIOUR IS
LIKELY: A HOPELESS USA 'CHOICE'.

EDZ INVESTIGATIONS IN
SHALLOW COLUMNAR
BASALT IN THE BWIP
PROJECT. King et al.
1980

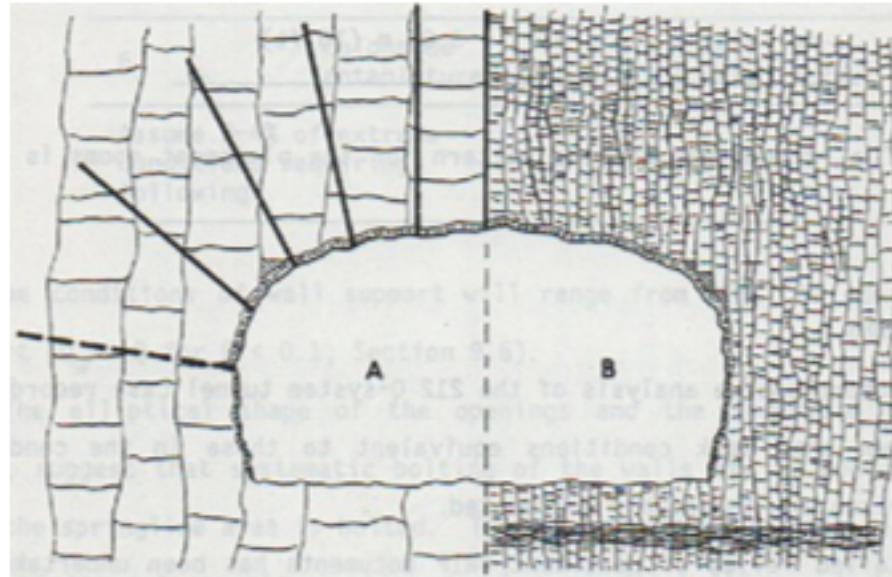
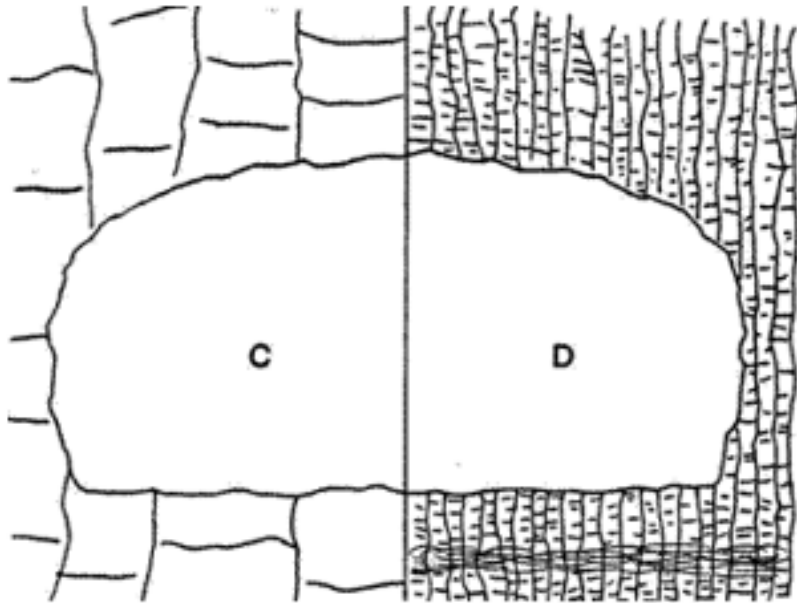


Cross-hole velocity: vertical, diagonal, horizontal EDZ. (Crack density, saturation).



COLUMNAR
BASALT AND
THE MORE
RANDOM
'ENTABLATURE'.

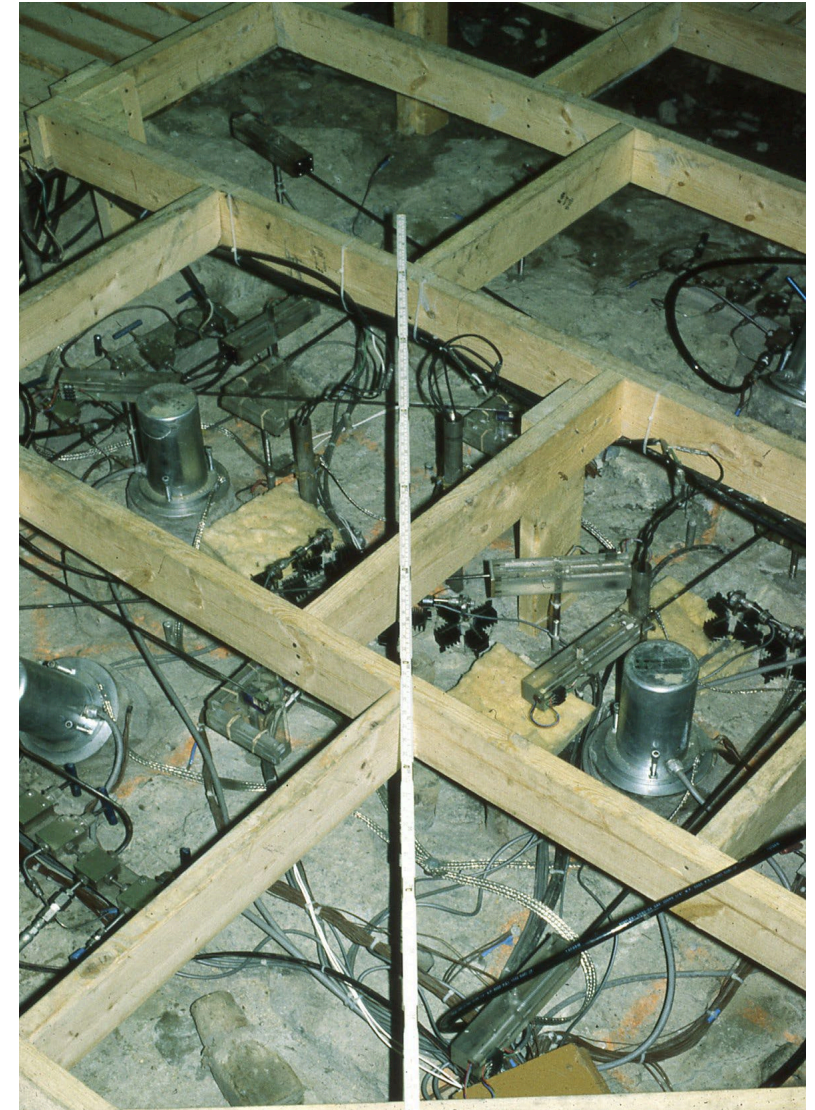
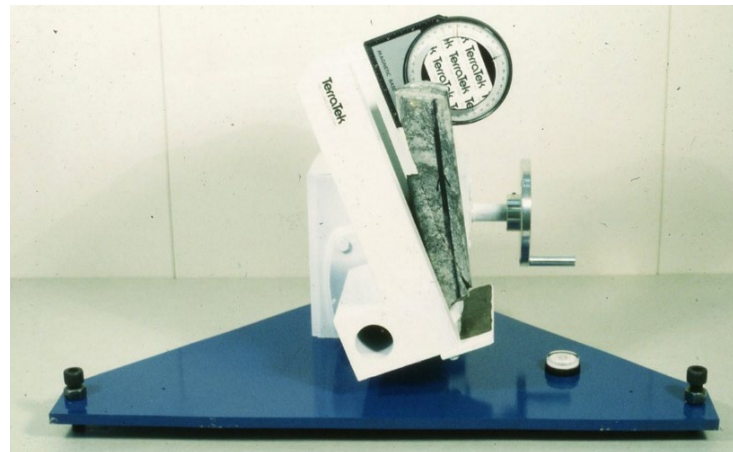
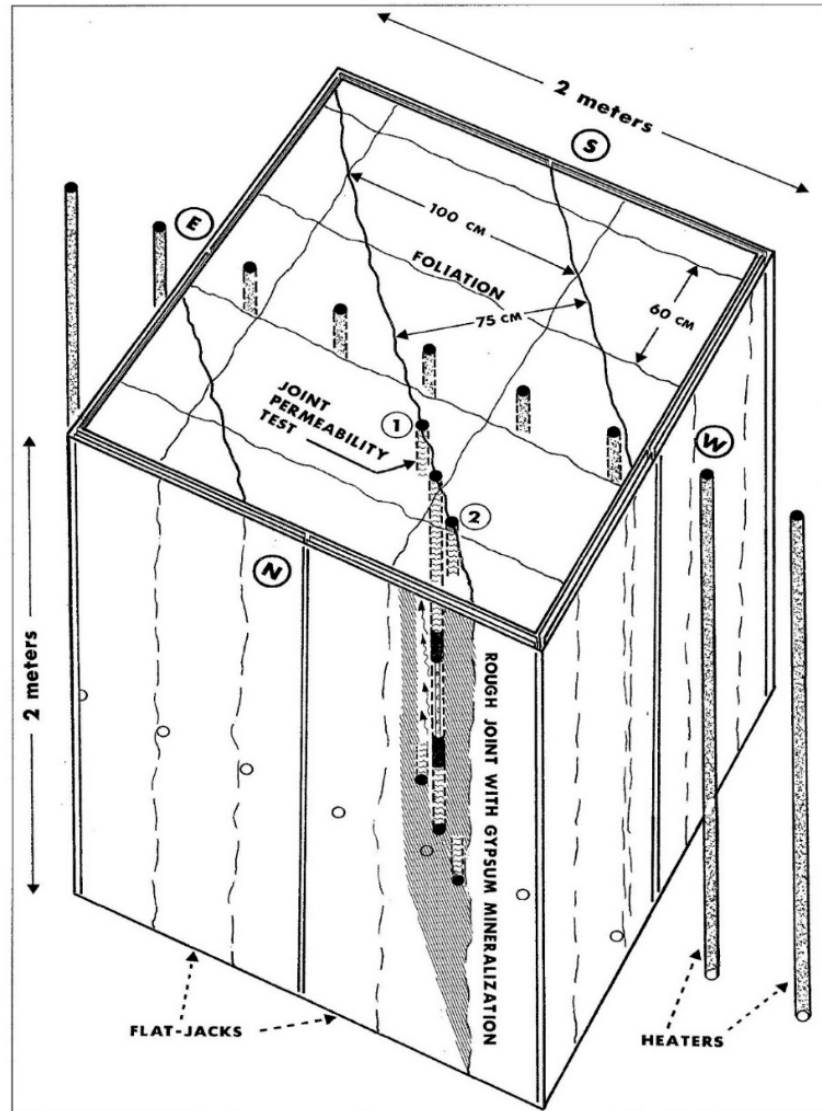
CORE DISCING:
900m DEEP,
ANISOTROPIC
STRESSES: 60,
40, 20MPa.

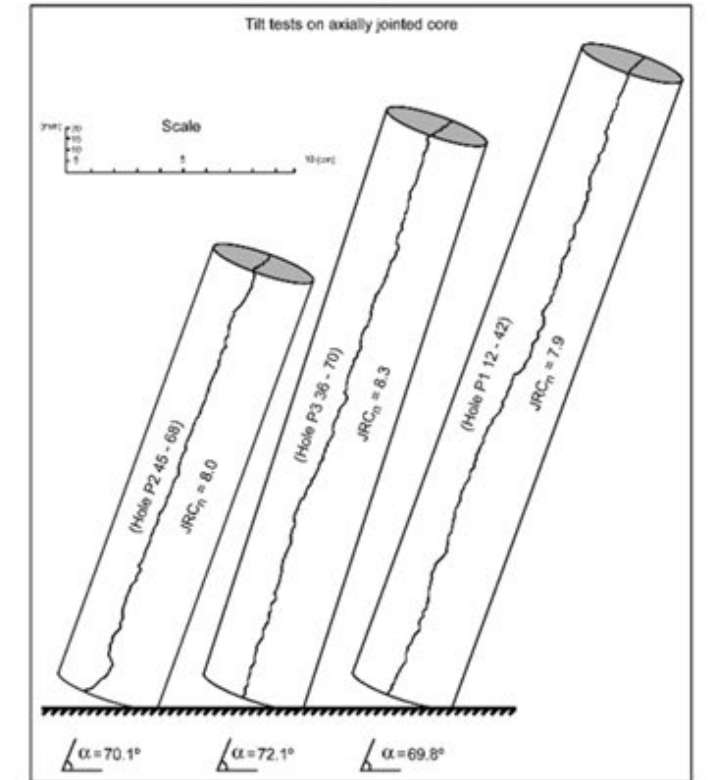
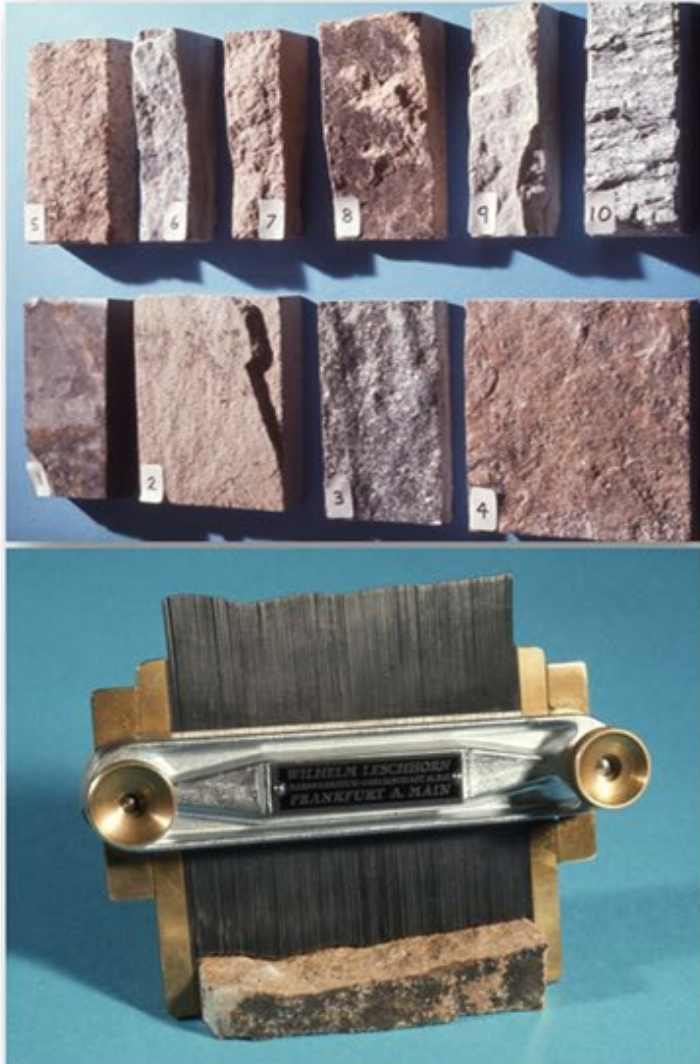


DIFFERENT
SUPPORT
NEEDS IN A
REPOSITORY.

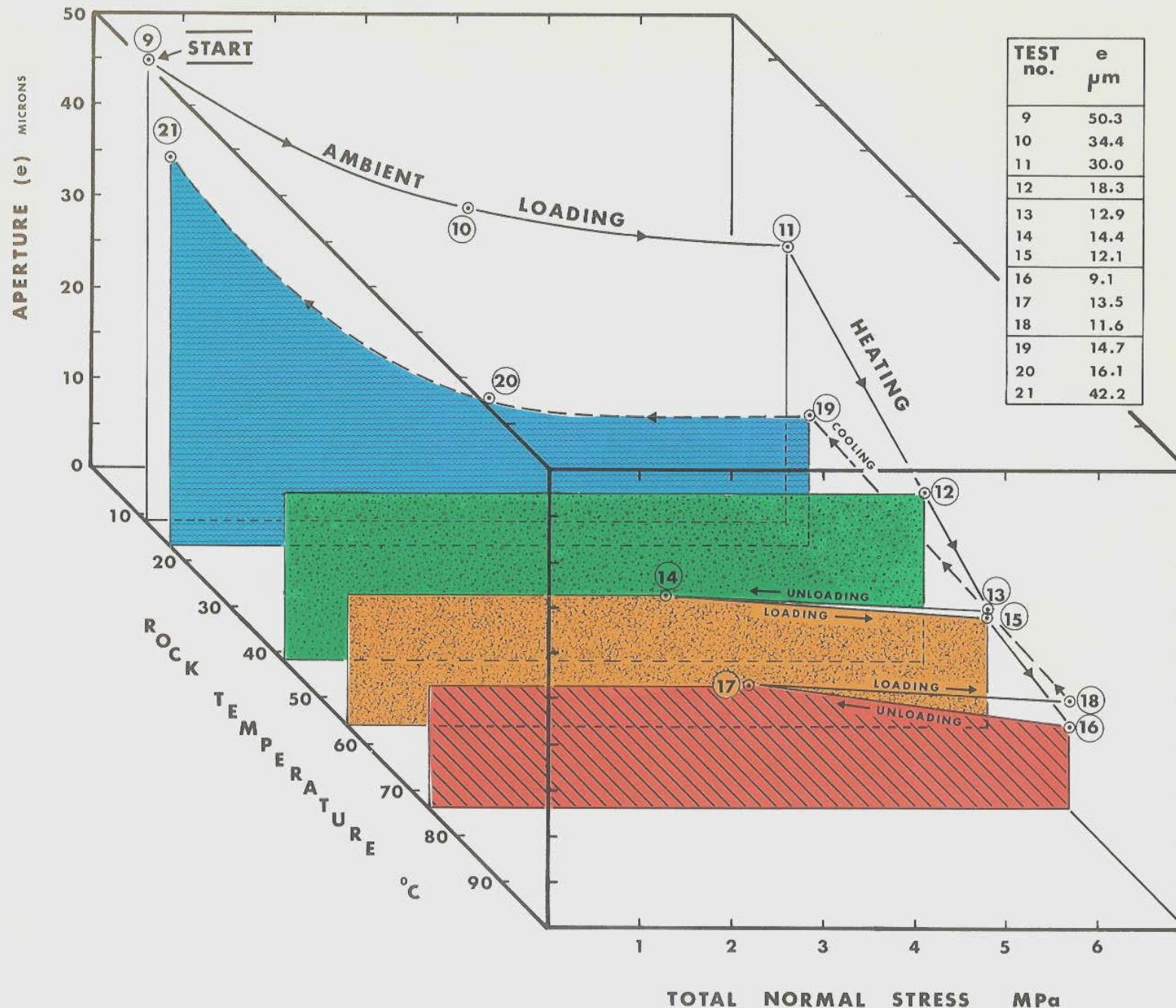
Barton, 1982

2) HEATED BLOCK TEST (TerraTek for ONWI 1980-1981) Hardin et al. 1981.





The 10 'standard' samples of Barton & Choubey, 1977. Permeability tests were conducted in the rough diagonal joint shown on the right. Barton, 1982.



Results of HTM
coupled testing:

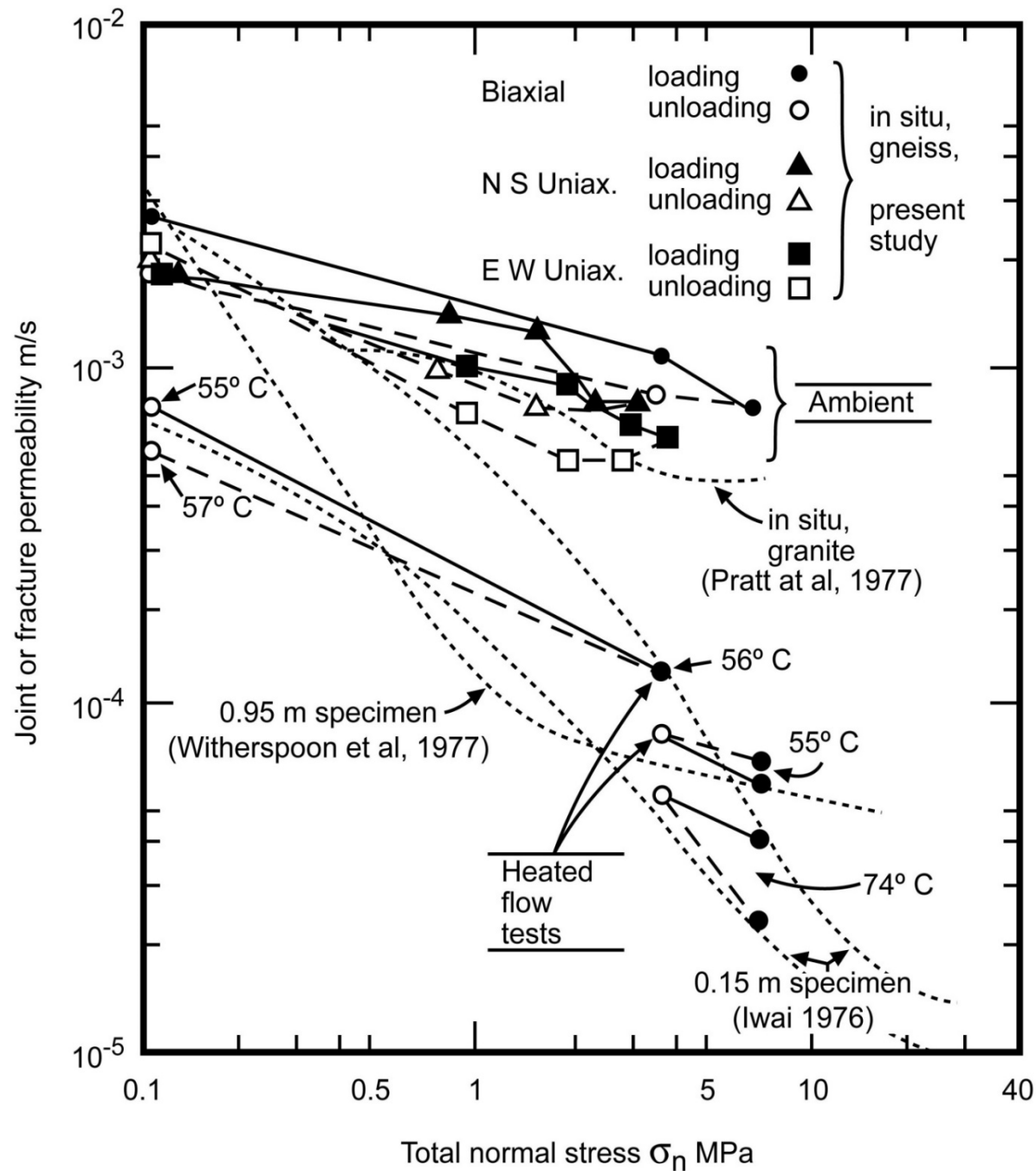
FLOW (e) 50 – 9 μm

HEAT (15°-75°C)

NORMAL STRESS
(0-7MPa).

Hardin et al. 1981

Barton, 1982.



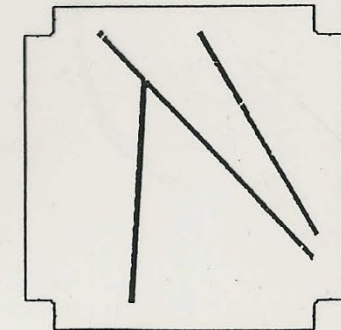
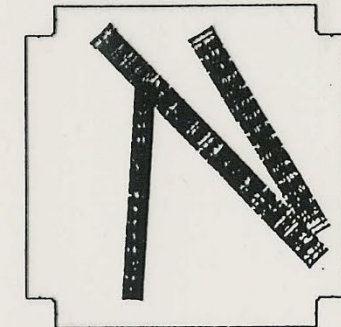
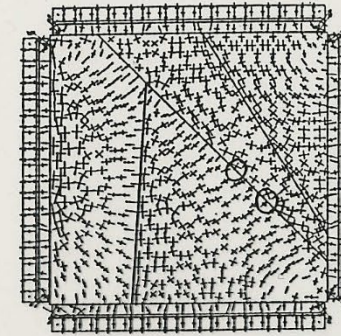
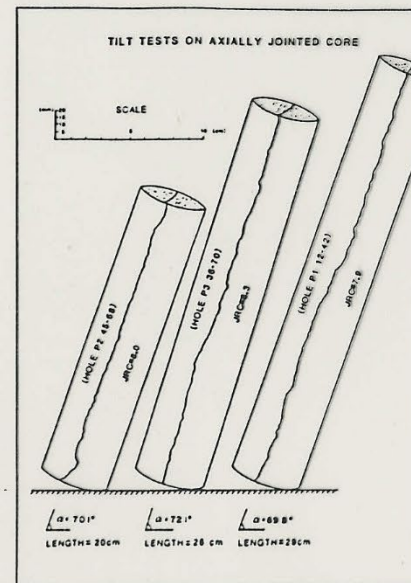
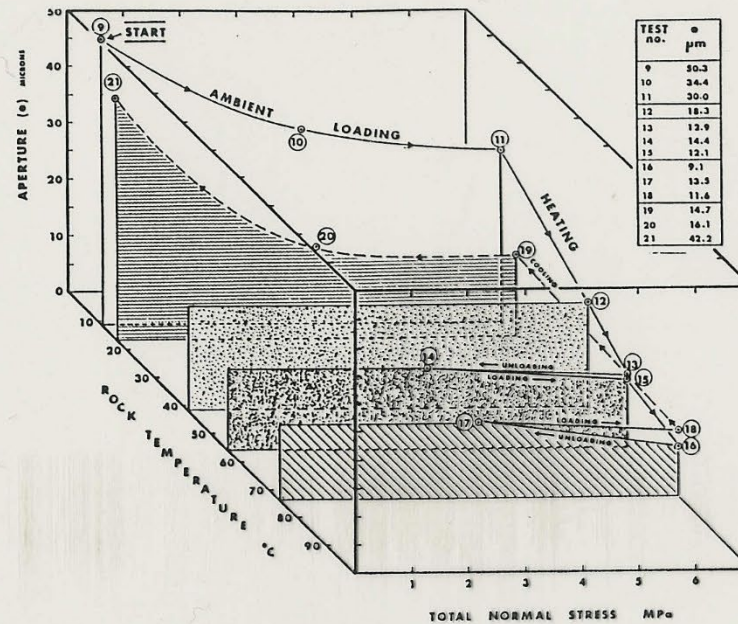
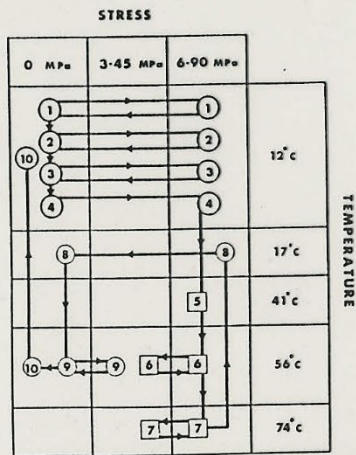
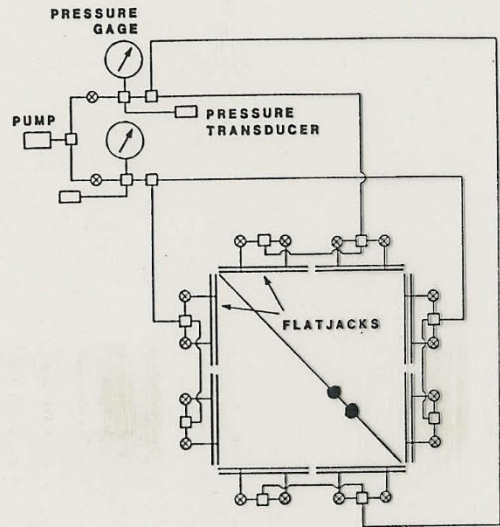
COMPARE AMBIENT
CURVES WITH THE HEATED
TESTS (lower curves).

Thermal over-closure.....no
stress increase needs to be
involved.

$$\Delta e = 30 \rightarrow 9\mu\text{m}$$

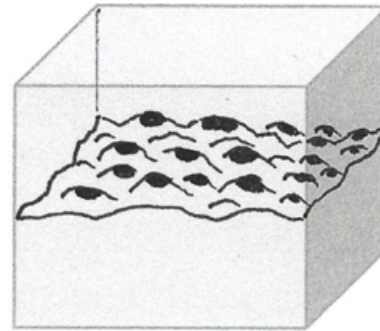
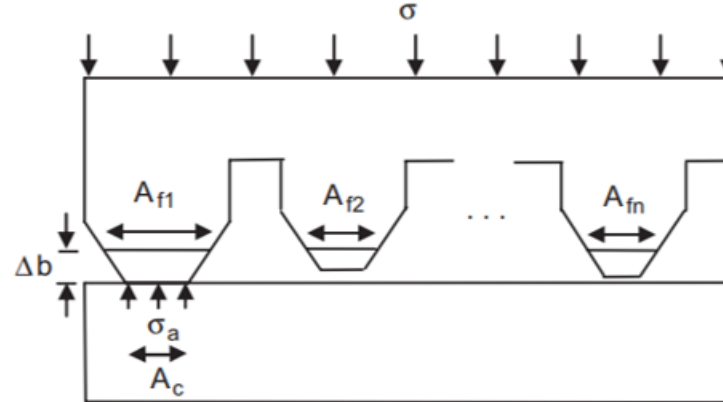
Δk : 1/10 x reduction)

Barton, 1982.

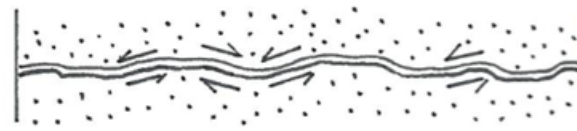


Heated block test and some permeability related UDEC-BB results (right).

Note differentiated apertures (E) and (e) in UDEC-BB model.



Frictional interlock – over-closure possible



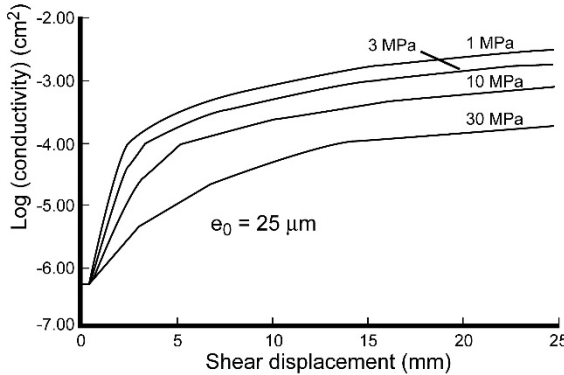
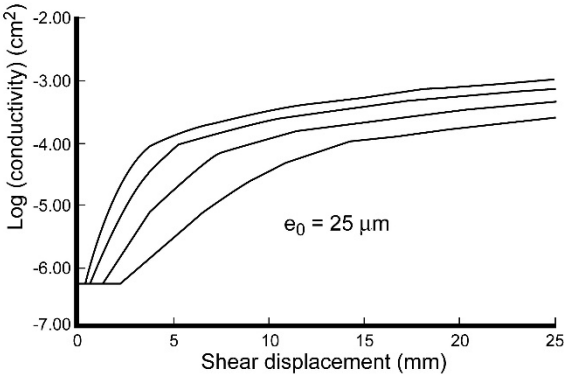
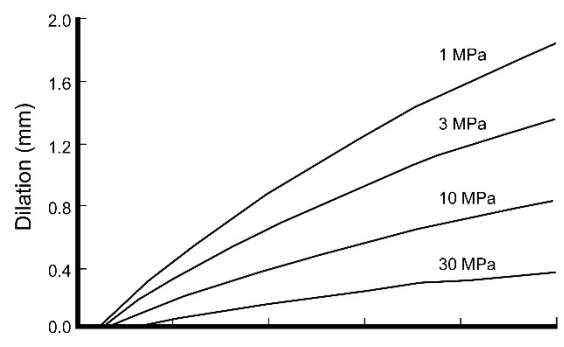
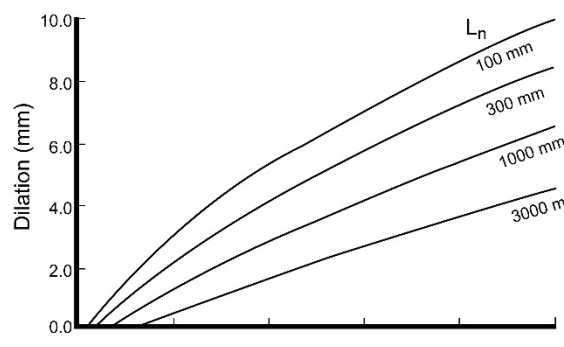
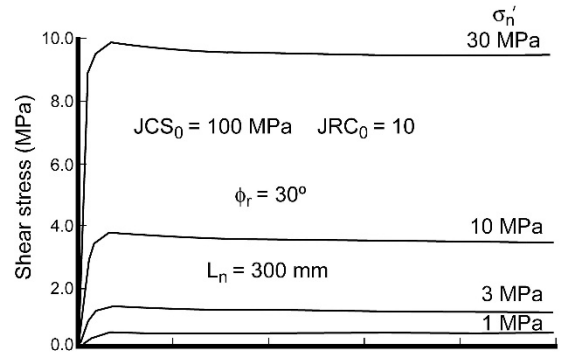
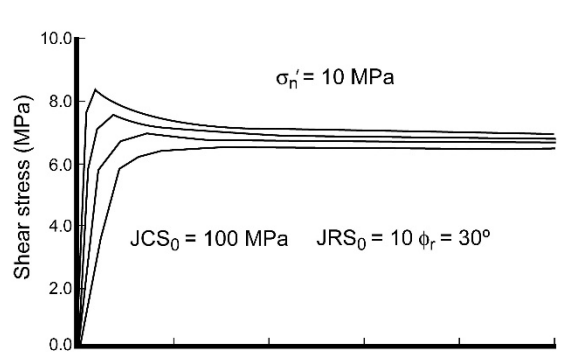
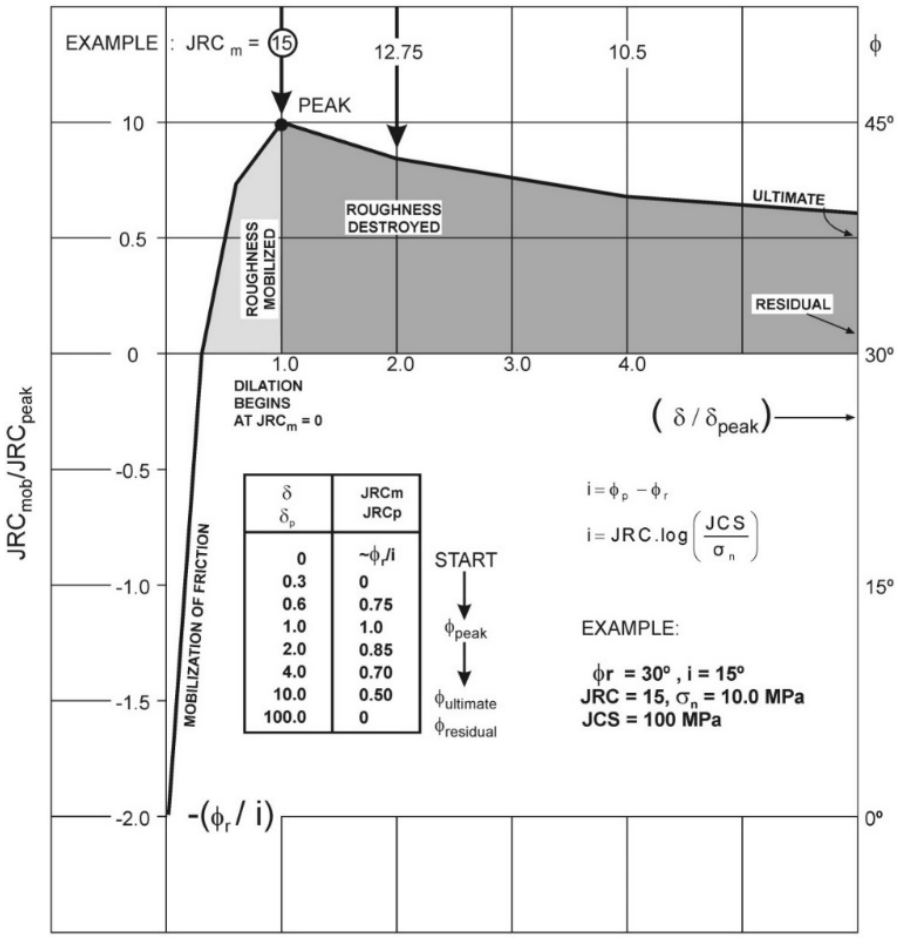
No frictional interlock – no over-closure

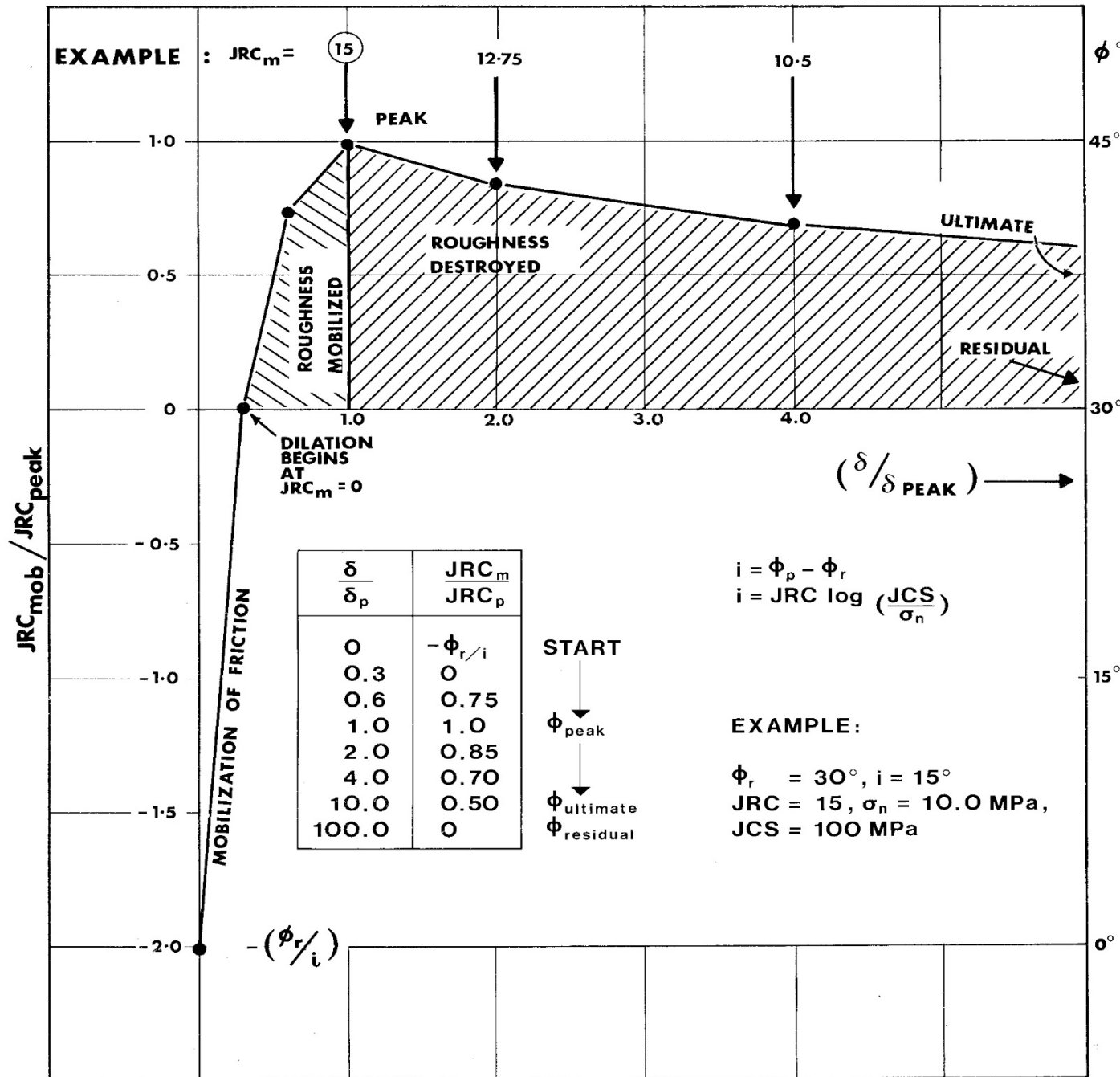
THE ROUGH
DIAGONAL JOINT
IN THE HEATED
BLOCK TEST
**EXHIBITED
THERMAL OVER-
CLOSURE
TENDENCIES.**

Bottom left:
(friction interlock)
is the most likely
explanation.

**(Remember
180° tilt test!)**

3) AECL/CANMET TerraTek report on hydrothermomechanical coupling (Barton & Bakhtar, 1983/1987). Two volumes. Examples:

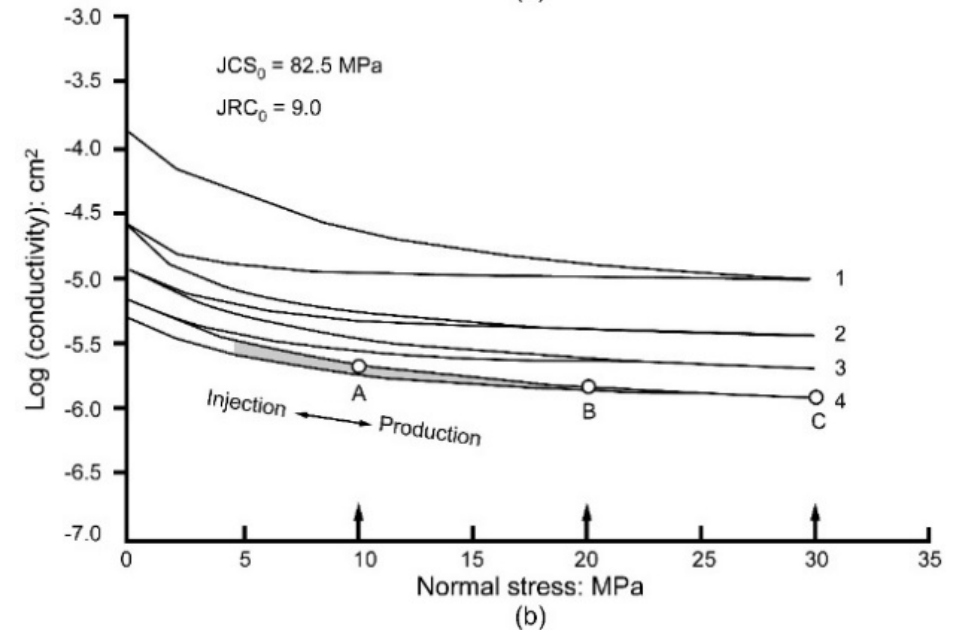
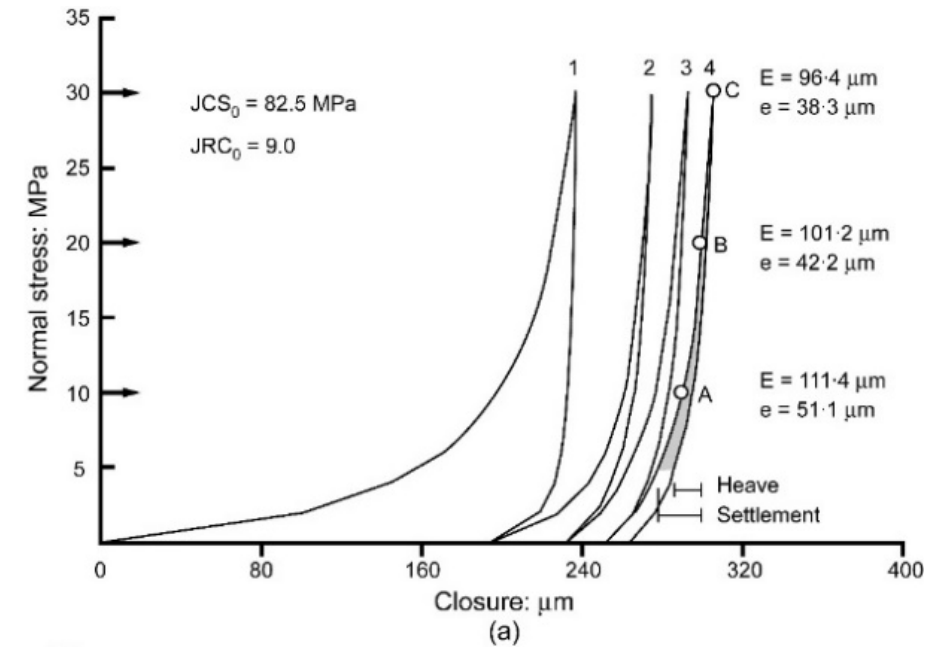
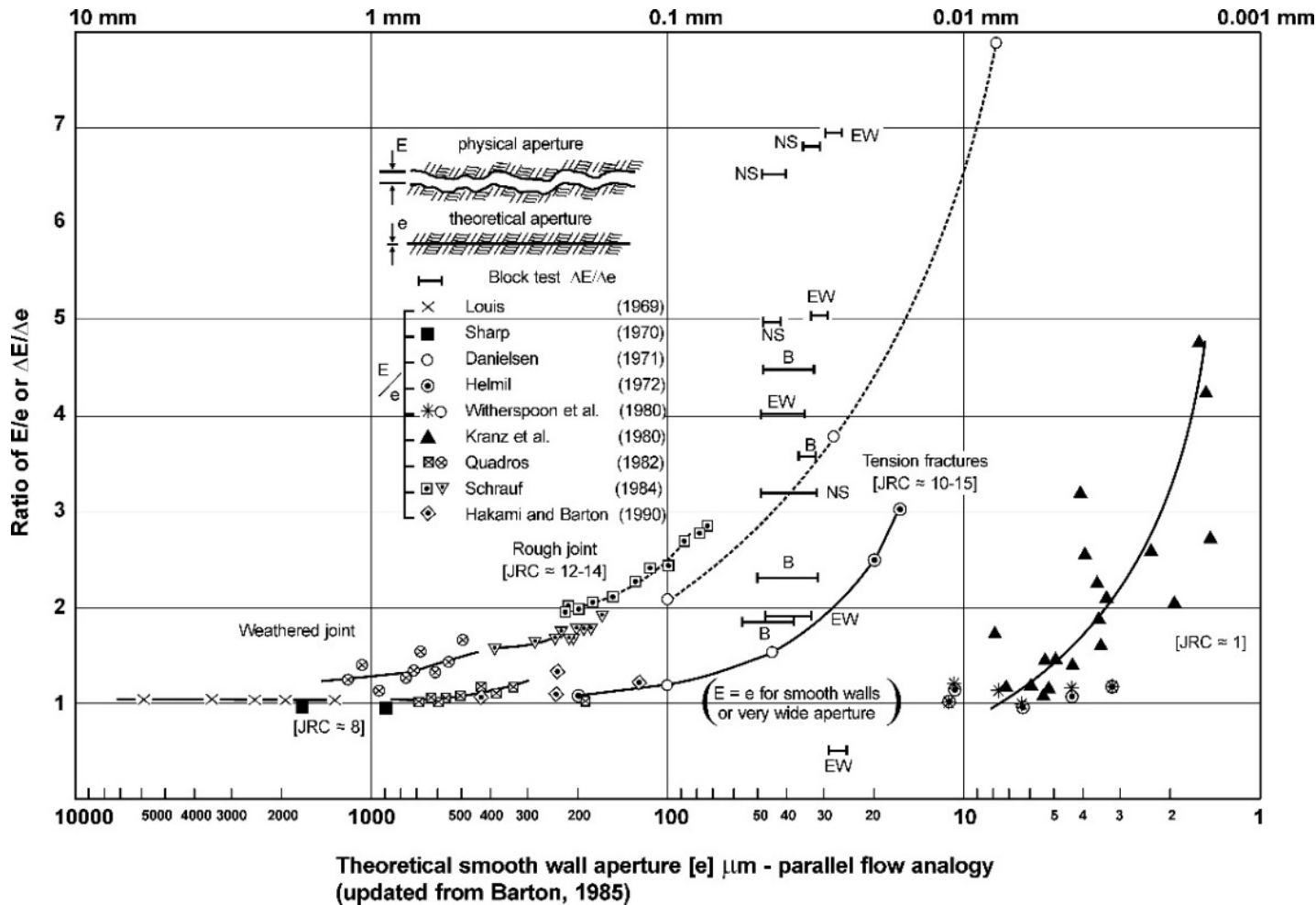




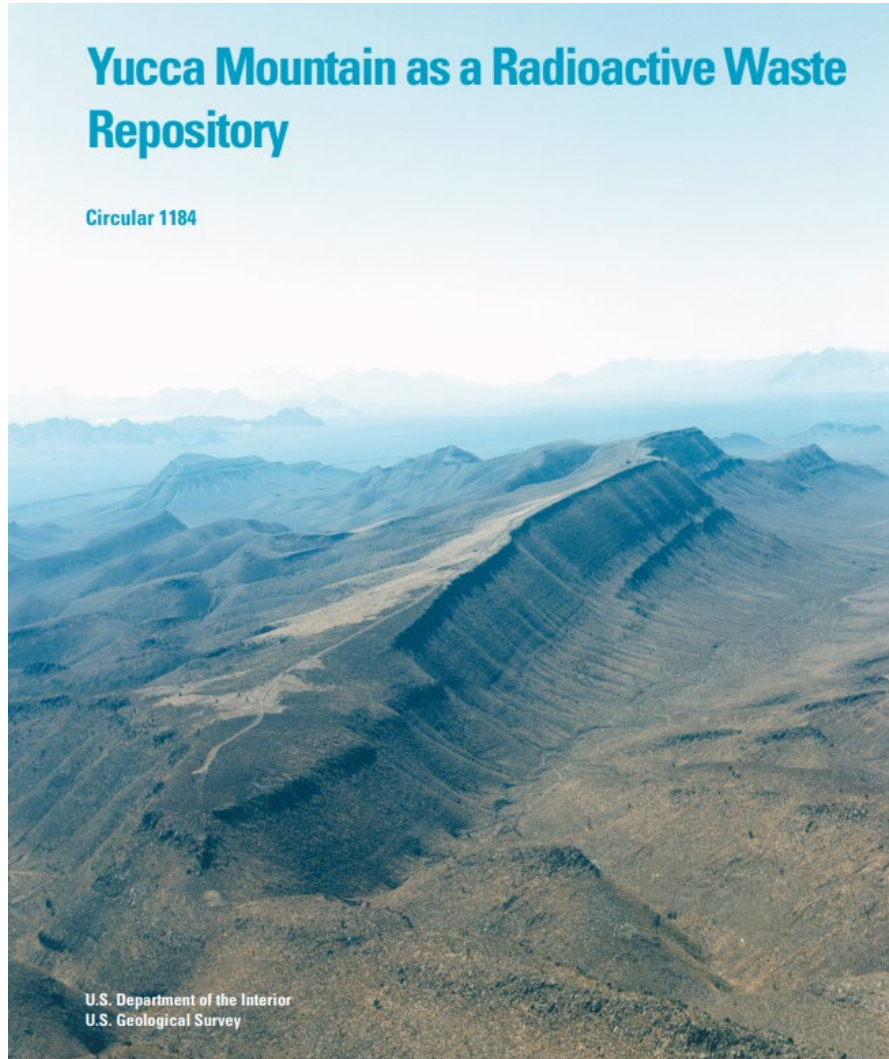
NOT JUST PEAK
STRENGTH TO BE
CONSIDERED-
before and after
peak is important.

(Barton, 1982)

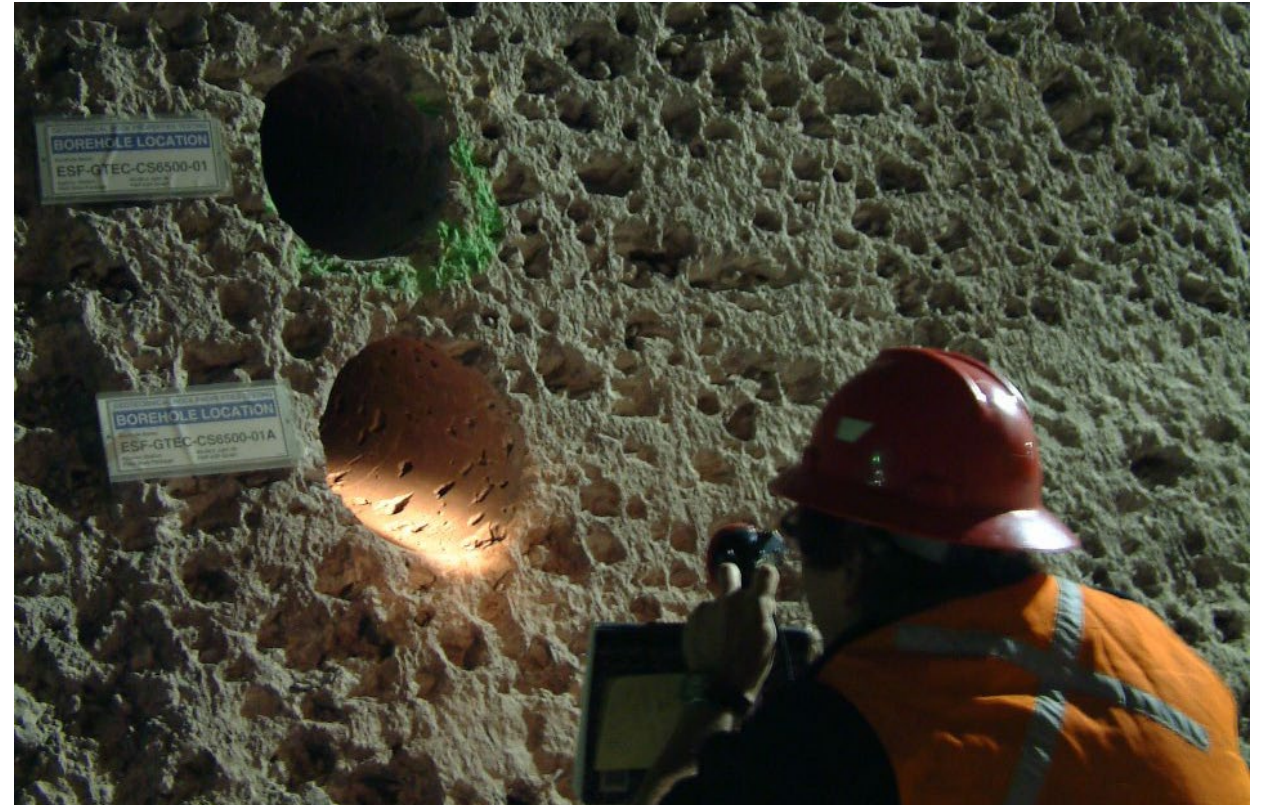
Differentiation of apertures e (hydraulic) and E (physical). AECL report. Also reproduced in Barton, Bandis, Bakhtar, 1985.



4. DoE: Reviews of two consortia's (Bechtel, TRW) rock mass characterization of Yucca Mountain Site, USA 1998 NB/ NGI, 2001 NB/ NB&A.



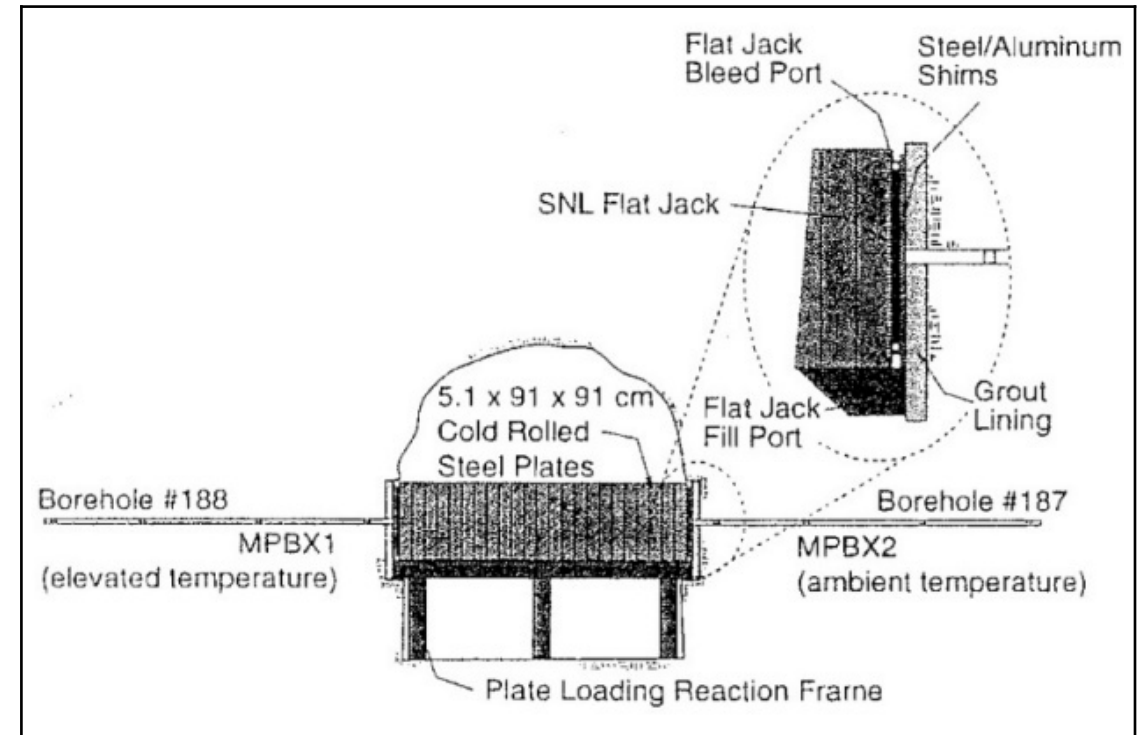
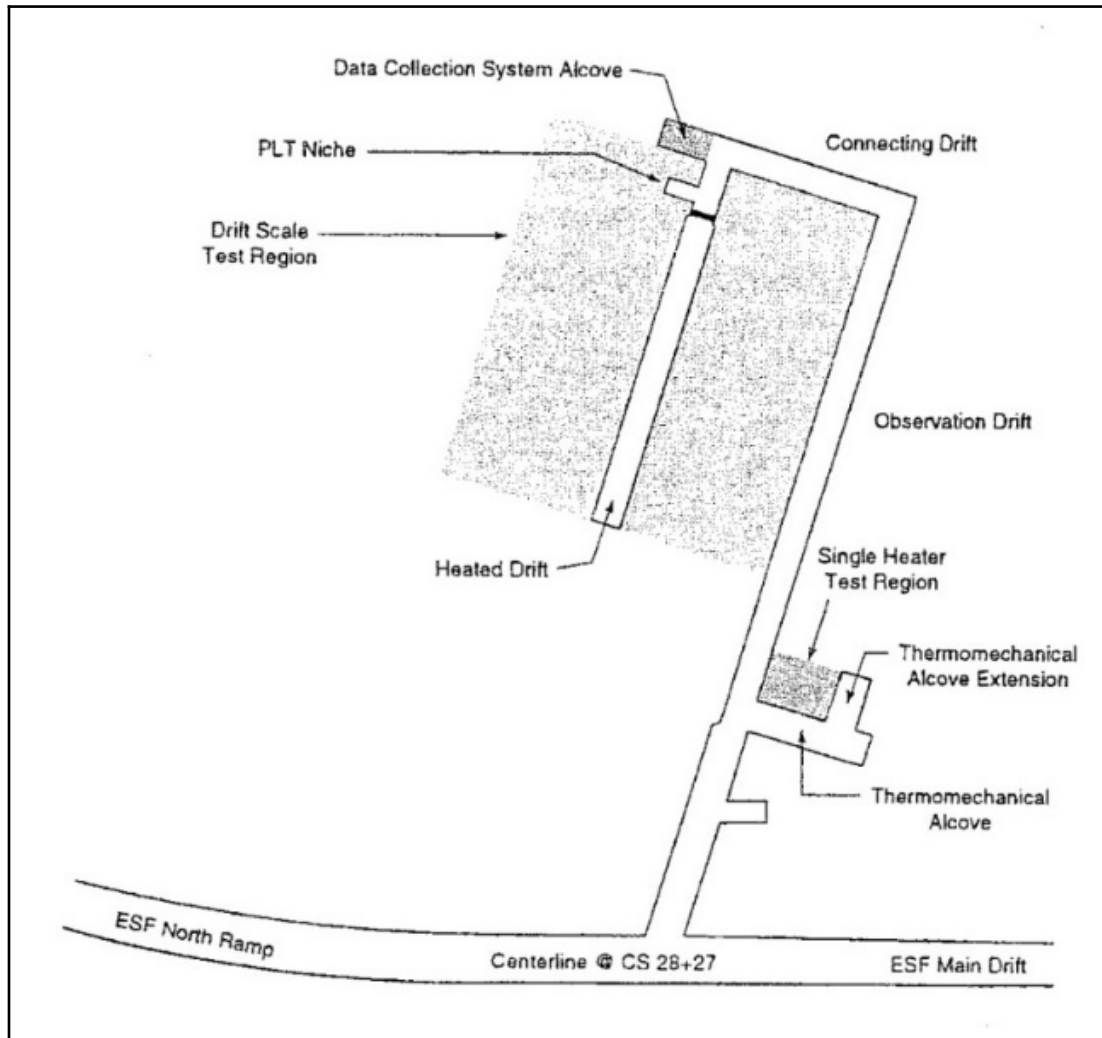
Two distinct geologies in the welded tuff: the non-lithophysal (without voids, typically jointed) and the lithophysal (with a major unconnected porosity due to gas filled voids). The majority of the (previously) planned repository in the Nevada Test Site area was *in the medium with voids*.



YUCCA MT joints. Distinctly planar (low JRC =2) and distinctly rough (high JRC =15) joint sets. Some very conservative 3DEC numerical modelling in USA considered only the smoother joints and had input errors (incompatible dilation and shear strength).

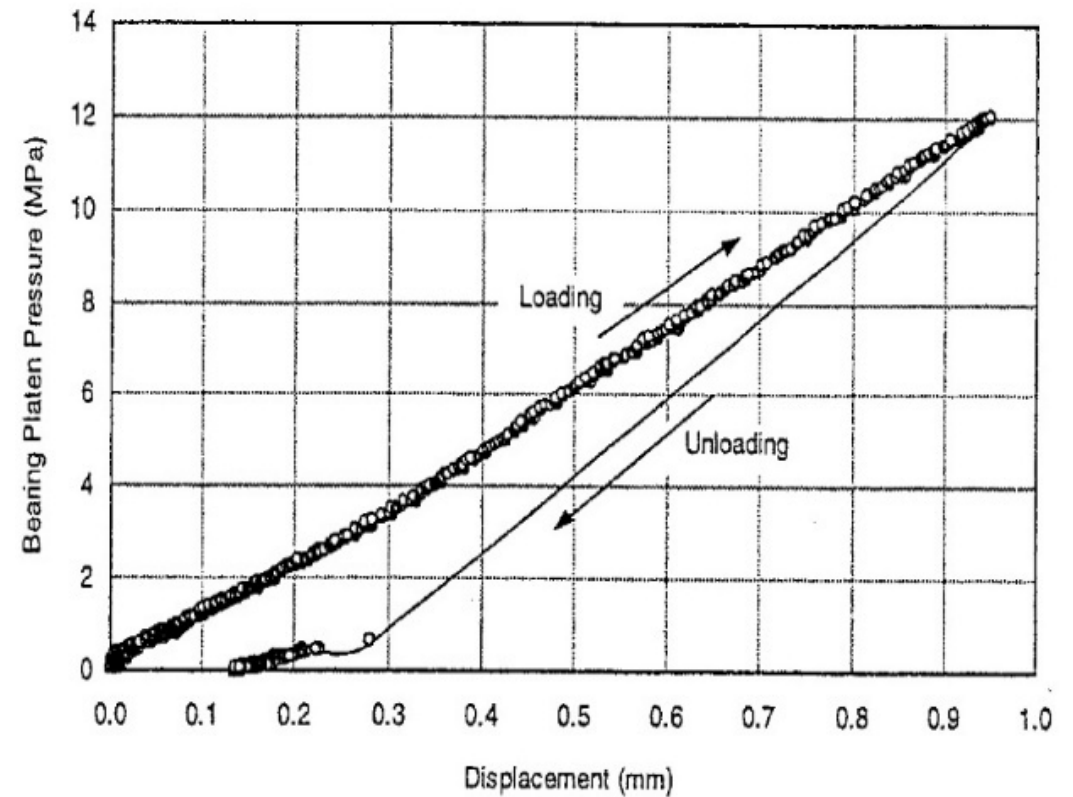
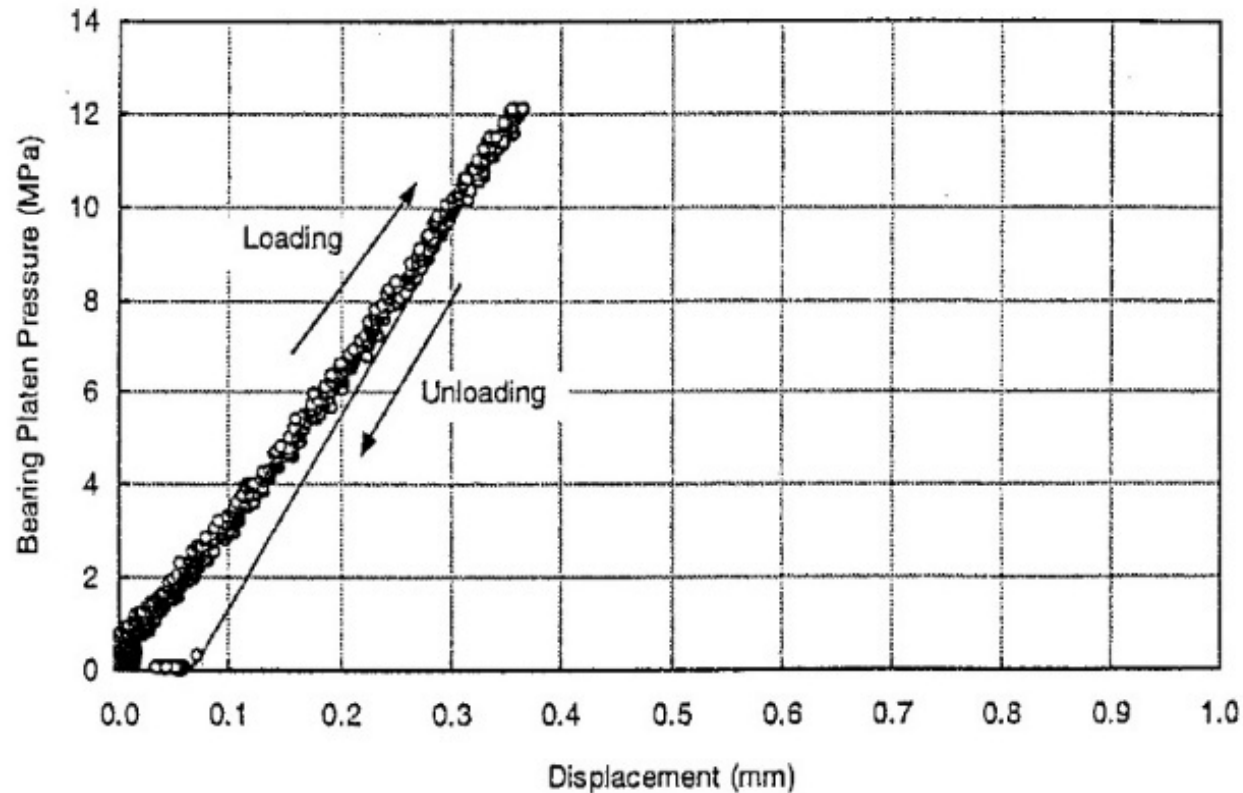


Opportunity to see unique (?) thermal testing in situ. Note 'thermal over-closure' effect on deformation moduli, contrasting 'hot' and 'cold' sides of drift (next screen).



YUCCA MOUNTAIN ESF PLATE-LOAD TEST. Hot side and cool side of the drift showed distinctly different deformation moduli (due to thermal over-closure). See Barton, 2020.

E_{mass} (heated) = 24.5 GPa E_{mass} (ambient) = 11.4 GPa (George et al., 1999)



4b. THERMAL OVER-CLOSURE OF ROUGHER JOINT SETS

- consequences for HLW disposal strategies and HTM modelling.

THERMAL OC ('*OVER-CLOSURE*') EFFECTS IN ROCK JOINTS HAVE BEEN MEASURED OR INTERPRETED IN THE CASE OF THE FOLLOWING:

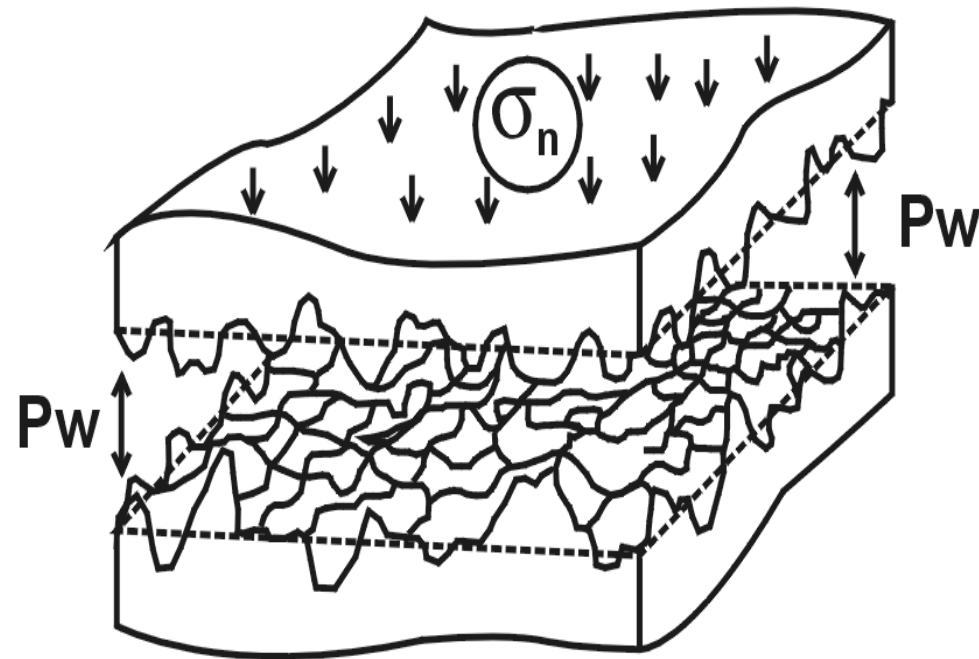
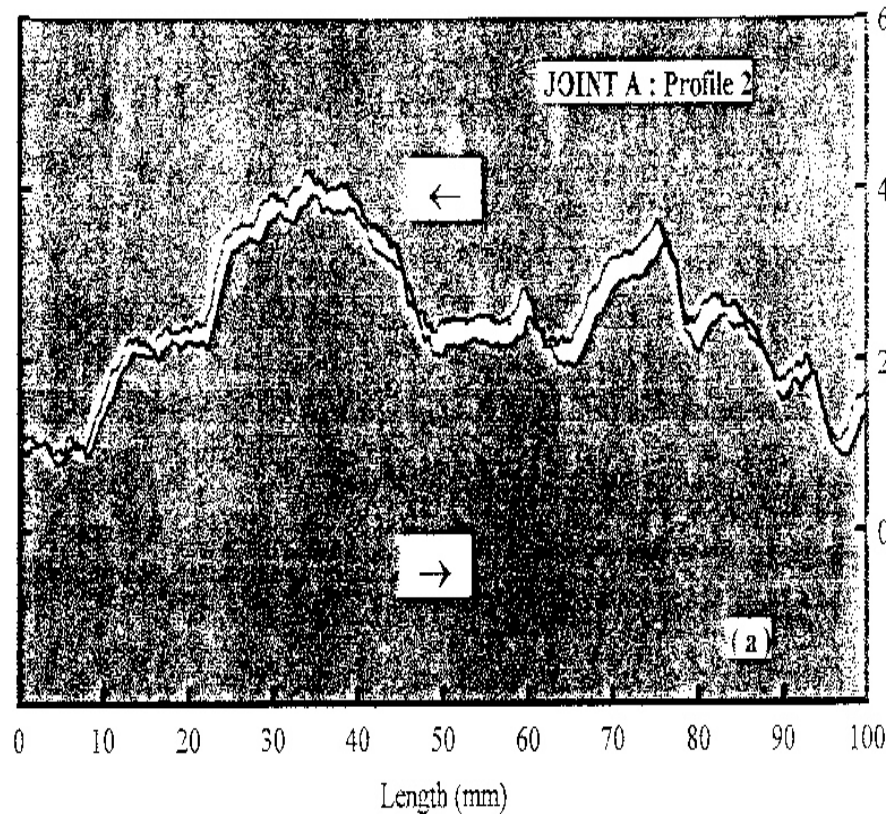
- ❑ ROCK JOINT AND ROCK MASS PERMEABILITY REDUCTION (UNTIL COOLING)
- ❑ DEFORMATION MODULUS INCREASE (PREVIOUS ITEM)
- ❑ THERMAL EXPANSION COEFFICIENT REDUCTION (JOINTS CLOSE EASIER)
- ❑ SEISMIC VELOCITY INCREASE (UNTIL COOLING)
- ❑ SHEAR STRENGTH INCREASE (DUE TO JOINT CLOSURE)
- ❑ NUMERICAL MODEL PREDICTIONS E.G. ADINA CODE, COMPARED TO HMT ROCK *MASS* MEASUREMENTS VARYING BY FACTOR OF 1:2 OR WORSE

FEW SEEM TO HAVE RECKOGNISED , ACKNOWLEDGED, OR IDENTIFIED THE CAUSE OF THESE PHENOMENA

THE MECHANISM OF JOINT CLOSURE IS NOT AS 'SIMPLE' AS WE ASSUME.

WITH HIGH JRC (*in the shearing direction*),
THIS ROUGHNESS WILL ALSO ADD
NON-LINEARITY (*in the closure direction*).....as we know from *Kn-studies*.

WHAT IF HEATING MAKES THE ROUGHNESS PROFILES FIT BETTER??



Rough joints can be *over-closed*, and remain over-closed by a previous application of a higher normal stress.

This is an exaggerated form of hysteresis.

Rough joints in igneous and metamorphic rocks can *over-close* even due to temperature increase alone, due to better fit, which is something beyond hysteresis.

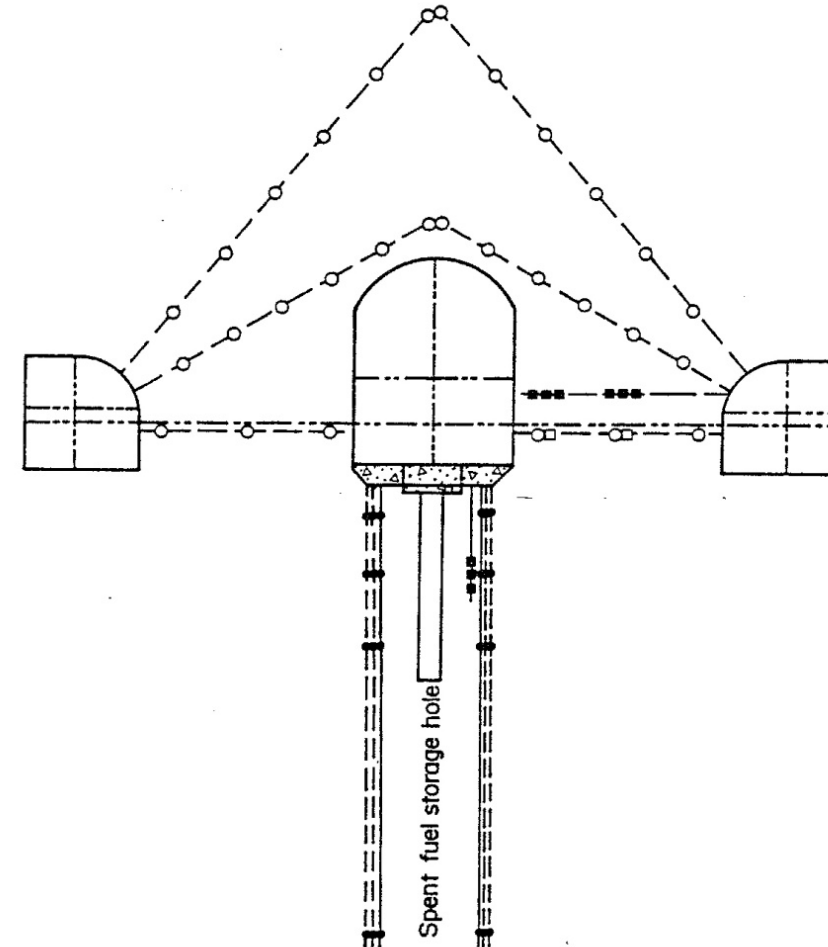
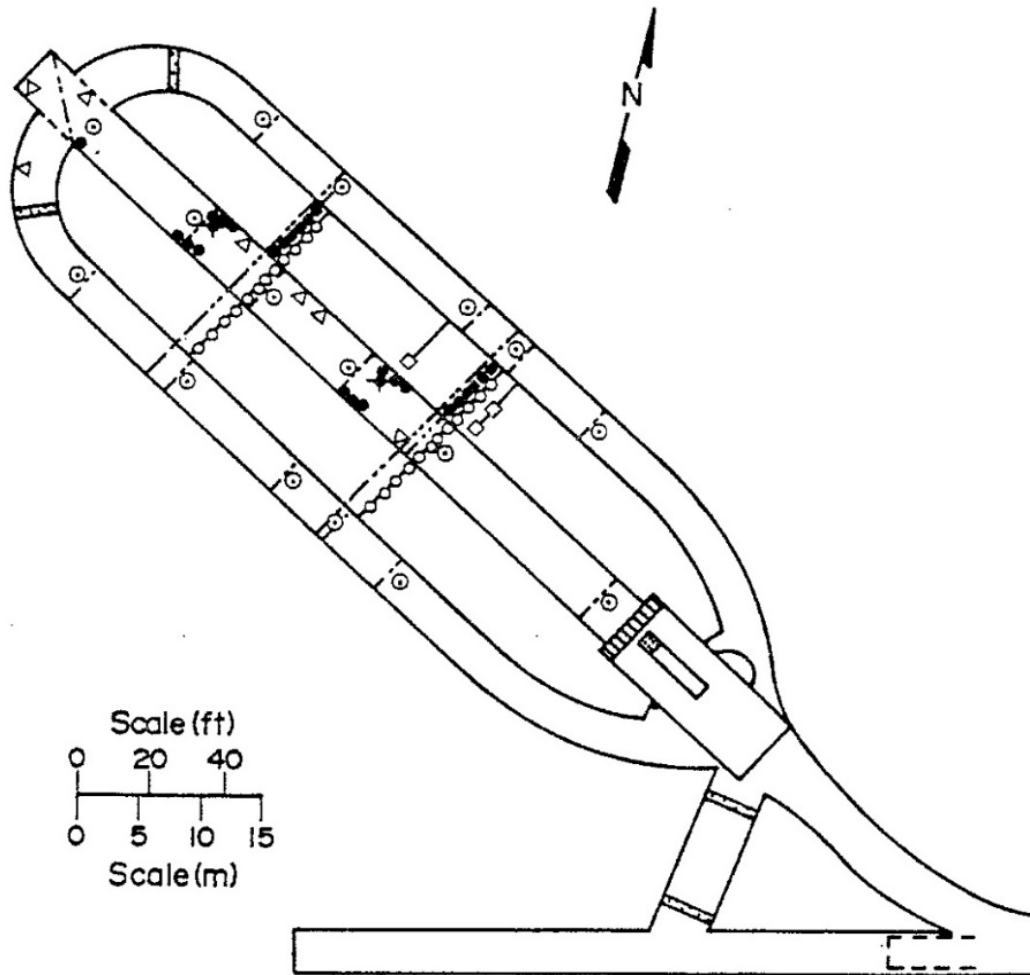
The *rock mass* deformation moduli, thermal expansion coefficients, hydraulic apertures, and seismic velocities may each be affected.

MANY EXAMPLES OF THERMAL *OVER-CLOSURE* (See Barton, 2020, TUST).

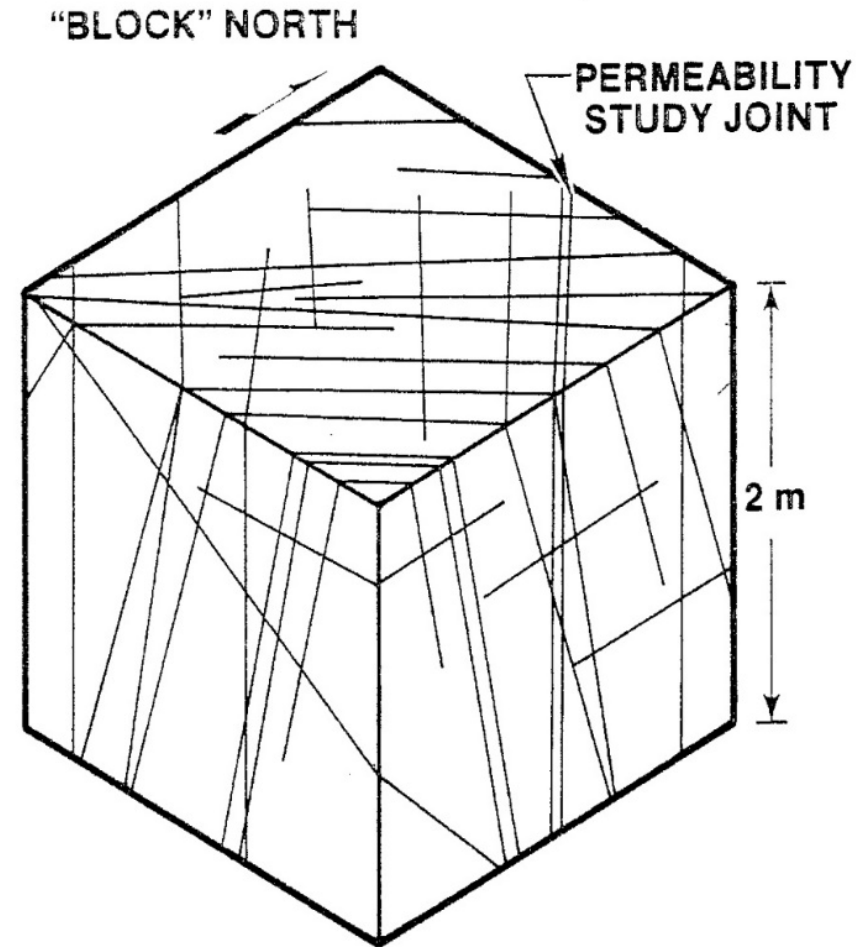
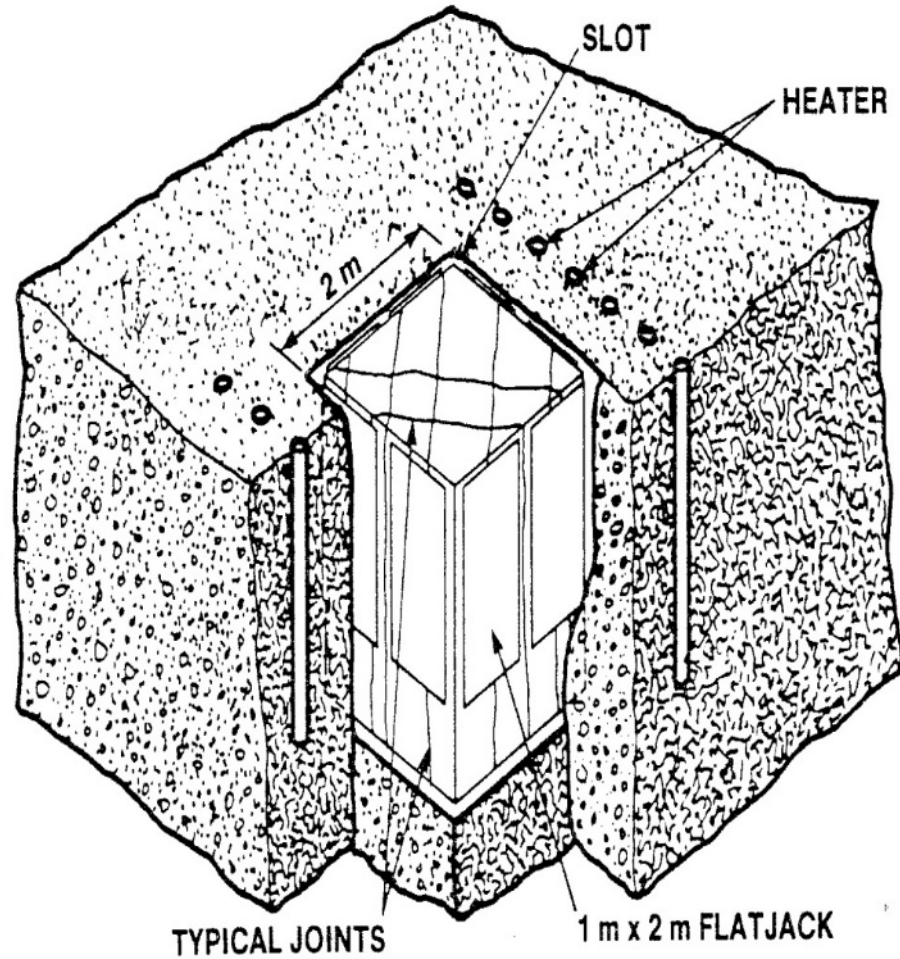
- CONDUCTING APERTURE DECREASES IN TERRA TEK / CSM HTM BLOCK TEST (FOR ONWI)
- JOINT CLOSURES IN HTM COUPLED STRESS FLOW TESTS (CSFT) (FOR AECL/URL)
- CONDUCTING APERTURE REDUCTIONS FROM HTM BLOCK TEST IN G-TUNNEL (FOR SANDIA NATIONAL LABORATORY)
- (REDUCED THERMAL EXPANSION COEFFICIENTS AT NSTF HANFORD (FOR ROCKWELL-HANFORD)
- REDUCED VP AND VS AFTER LONG-TERM HEATED/COOLED BOREHOLE TEST AT STRIPA (FOR SKB). POOR MODEL PREDICTION DUE TO THERMAL JOINT OVER-CLOSURE AND CHANGED MODULI
- (INCREASED COHESIVE AND FRICTIONAL STRENGTH OF JOINTS IN WELDED TUFF THAT HAVE BEEN HEATED. SANDIA N.L)
- HEATED MINE-BY (SPENT FUEL TEST) AT CLIMAX (FOR LAWRENCE LIVERMORE). POOR MODEL PREDICTION DUE TO HIGHER FINAL MODULI, LOWER THERMAL EXPANSION COEFFICIENTS, DUE TO THERMAL OVER-CLOSURE OF JOINTS
- HEATED AND AMBIENT SIDES OF PLATE LOAD TEST AT YUCCA MOUNTAIN (FOR DOE). WIDELY DIFFERENT MODULI IN THE AMBIENT AND HEATED SIDES OF THE SAME DRIFT

CLIMAX MINE (quartz monzonite) HEATED MINE-BY at 400 m depth, as part of the SPENT FUEL TEST (Yow and Wilder, 1993)

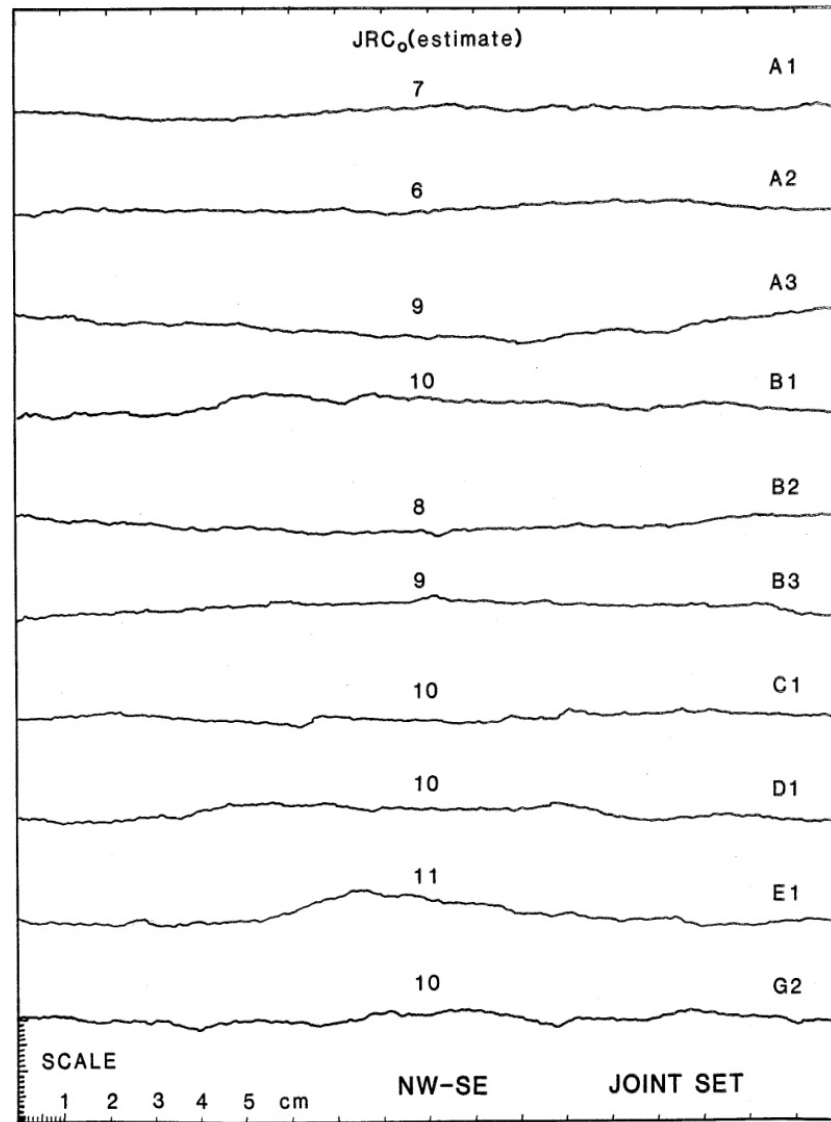
Measured deformations $\frac{1}{4}$ to $\frac{1}{2}$ of those calculated by ADINA
(discrepancies in thermal moduli and thermal expansion coefficients)



G-tunnel (NTS) HMT block test (SAIC, for Sandia)
(Reduced hydraulic apertures due to heating: 60 to 35 μm)
Zimmerman et al. 1986



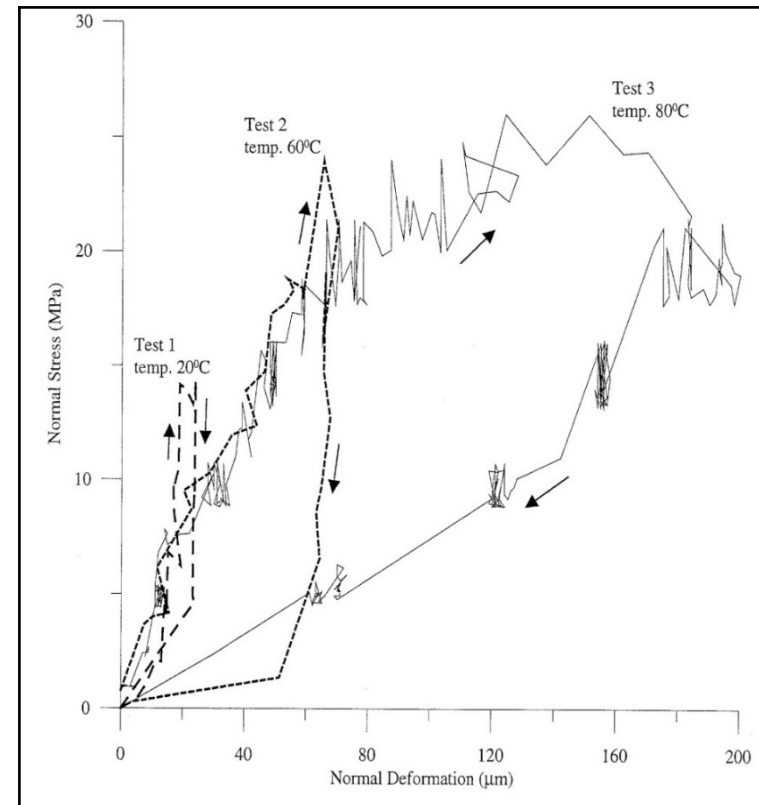
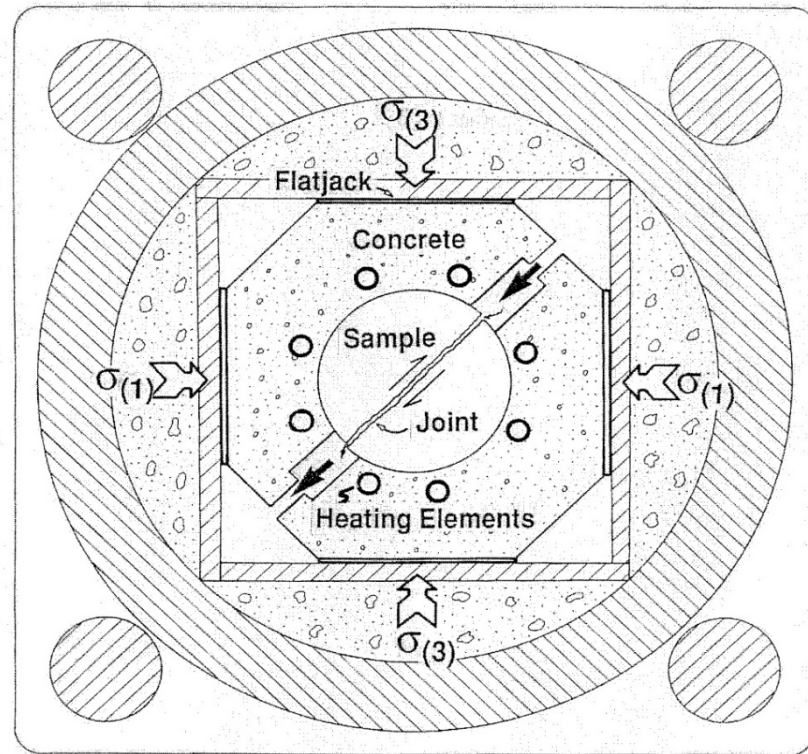
TYPICAL JOINT ROUGHNESS AT THE G-TUNNEL HEATED BLOCK TEST. Barton et al. 1983.



Three tests on joints in granite from URL in Canada, loaded to 14, 19 and 26 MPa in NGI's CSFT apparatus (Makurat, 1985, 1989)

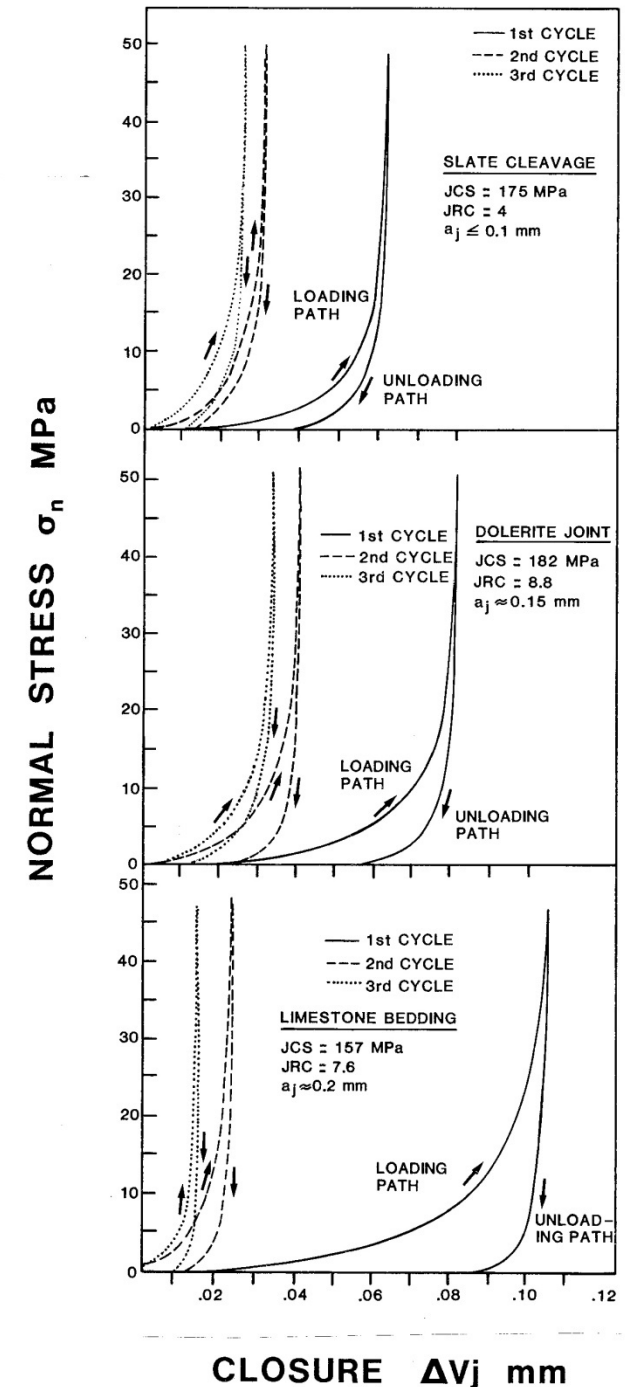
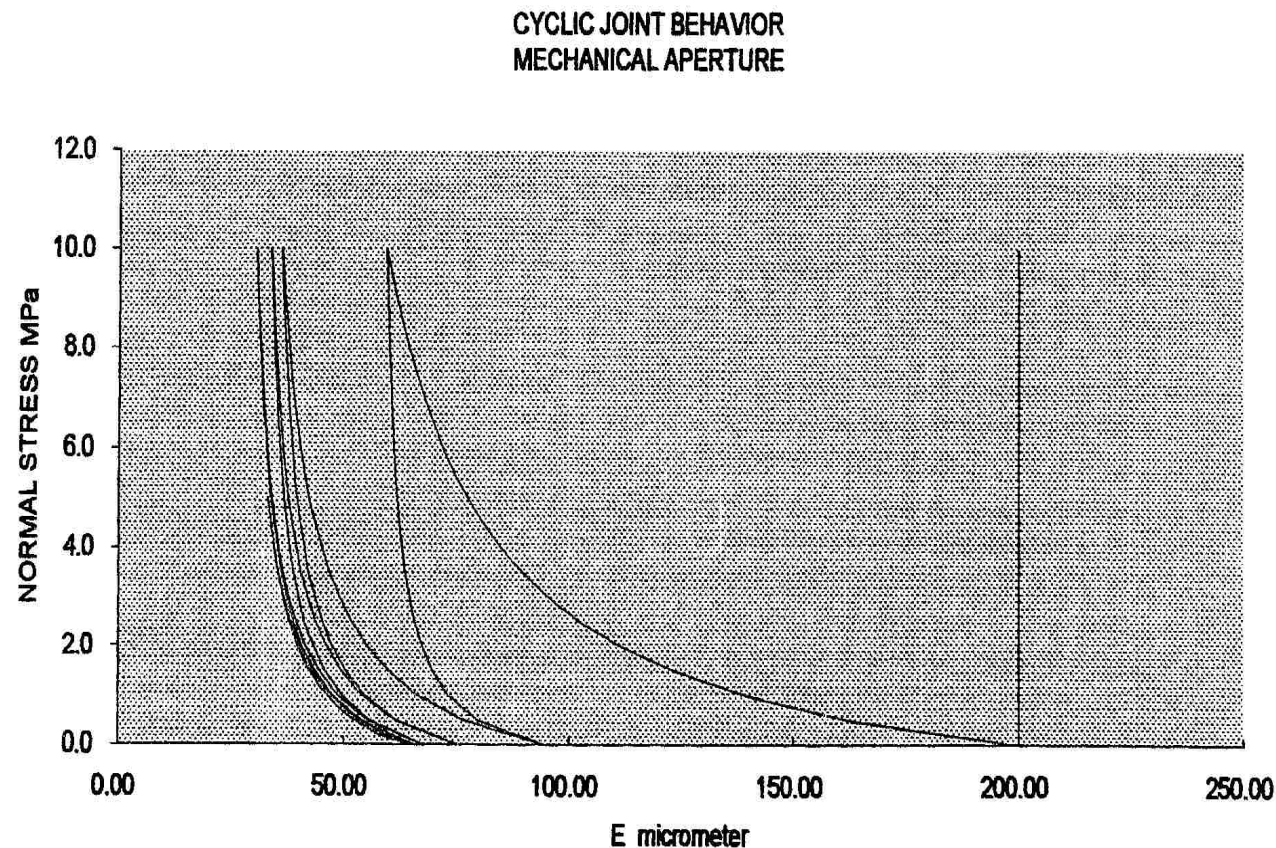
On the 4th load cycle of each test, joint closures (ΔE) = 24 μm , 54 μm and 151 μm were recorded at 20°C, 60°C and 80°C

(i.e. increases out of proportion to stress increases...when stiffening)



Bandis normal closure tests (Bandis et al.1983) show over-closure (i.e. hysteresis) when the roughness is significant.

The BB-model has yet to be modified to account for thermal over-closure – but 4th-cycle non-linearity and hysteresis is modelled.



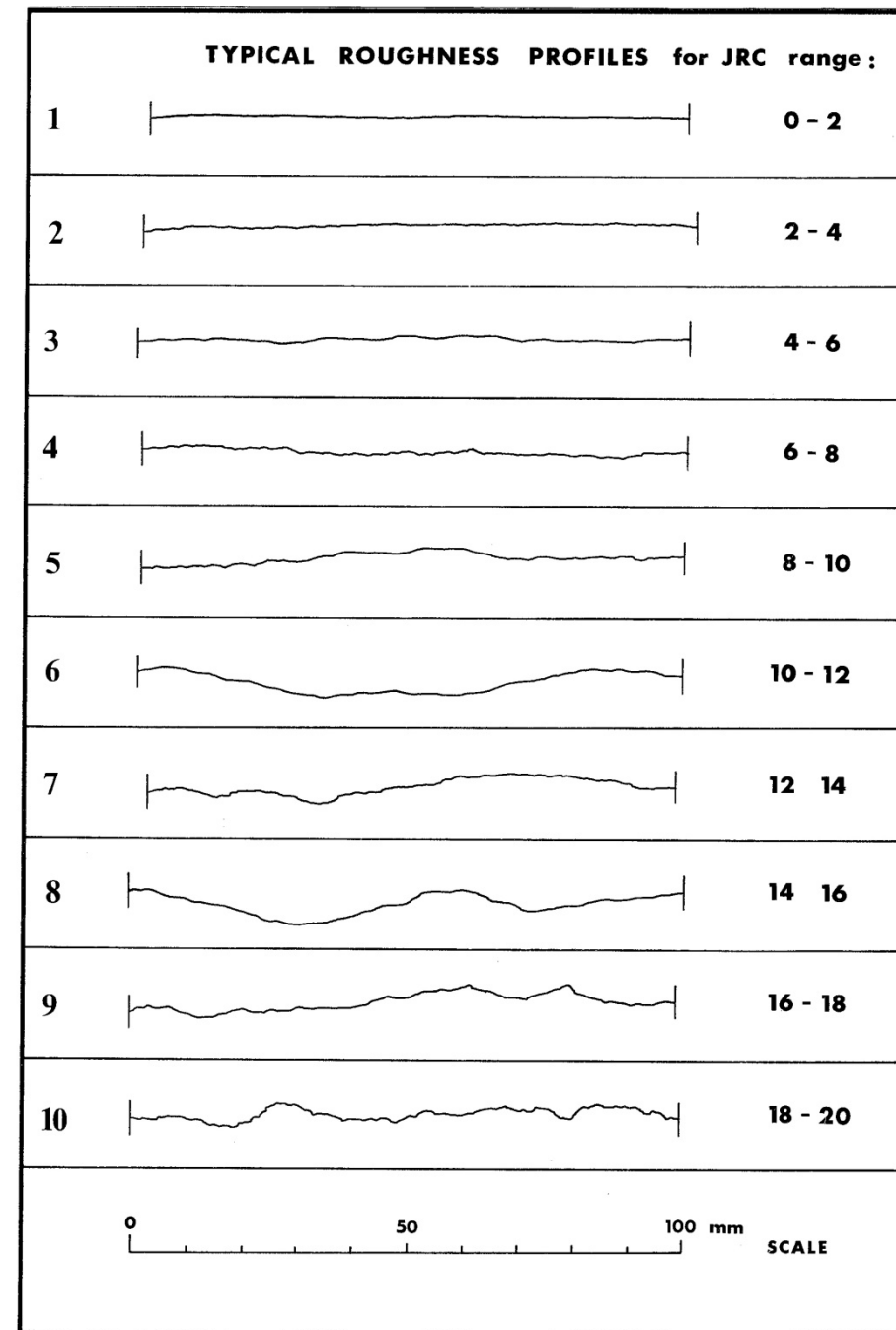
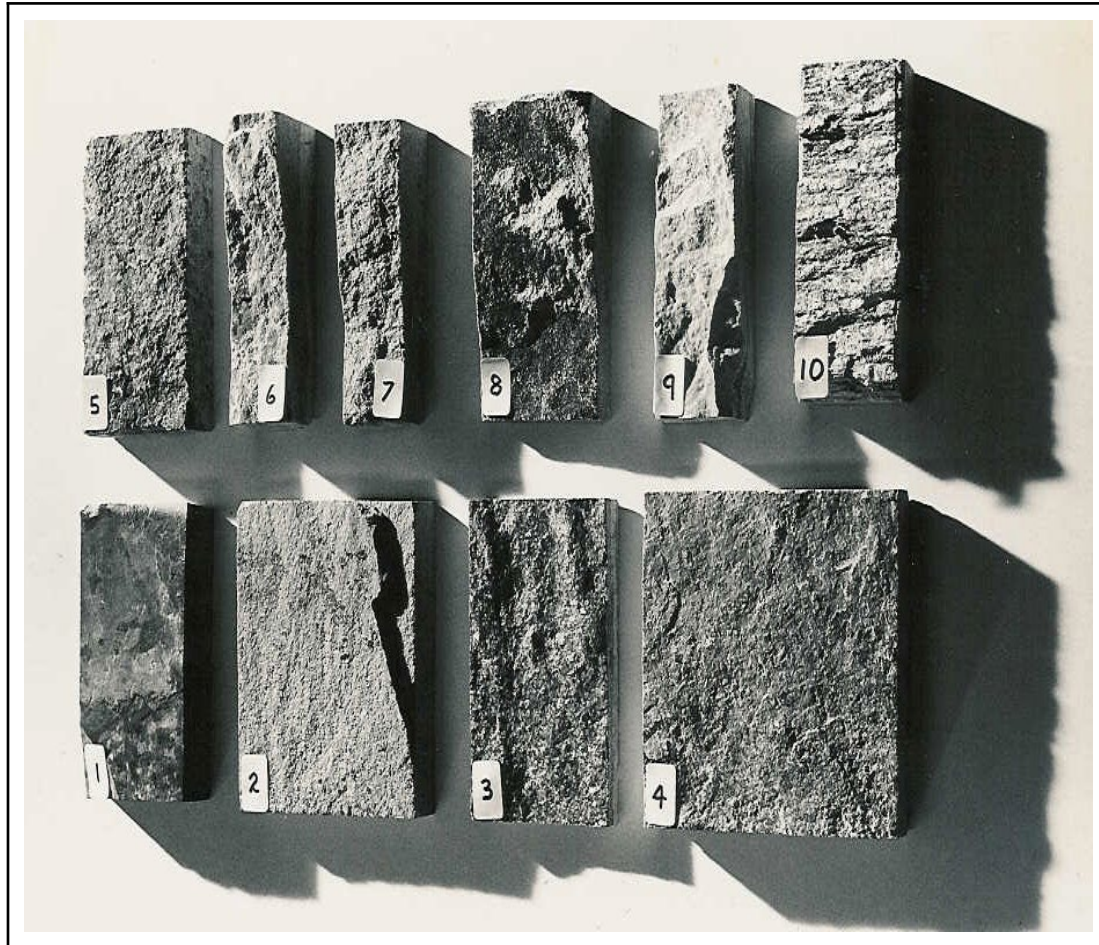
ONE MAY ONLY NEED TEMPERATURE RISE FOR THE T-O-C EFFECT TO OCCUR

- ❑ This should not be a surprise – joints were probably formed at higher temperature than today's ambient level of 10°C or 20°C. (Barton, 1982).
- ❑ Why?...Anisotropic thermal expansion/contraction of constituent minerals in opposing joint walls....the joint's memory of warmer conditions at its birth?... a primeval 'finger-print' of 3D-roughness.
- ❑ The 3D roughness *finger-print*, though very recognizable, would be subtly altered in its finer details by today's cooler conditions.

JRC_o (small-scale)

- JOINT ROUGHNESS IS GOING TO BE THE ALL-IMPORTANT DISCRIMINATOR IN THIS INTERLOCK MECHANISM, WHICH RESEMBLES THE EFFECT OF A 'PERPENDICULAR-JRC'.
- THE INFLUENCE OF THIS PERPENDICULAR ROUGHNESS IS EASY TO SEE WHEN TILT TESTING.
- WITH SUFFICIENT ROUGHNESS 'TENSILE STRENGTH' IS EXHIBITED. **VERY ROUGH** JOINTS GIVE 180° (!) *TILT ANGLES (despite very low initial normal stress)*

O-C beyond $JRC_0 = 10$?
or beyond $JRC_0 = 15$?





EXAMPLES OF A PLANAR JOINT AND A ROUGH JOINT, WITH RESPECTIVELY ZERO-AND- A-HIGH-PROBABILITY OF OVER-CLOSURE PHENOMENA

(JRC = 1 and 15)

DoE test labs, Denver. NB back-analysis of DST's.



**IN CONNECTION WITH
NUCLEAR WASTE (AND
GEOTHERMAL
DEVELOPMENT)**

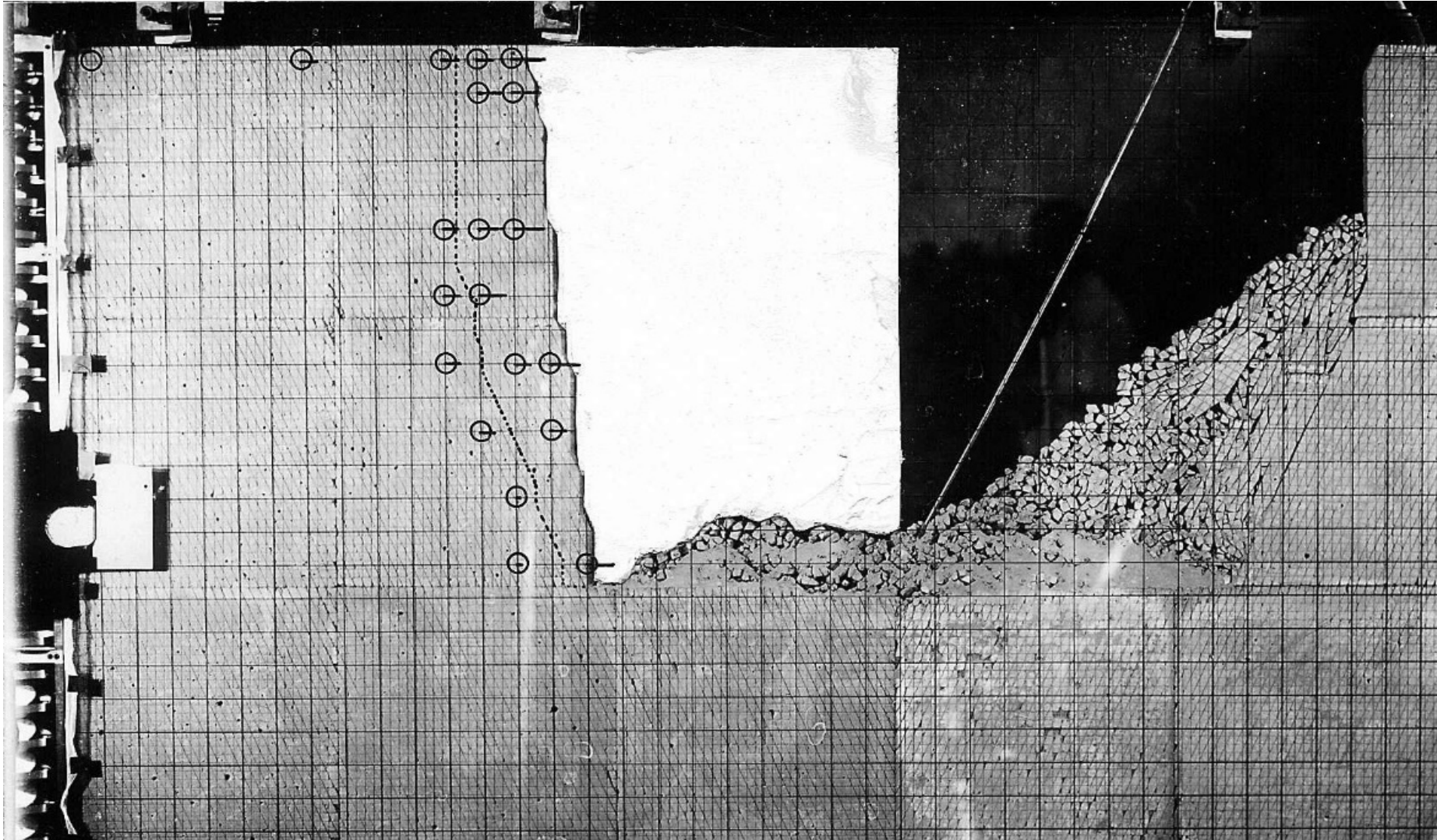
IF ROUGH SHORTER JOINTS
REMAINED OVER-CLOSED
DURING COOLING, WHERE
WOULD CONTRACTION BE
CONCENTRATED?

**BIG PERMEABILITY INCREASE
AND LOSS OF SHEAR
STRENGTH WHERE LEAST
NEEDED.**

LONG TERM IMPLICATIONS

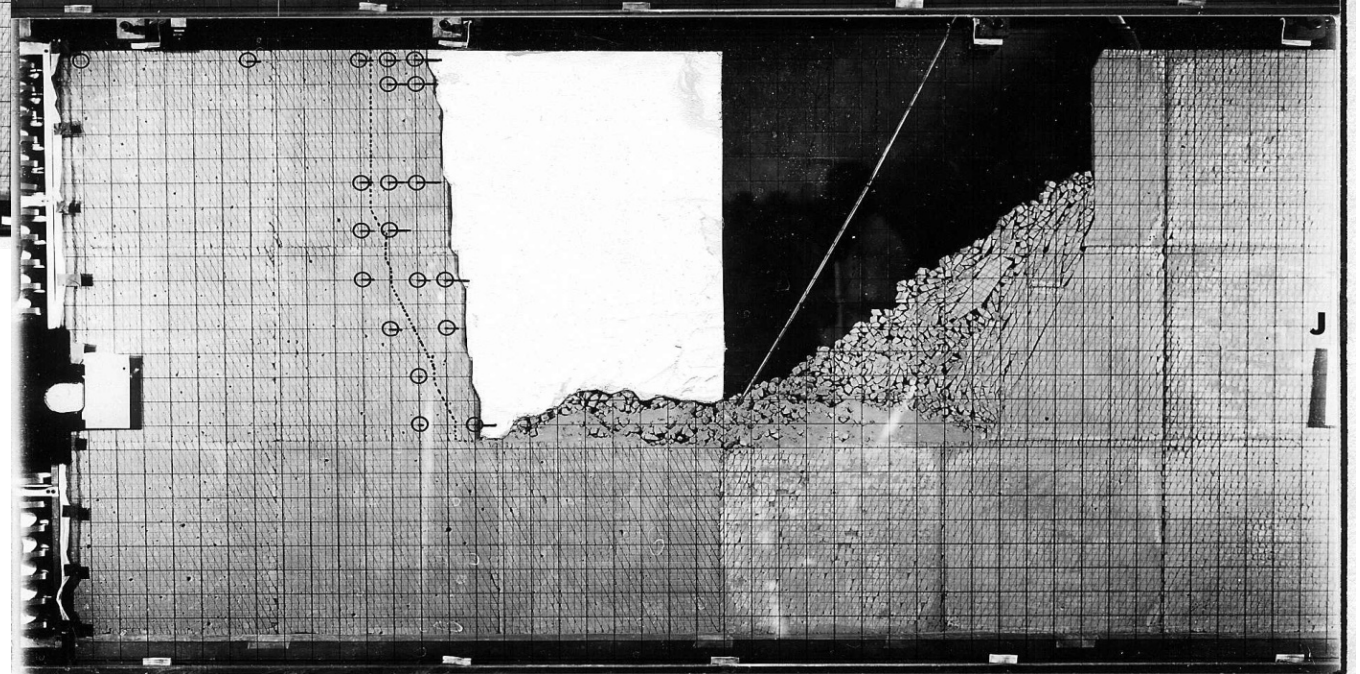
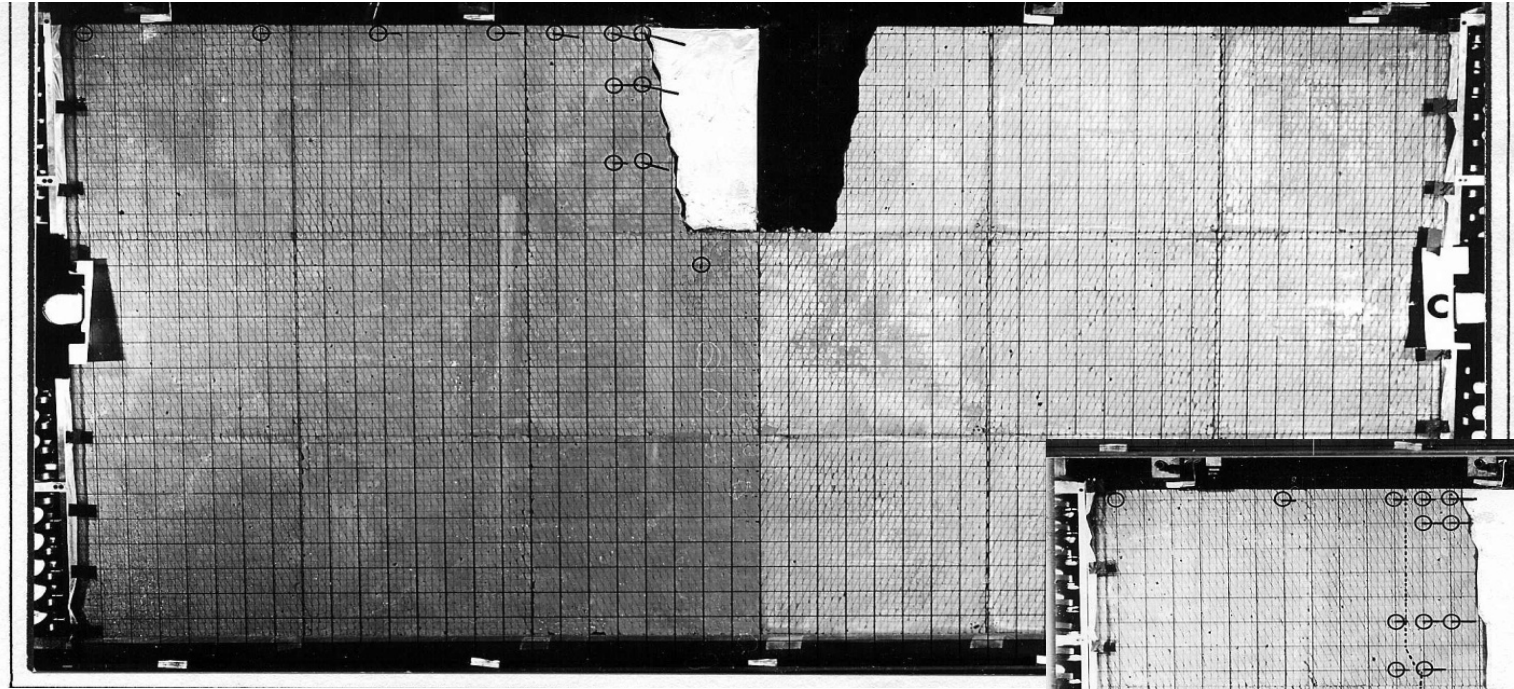
- ❑ THE LONG TERM IMPLICATION IS THAT IN THE COOLING PHASE OF AN HLW REPOSITORY, ONE MAY EXPERIENCE ROUGHER JOINTS THAT ARE OVER-CLOSED AND STABLE.
- ❑ SMOOTHER AND PROBABLY MORE CONTINUOUS FEATURES WILL TEND TO OPEN TO COMPENSATE FOR THE COOLING, THEREBY POTENTIALLY LOSING STRENGTH AND GAINING PERMEABILITY.
- ❑ OVER-CLOSURE (of joints) DOES NOT YET APPEAR IN THE ROCK MECHANICS VOCABULARY – AFTER 40 YEARS OF APPARENT NEGLECT BY THE COMMUNITY.
- ❑ HMT LABORATORY TESTING OF JOINTS IS NEEDED - OUR DATA IS VERY LIMITED. MUST TEST JOINTS WITH A WIDE RANGE OF JRC_0
- ❑ THE O-C MECHANISM CANNOT BE IGNORED – THAT WOULD BE *NON-CONSERVATIVE*. IT'S EFFECT ON INPUT DATA AND THEREFORE ON MODEL PREDICTIONS HAS BEEN DEMONSTRATED AS SERIOUS (e.g. Stripa, Climax)

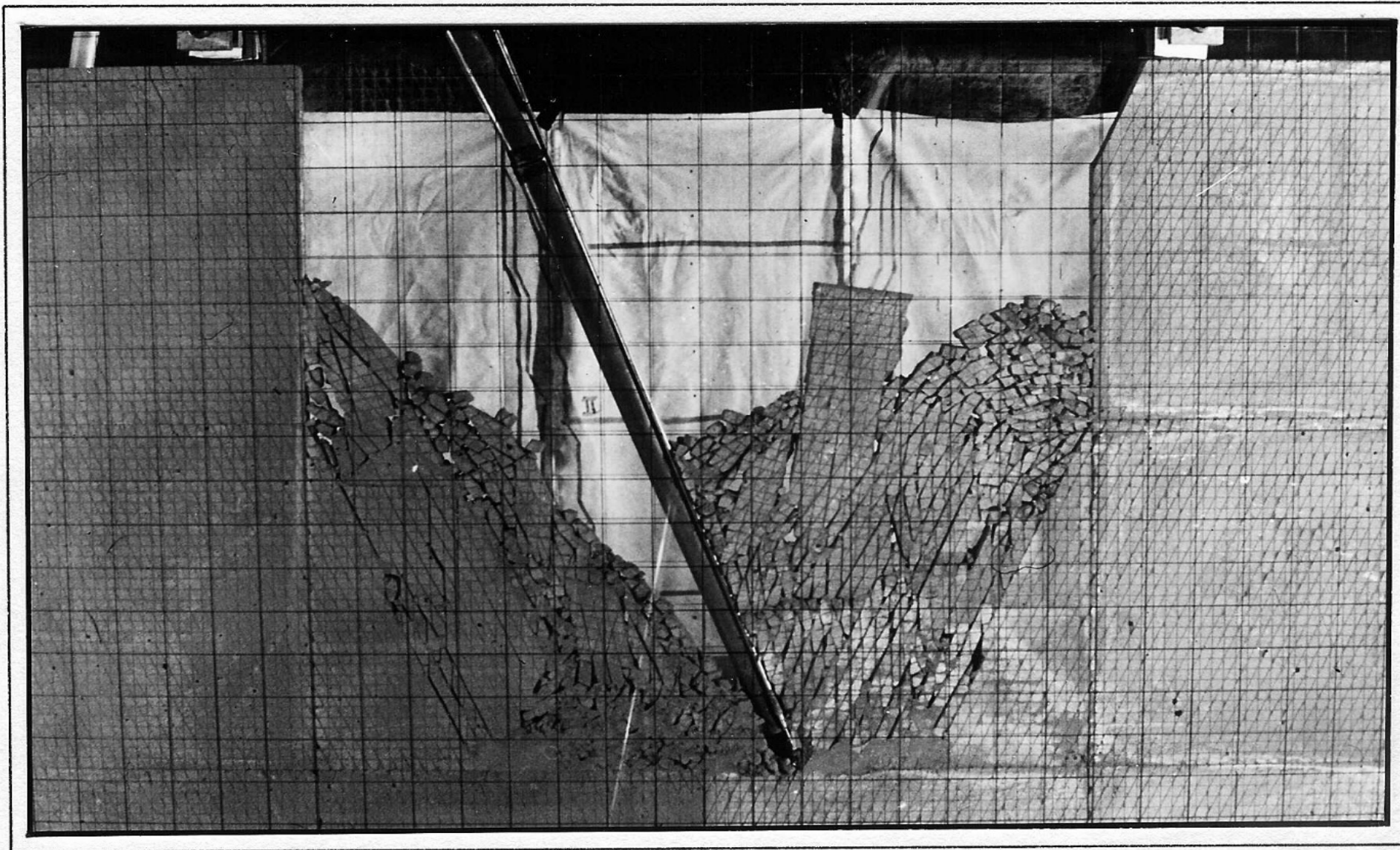
A LOOK BACK A LONG TIME! A doctoral thesis problem (1968-1970)
40,000-block tension fracture model 'rock slopes'. AMBIENT PROBLEMS.
Ph.D. Topic: 'Steep excavated rock slopes'.....NOT FAILING WHEN EXPECTED!!



Model M2: medium horizontal stress : more logical down-dip deform.
M3: high horizontal stress a lot of OC. (40,000 blocks in each model)

Barton, 1971



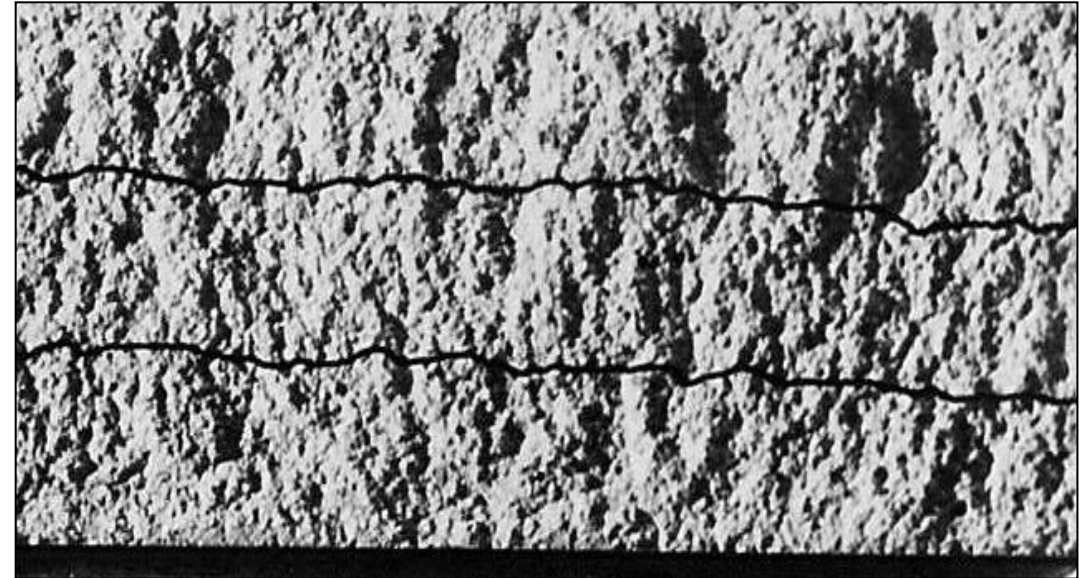
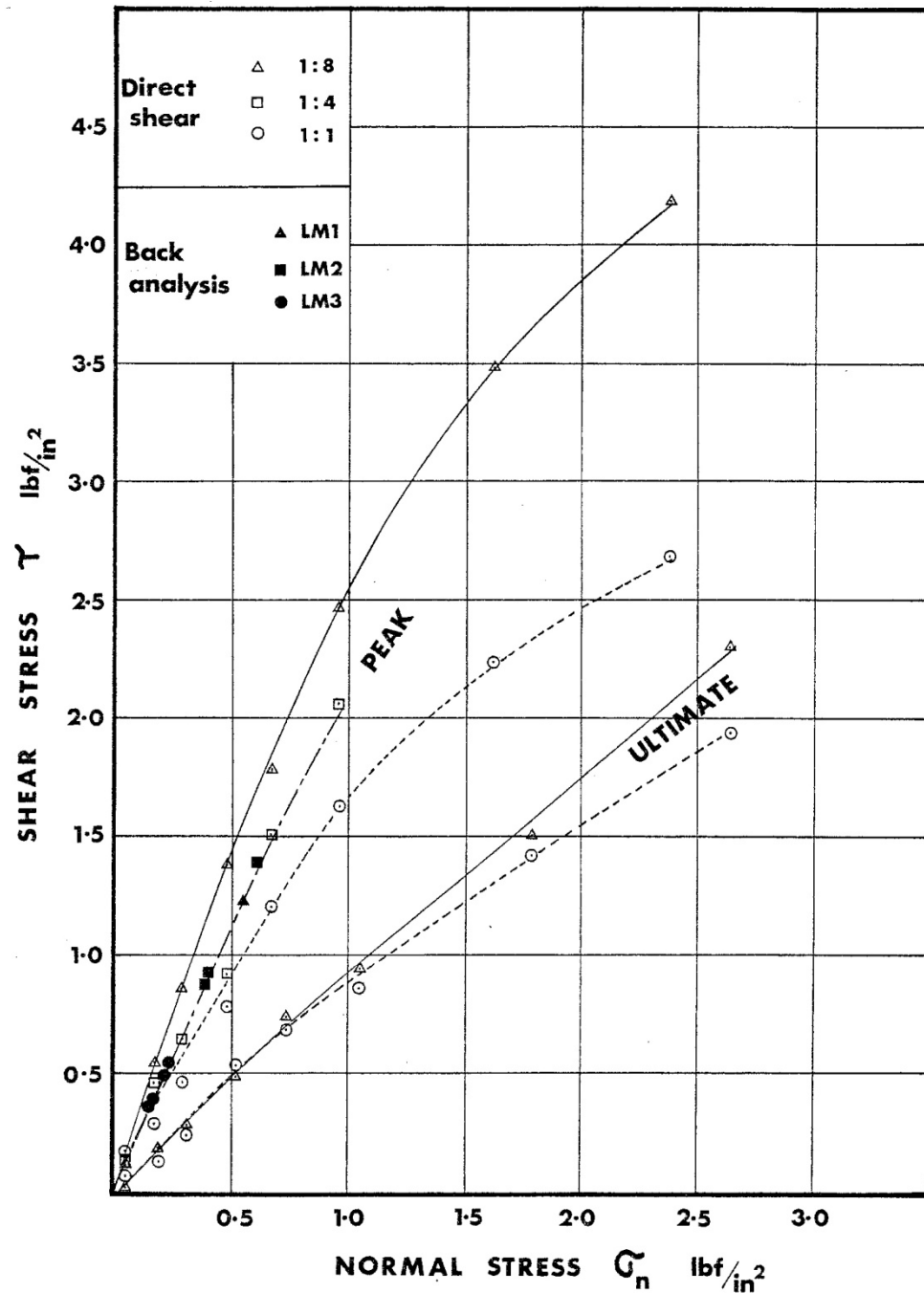


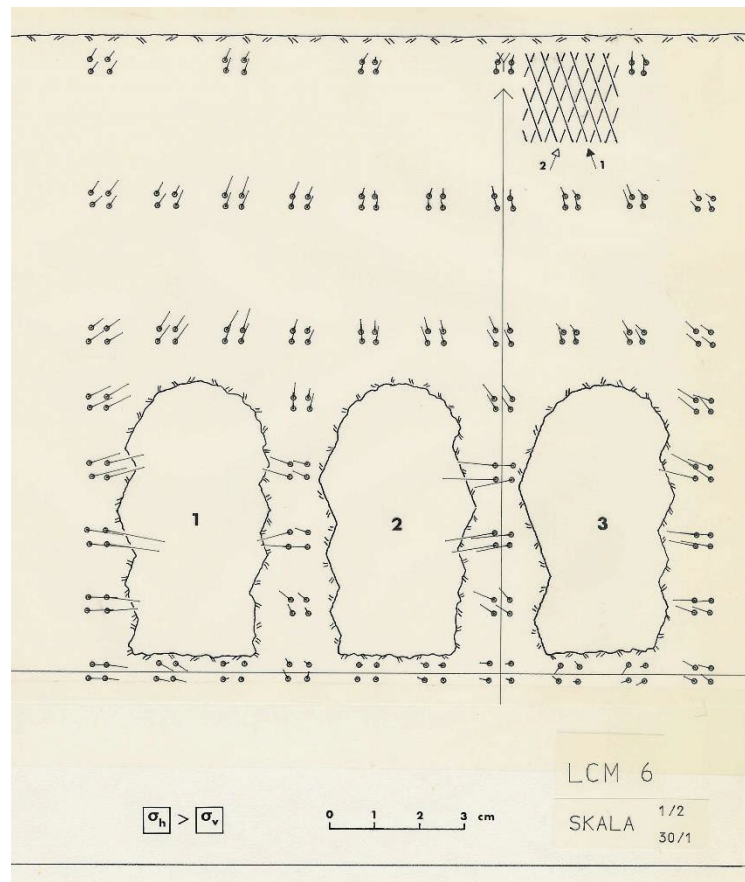
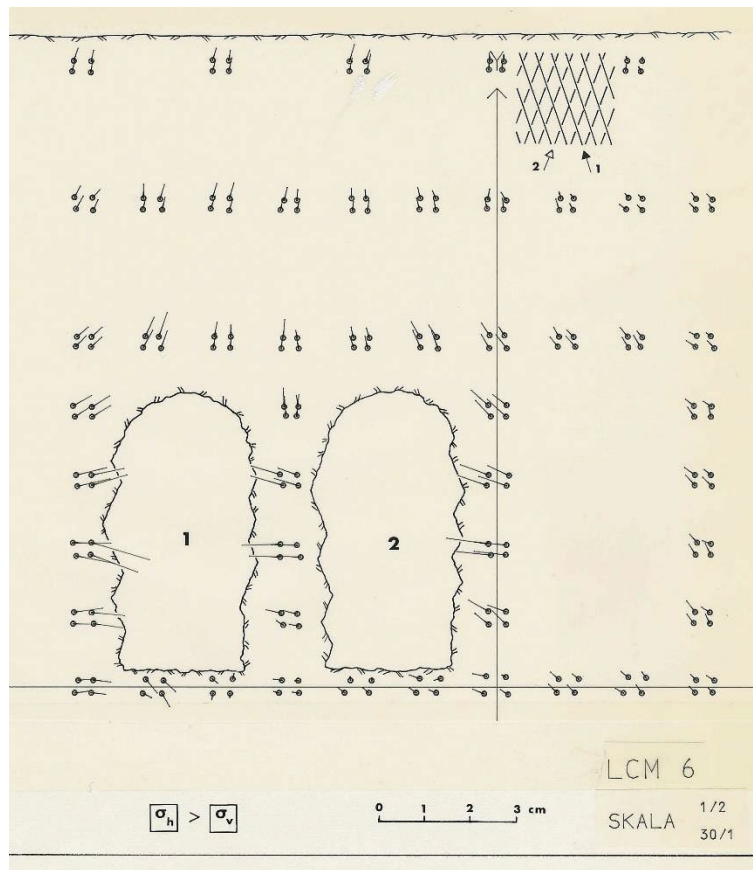
**Note
'intact'
multi-
fractured
blocks, due
to over-
closure.**

SLOPES DID NOT FAIL WHEN EXPECTED, BASED ON CONVENTIONAL DIRECT SHEAR TESTS

- *Conventional* meant normal stress σ_n application of the same magnitude as that expected beneath the slope during excavation.
- What about the normal stress level acting before the slopes were constructed?
- See direct shear test envelopes: 1:1 (conventional) SEE NEXT SCREEN
- 4:1 (pre-consolidated).....as with medium horizontal stress
- 8:1 (pre-consolidated).....as with high horizontal stress

Conventional (1:1) and pre-closed, therefore 'over-closed' direct shear tests.
(Barton, 1971)

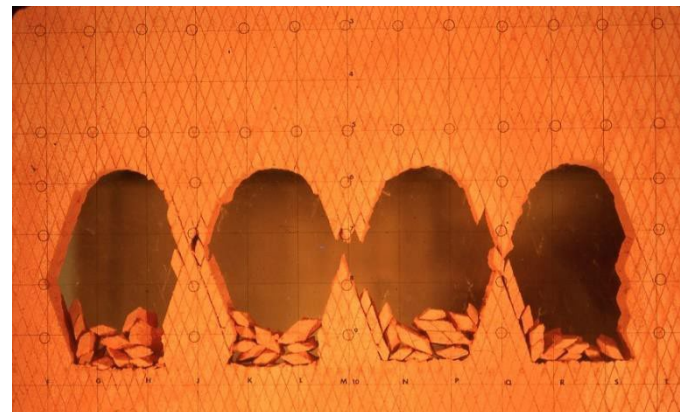
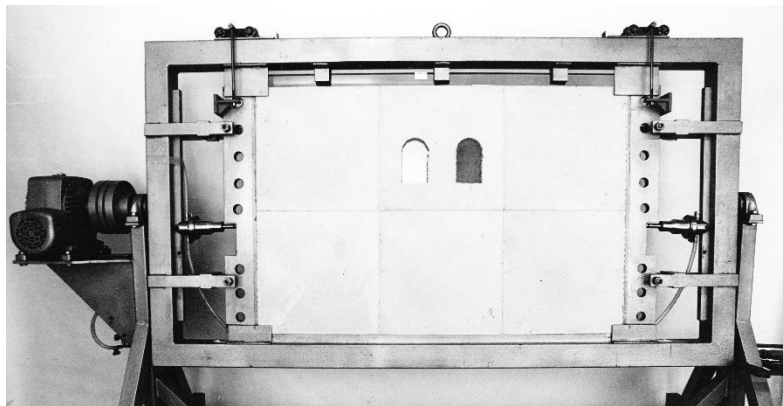




NOTE: NO REVERSAL OF DEFORMATIONS in PREVIOUS PILLAR.

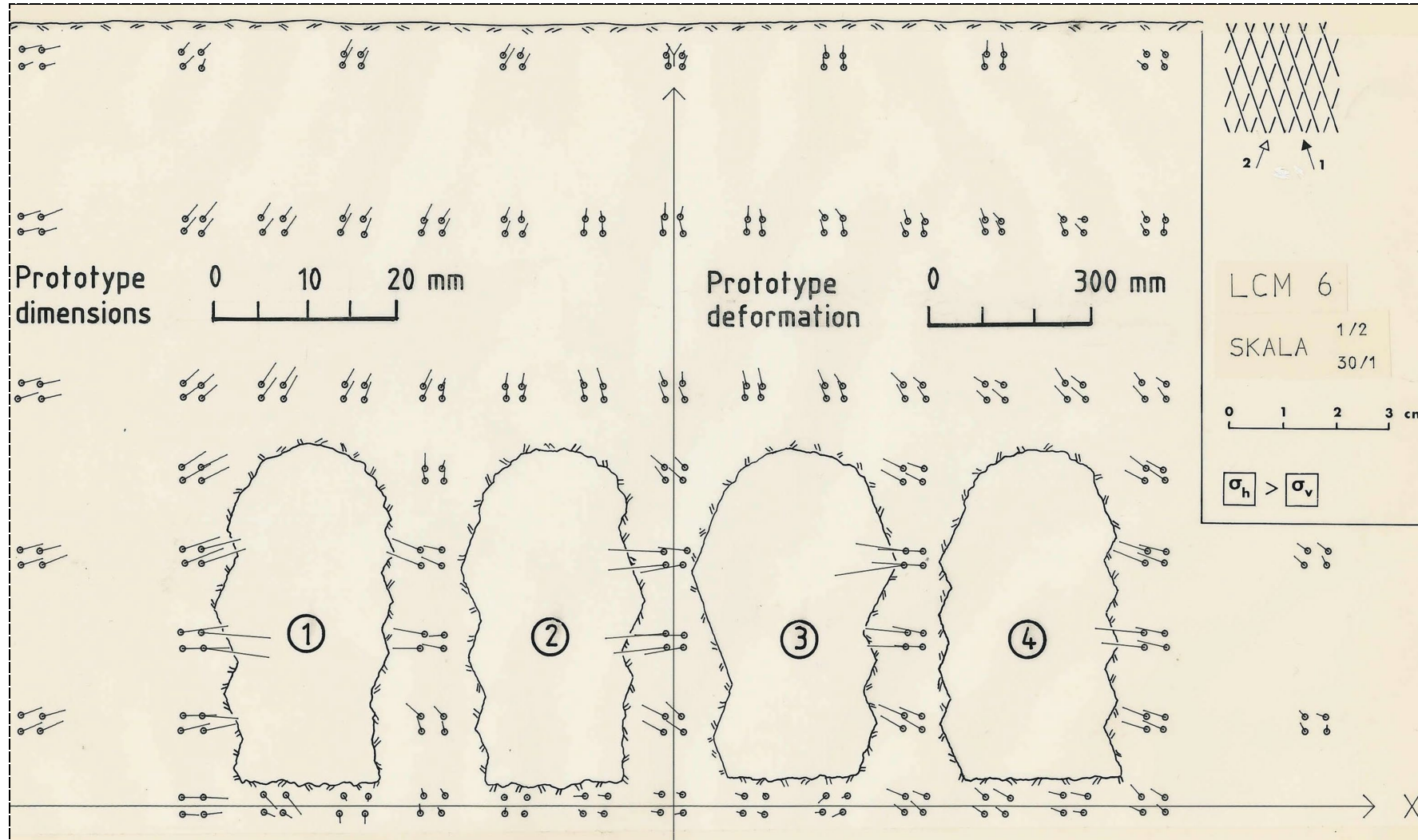
Over-closure here is purely an M response. But can get T and TM responses in crystalline rocks.

Barton and Hansteen, 1979

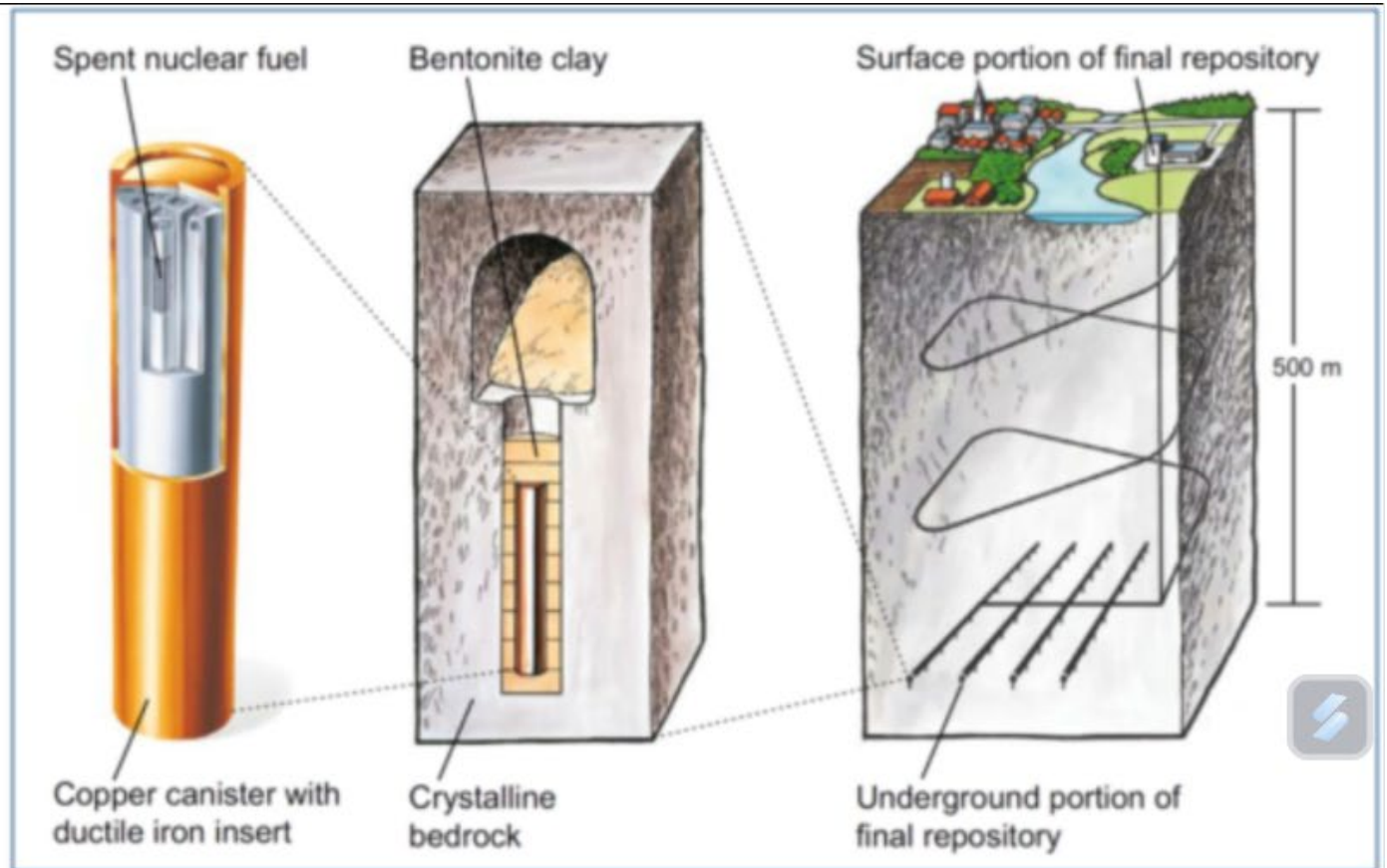


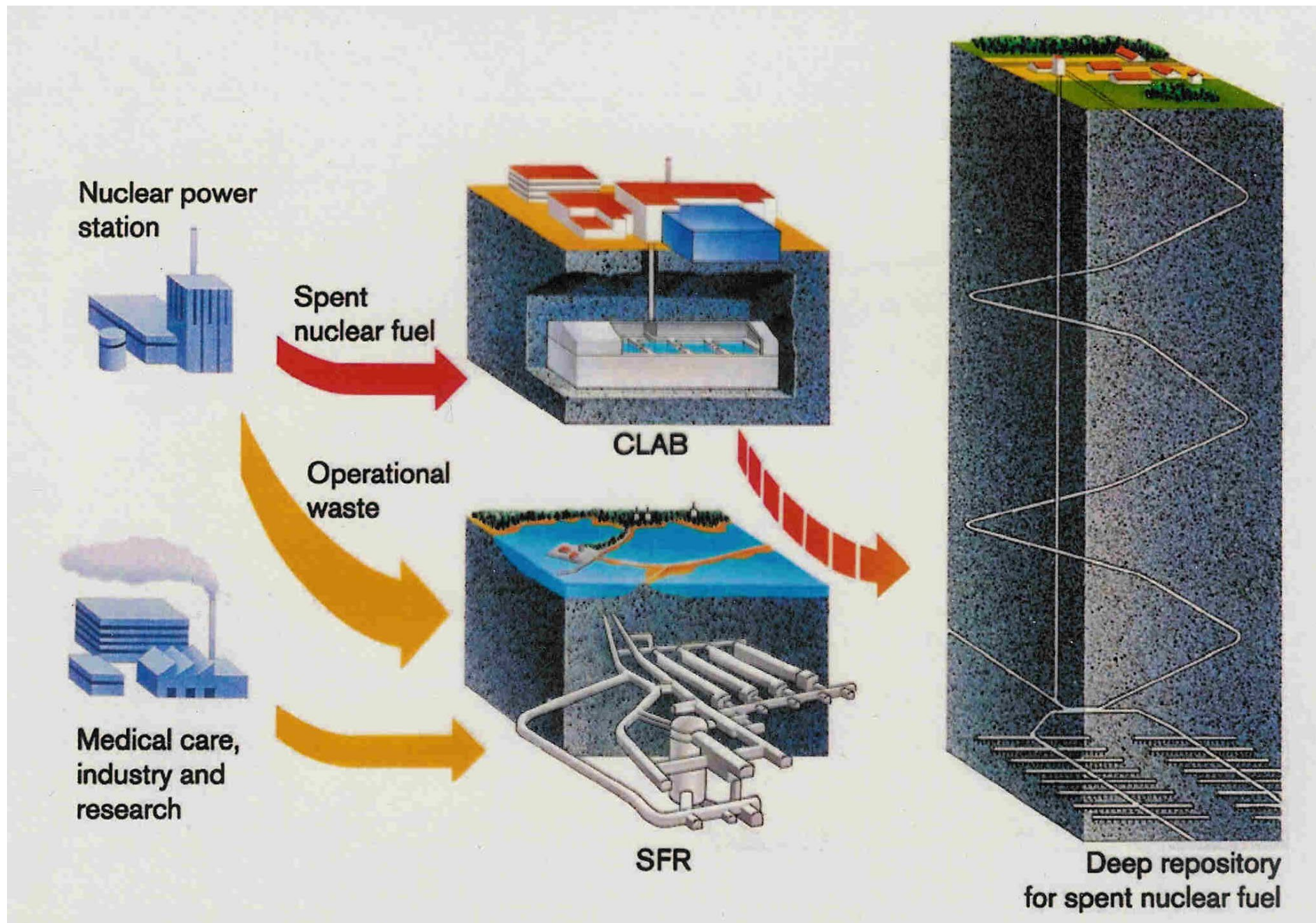
Left: Response to modelled earthquake shaking.

20,000-block models of 'rock caverns' (Barton and Hansteen, 1979)
Extreme hysteresis (= over-closure) were experienced.
(DEFORMATIONS DIRECTED ONLY TOWARDS THE LAST EXCAVATION)
(order of excavation 1-2-3-4)



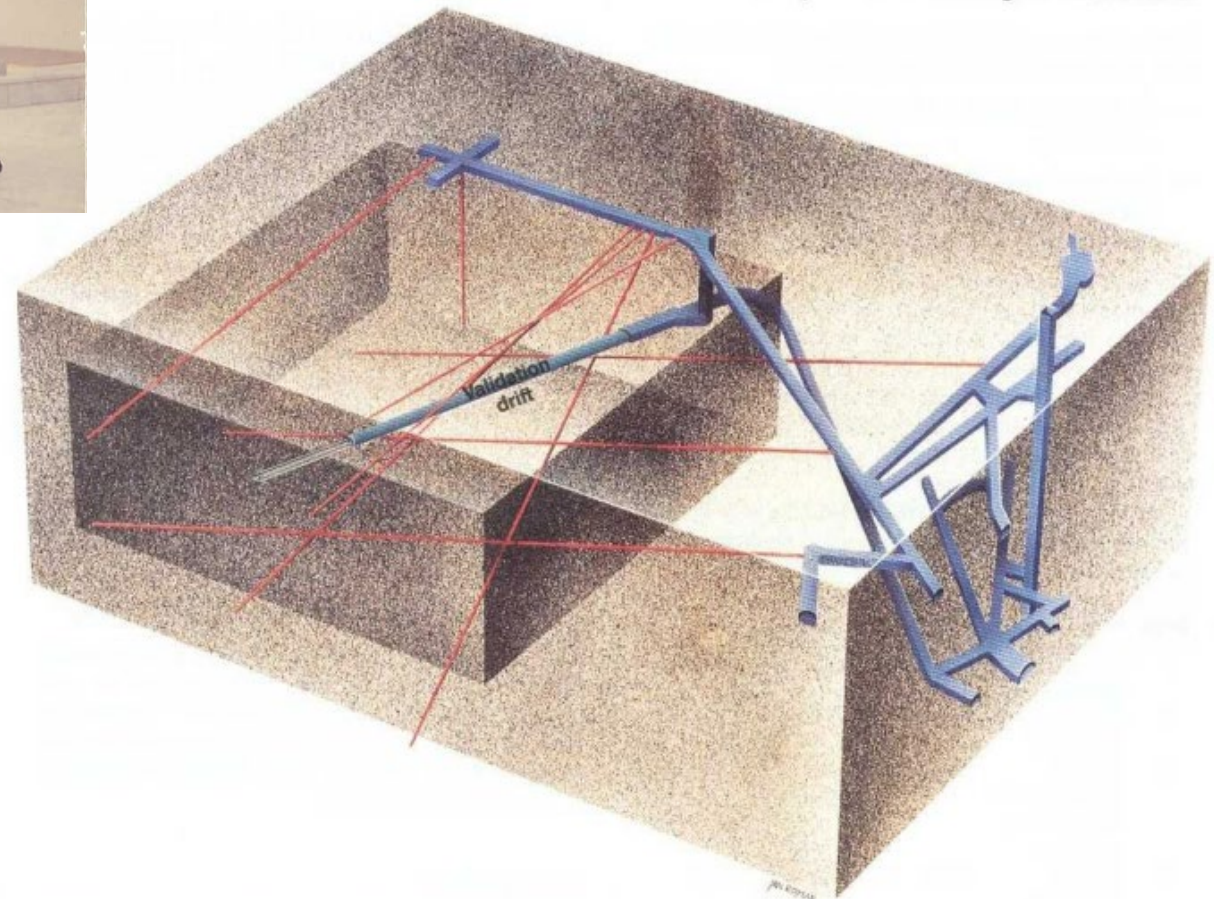
5. SKB: Swedish Nuclear Fuels studies: Stripa SCV site characterization and validation (NGI, 1986 -1990).



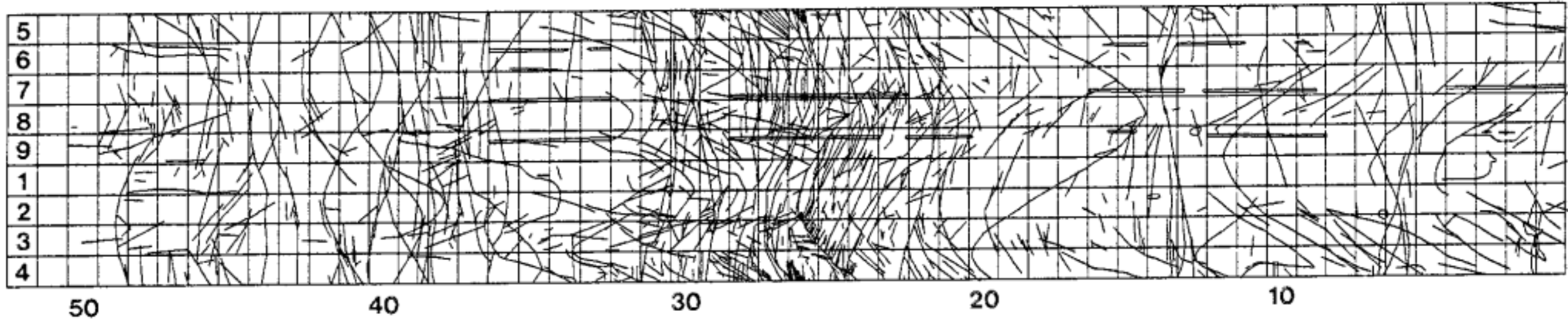


SKB has played a leading international role in researching nuclear waste disposal challenges, using multiple teams of international experts.

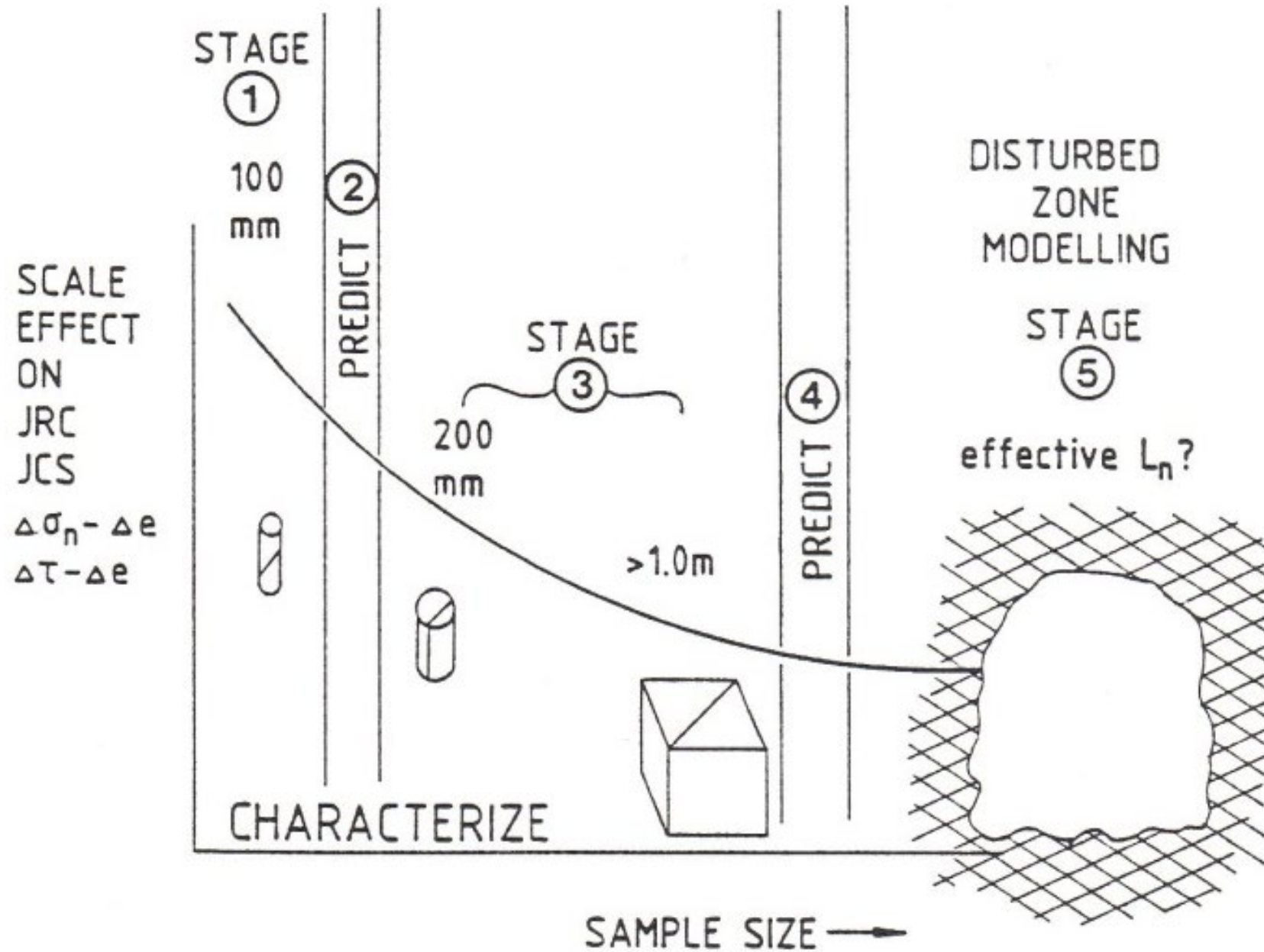
Most of the 'principal investigators' for the Stripa SCV project 1987-1990.



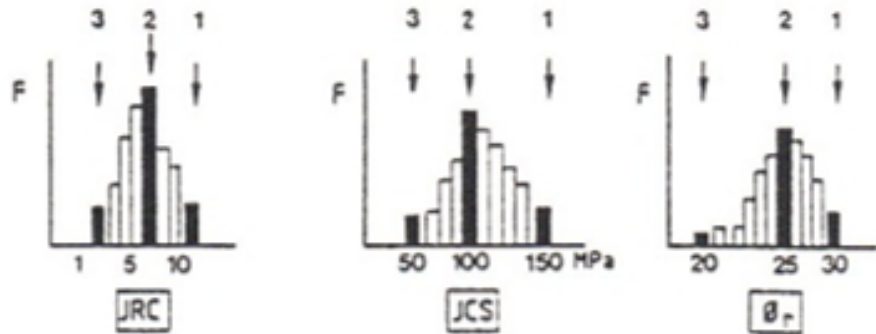
THE VALIDATION DRIFT WITH finally RECORDED JOINTING. 360° image. Hydraulic modellers (Dersowitz, Herbert) used FRACMAN and NAPSAC models, based on multiple future-drift-parallel borehole testing. The drift was covered in 2x2m plastic sheets to locate and measure all inflow.



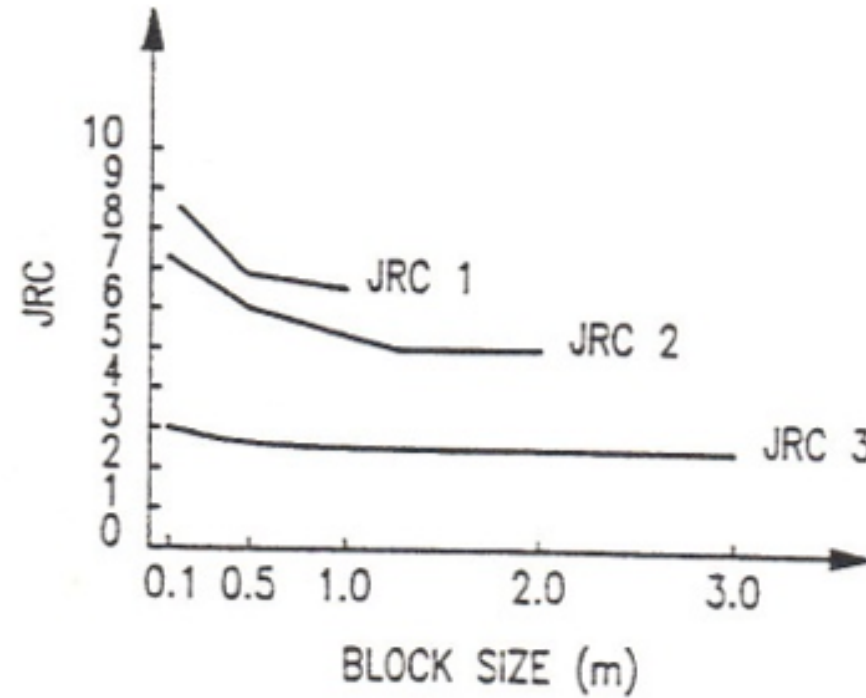
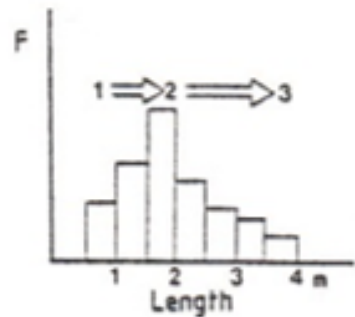
- An important finding: due to the small 2.0 x 2.5m drift, there was insufficient deformation for 'normal' EDZ-related joint shear/dilation (NGI models showed this). All hydraulic models were strongly in error: because of no normal closure allowance. Inflow was almost limited to the fracture zone. Some sited dewatering/gas emission to explain reduced inflow



The NGI
rock
mechanics
part of the
Stripa SCV
(site
characterization
and validation)
project.



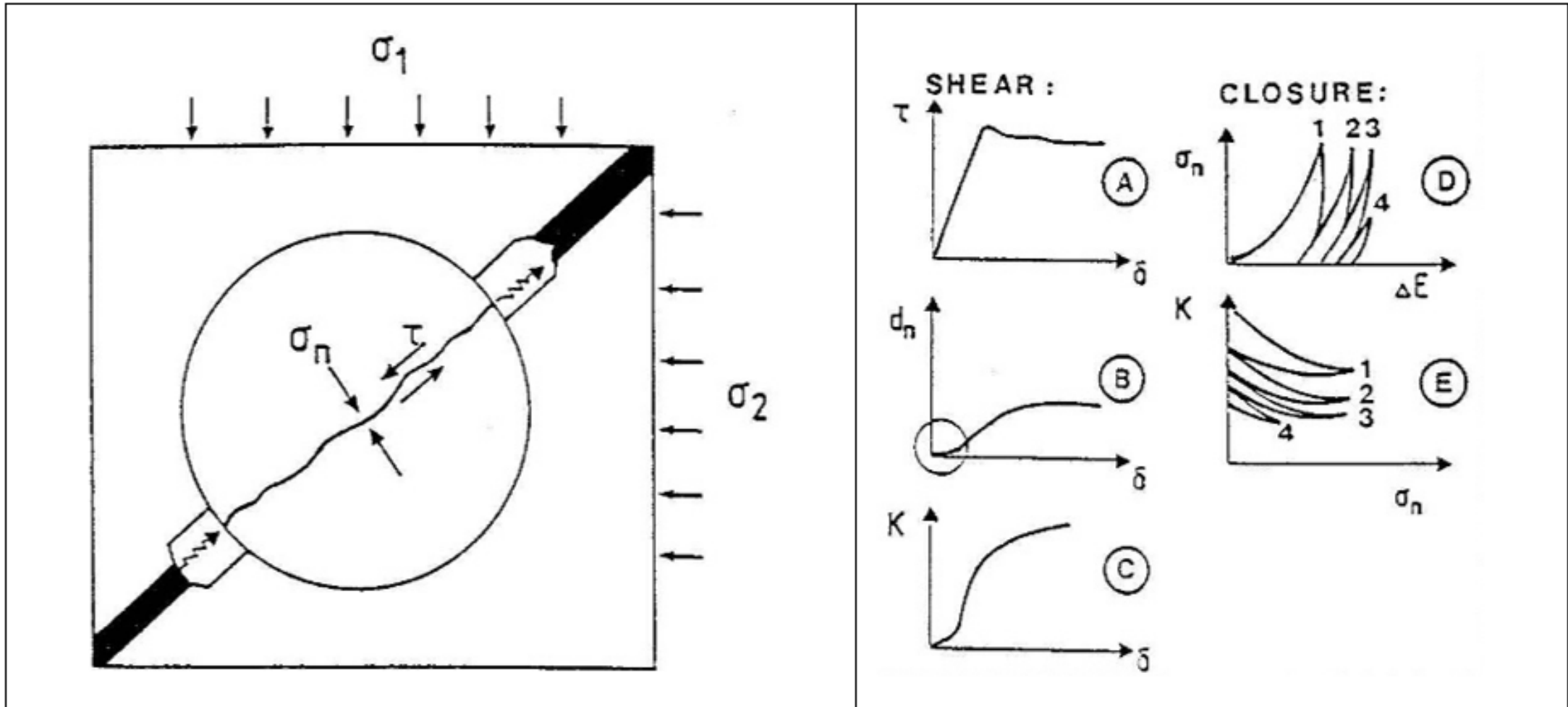
3	3	3	3	≅ mineralized (persistent)
2	2	2	2	≅ average
1	1	1	1	≅ unfilled (non-persistent)
θ _r	JCS	JRC	Length	



- JRC 1 – unfilled, non-persistent joints
- JRC 2 – average joints
- JRC 3 – mineralized, persistent joints

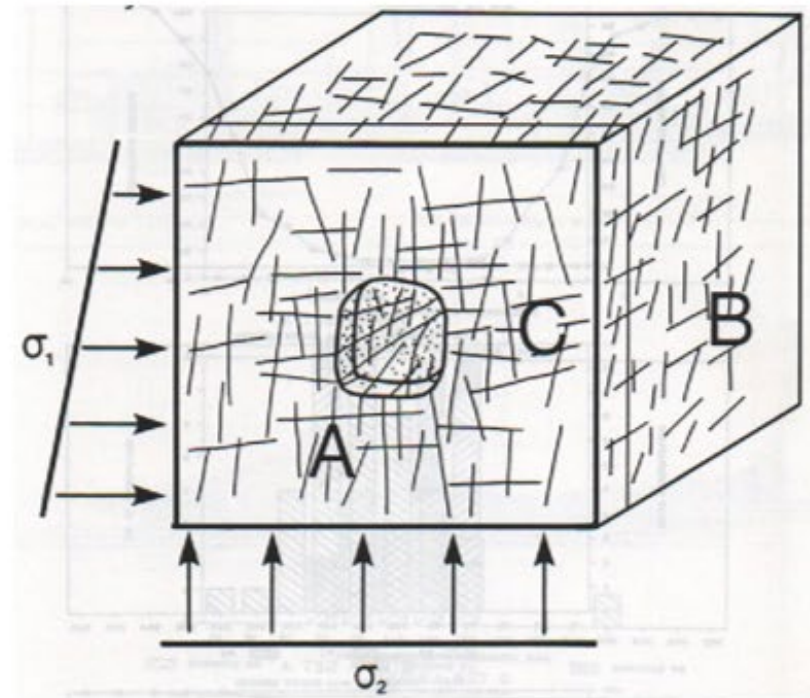
Stage 1 of NGI's SCV contribution was joint characterization in preparation for coupled (M-H) UDEC-BB modelling of the test tunnel, based on initially limited core logging and testing.

The larger CSFT test was the 3rd Stage of NGI's SCV, plus a 1mx1m in situ block test in the Stripa test drift.

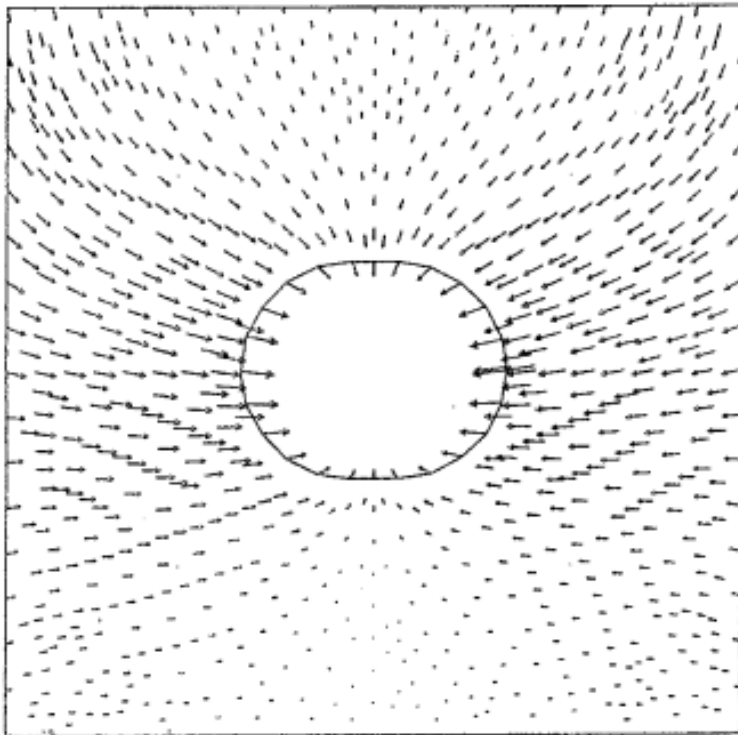


Allowance was made for individual joint set JRC, JCS, ϕ_r and for block-size scaling.

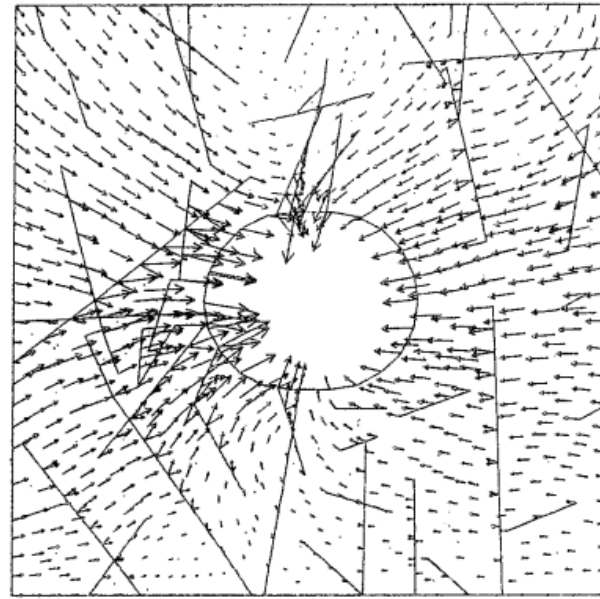
Block size (m)	0.1	0.5	1.0	2.0	3.0
Group					
JRC 3	3	2.7	(2.6)	2.5	2.5
JRC 2	7.25	5.6	(5.2)	4.7	
JRC 1	8.5	(6.5)	5.8		



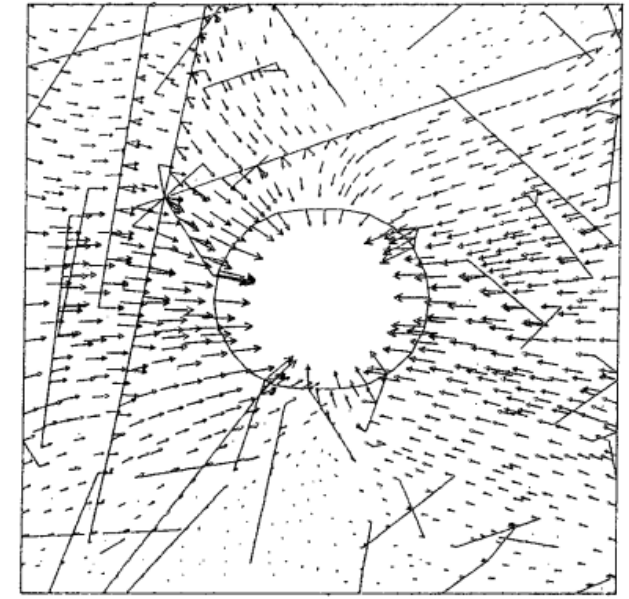
NGI's UDEC-BB modelling
with stochastically generated
jointing from Harwell's
NAPSAP models (Karstein
Monsen and Alan Herbert).
See Barton et al. 1991.



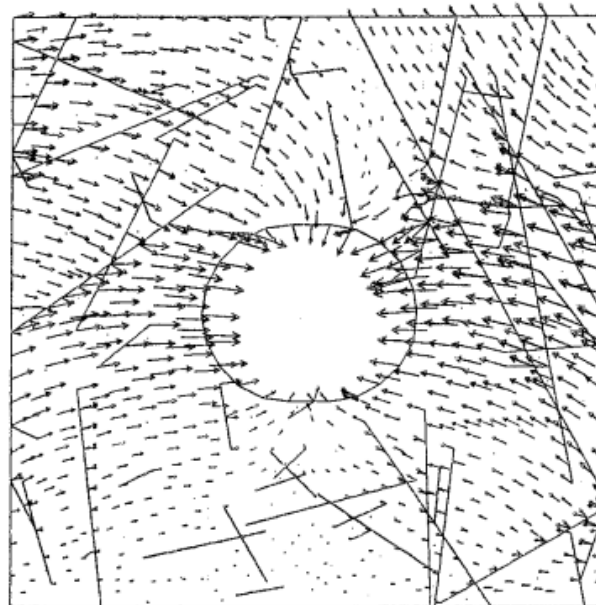
Continuum model



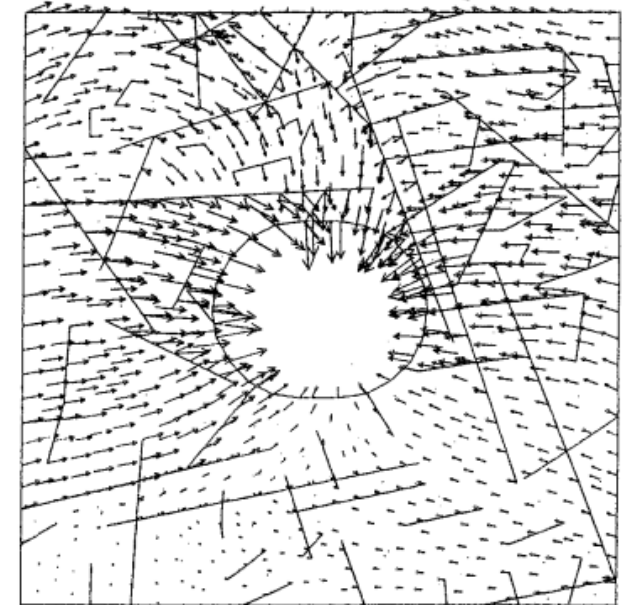
Model 5



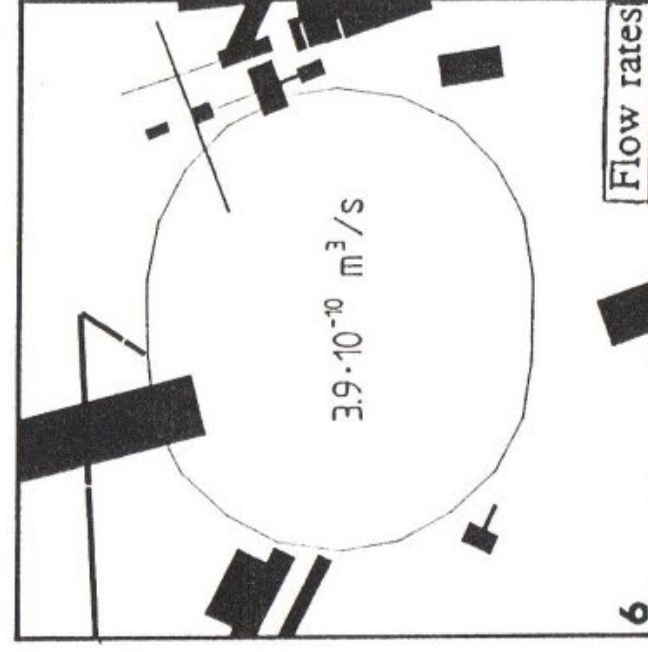
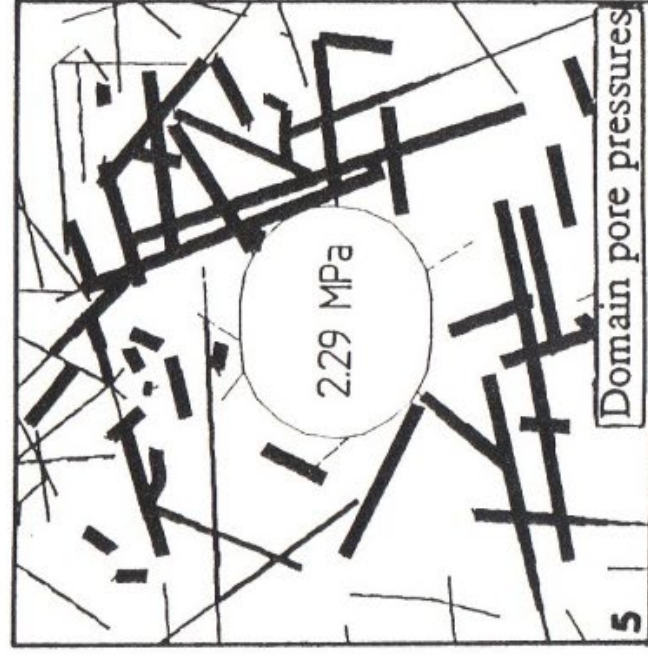
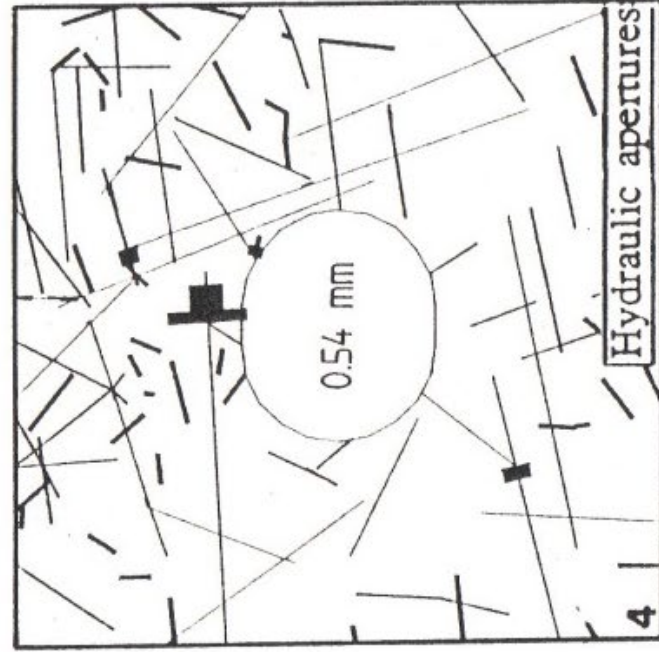
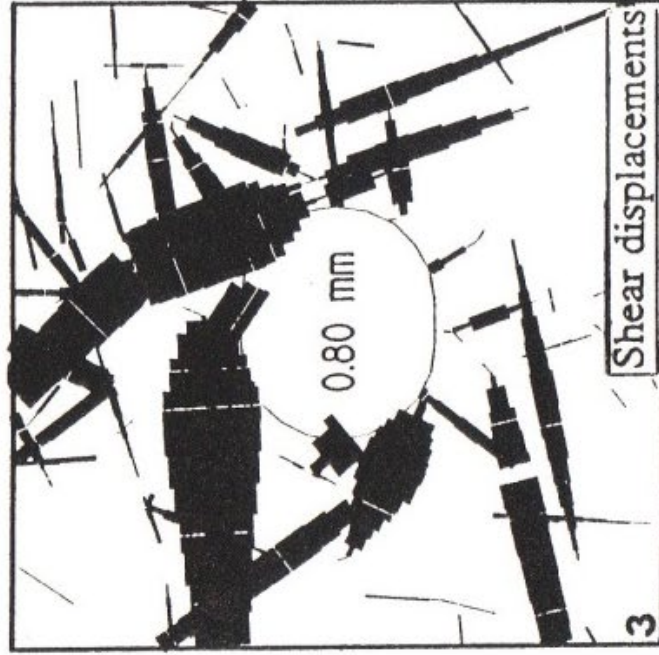
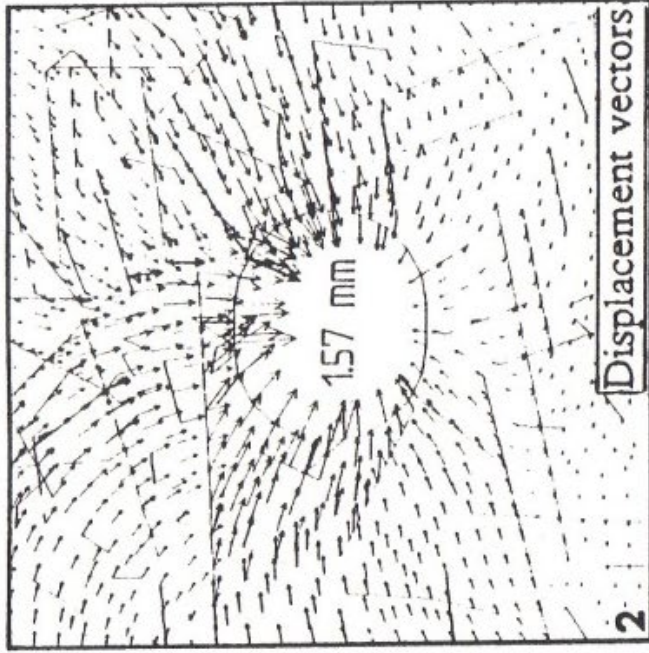
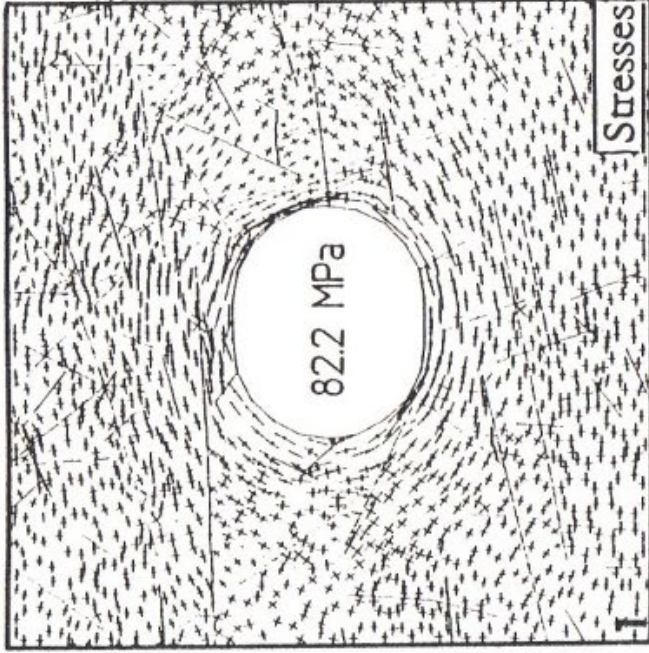
Model 6



Model 7



Model 8

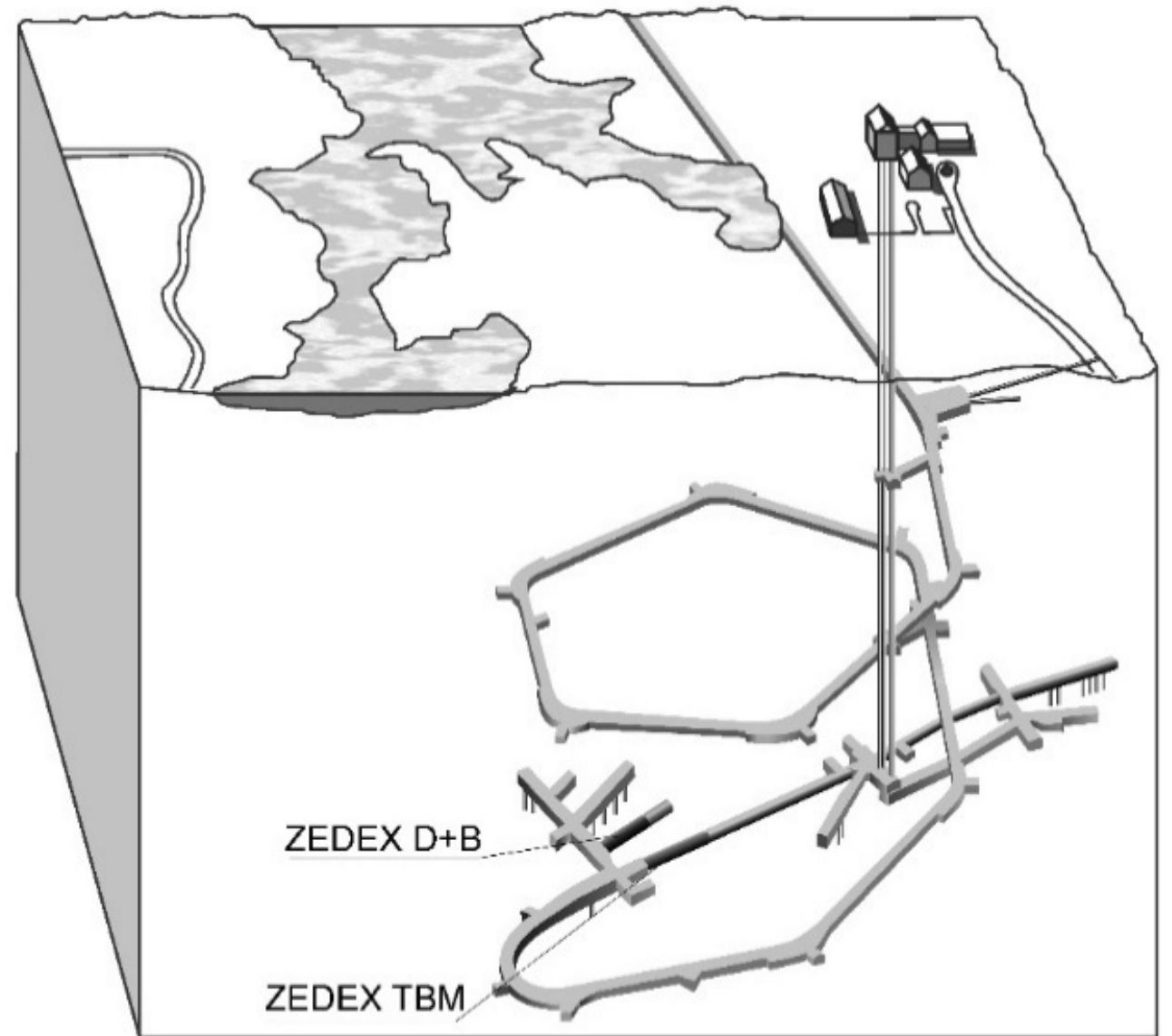


One of
NGI's
M-H
coupled
UDEC-BB
models.

6. Äspö ZEDEX D+B, velocity, modulus. APSE pillar study, tunnel characterization, parameter estimation. 1991 -1994



NGI/NB ZEDEX D+B involvement



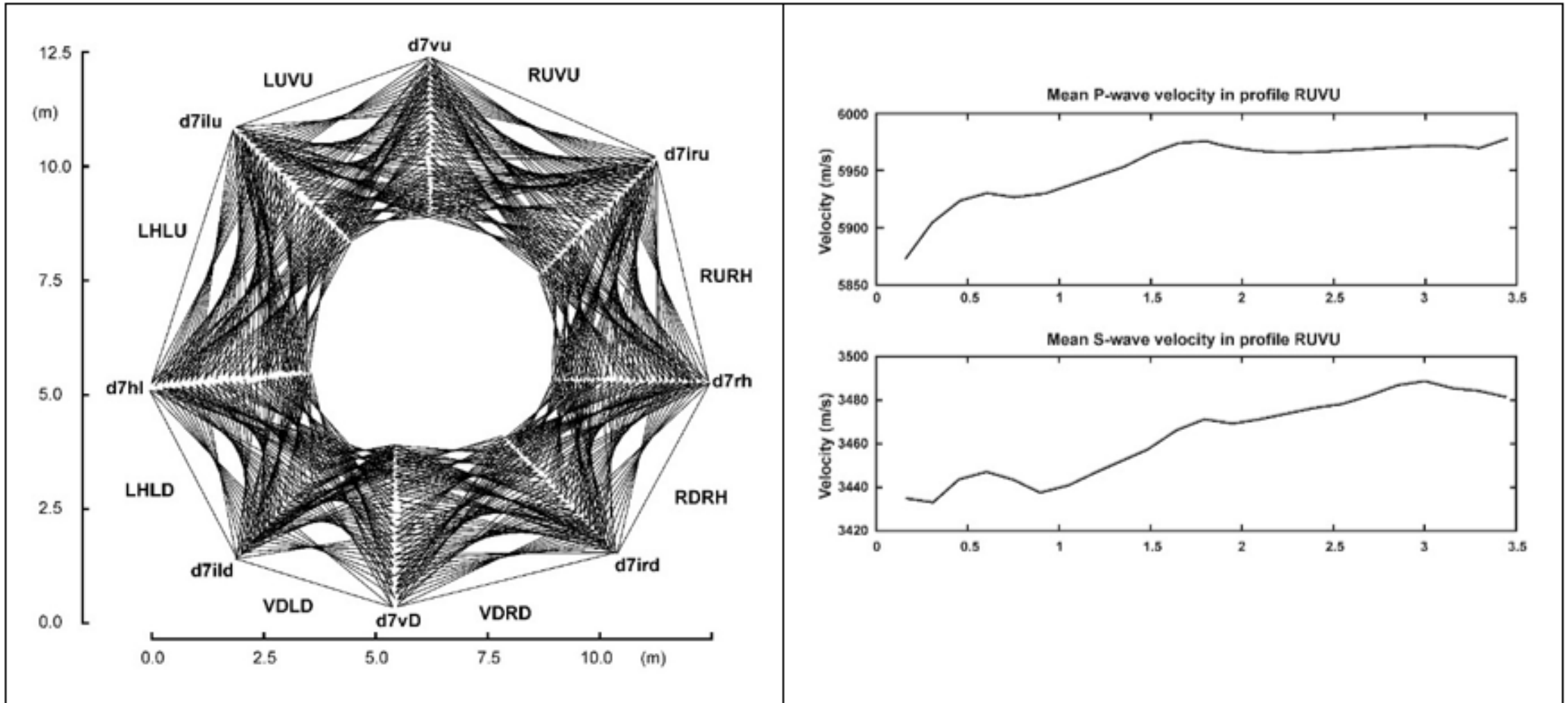


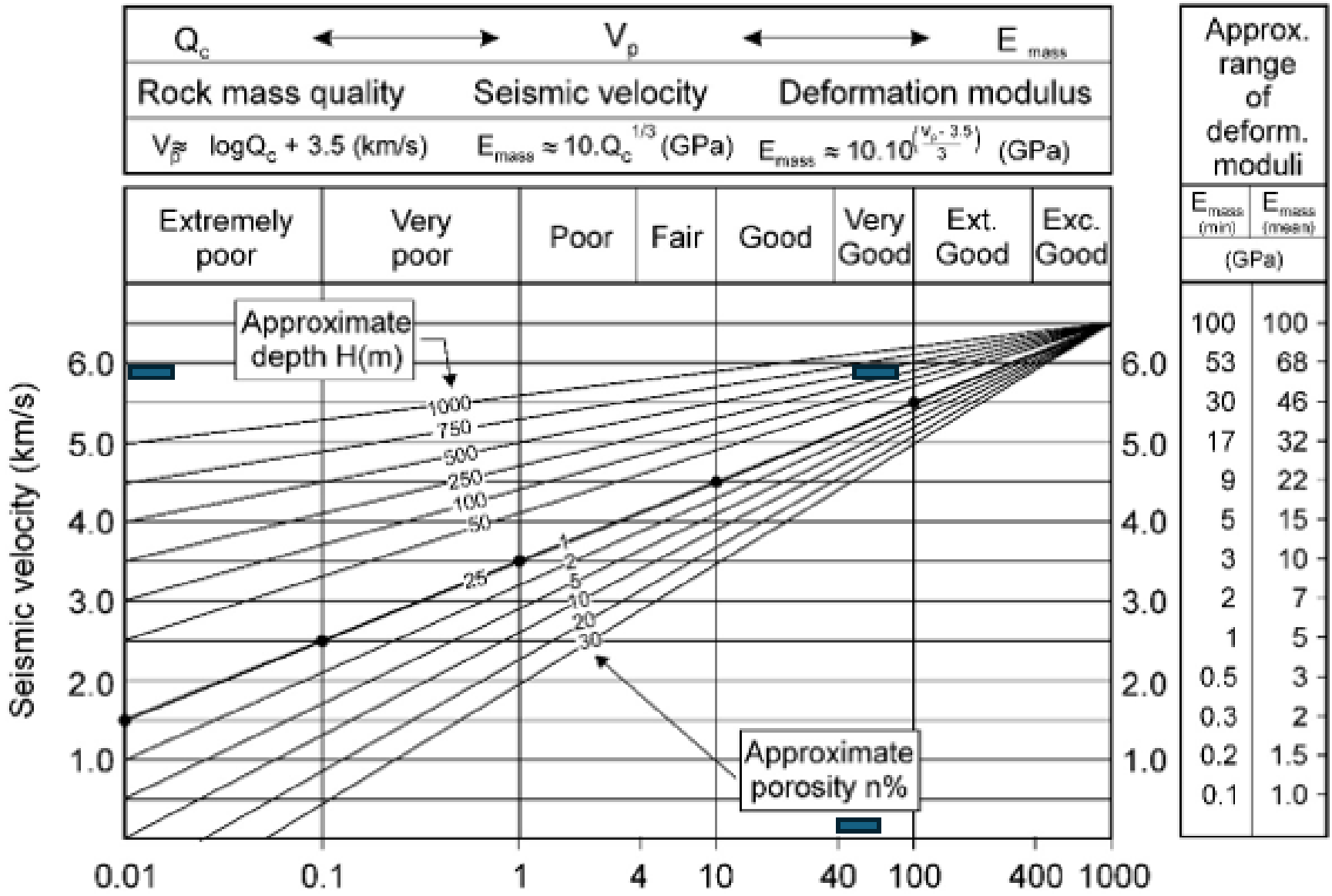
With Brazilian visitors near and above Äspö. **‘Cleaned pavement’** in forest *for observation of the rock mass*. Rolf Christiansson of SKB is our guide.



Cross-hole seismic tomography for ZEDEX. Note reduced velocity in EDZ caused (also) by drill-and-blast effect.

Cosma and Enescu, 1996.





Empirically derived correlations between Q_c , V_p and E_{mass} (=M). Barton, 1995, 2000, 2002.

800m of relevant core within the ZEDEx PROJECT, logged by Løset of NGI showed Q mean = 40.

With UCS or $\sigma_c \approx 200\text{MPa}$, this gives $Q_c = 80$.

CORRELATES WITH $V_p = 5.8 - 5.9 \text{ km/s}$ as X-hole measured at 500m depth.

$$Q_c = \left[\frac{RQD}{J_n} \times \frac{J_r}{J_a} \times \frac{J_w}{SRF} \right] \frac{\sigma_c}{100}$$

**International
Progress Report**

IPR-04-07

Äspö Hard Rock Laboratory

Äspö Pillar Stability Experiment

Q-logging of the TASQ tunnel at Äspö

**For rock quality assessment and
for development of preliminary
model parameters**

Nick Barton

Nick Barton & Associates

August 2003

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and Waste Management Co
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**Äspö Hard Rock
Laboratory**





Before logging in the APSE tunnel, two axis parallel boreholes were Q-logged.

Figure 4-3. Example of a/L and JRC estimation, set 2 ($JRC \approx 6-8$).

Comprehensive
histogram
logging of two
axis-parallel
boreholes prior
to entry in APSE.

Q-histograms on
the left.



Nick Barton & Associates

Rock Engineering

ELEVATION OR DEPTH ZONE: -450 m.

Q (typical range) = 15-100 Q (mean) = 40.4
 $\frac{90-100}{2-4} \times \frac{1.5-2}{2-3} \times \frac{.56-1}{0.5}$ $\frac{47.9}{3.6} \times \frac{1.9}{2.3} \times \frac{0.9}{0.5}$

COMMENTS: set 1 \perp to holes (110H)
set 2 oblique

PROJECT: Äspö PILLAR Exp.
 PROJ. NO.: KF 0069 / KA 3386
 PHOTOS: diverse SRC profile photos.

GEOTECHNICAL LOGGING CHART - DATA for Q, UDEC, BB

LOCATION: -450 TAS-F
 TAS-A
 ROCK TYPE: diorite / with f.g. granite
 GEOLOGY:

Page
 Rev
 Date
 Sign

BLOCK SIZES

RQD %
 Core pieces ≥ 10 cm

J₀
 Number of joint sets

J_r
 Joint roughness - least favourable

J_a
 Joint alteration - least favourable

J_w
 Joint water pressure

SRF
 Stress reduction factor

Weathering Grade (ISRM)

W

F = joint frequency/m (core)
 S = joint spacing (m) (sets)

JRC₀ = joint roughness
 JCS₀ = wall strength

K = permeability
 σ_c = uniax. str. (MPa)

L = joint length (sets 1 and 2) (m)
 a/L = roughness amplitude/length (mm/m)

J_v = volumetric joint count (No./m³)
 Φ_r = residual friction angle

Schmidt (r,R)

SET ① SET ②

SET ① SET ②

SET ① SET ②

SET ① SET ②

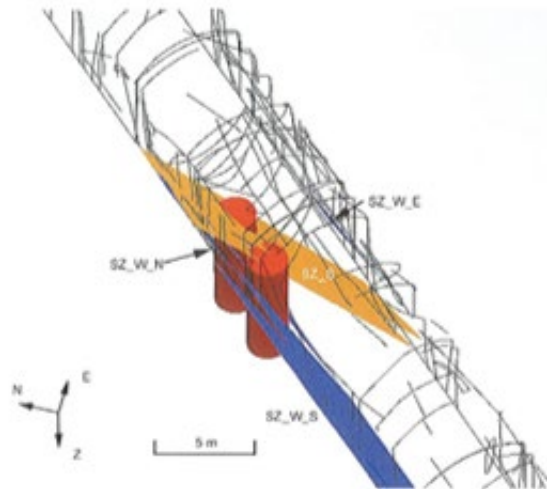
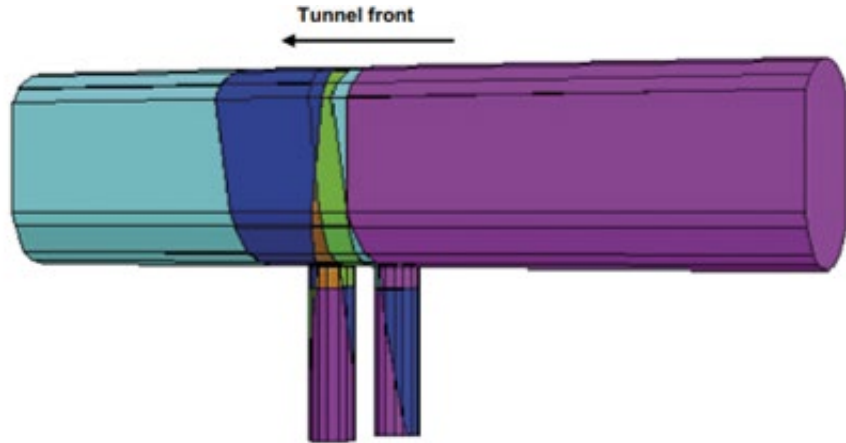
SET ① SET ②

SET ① SET ②

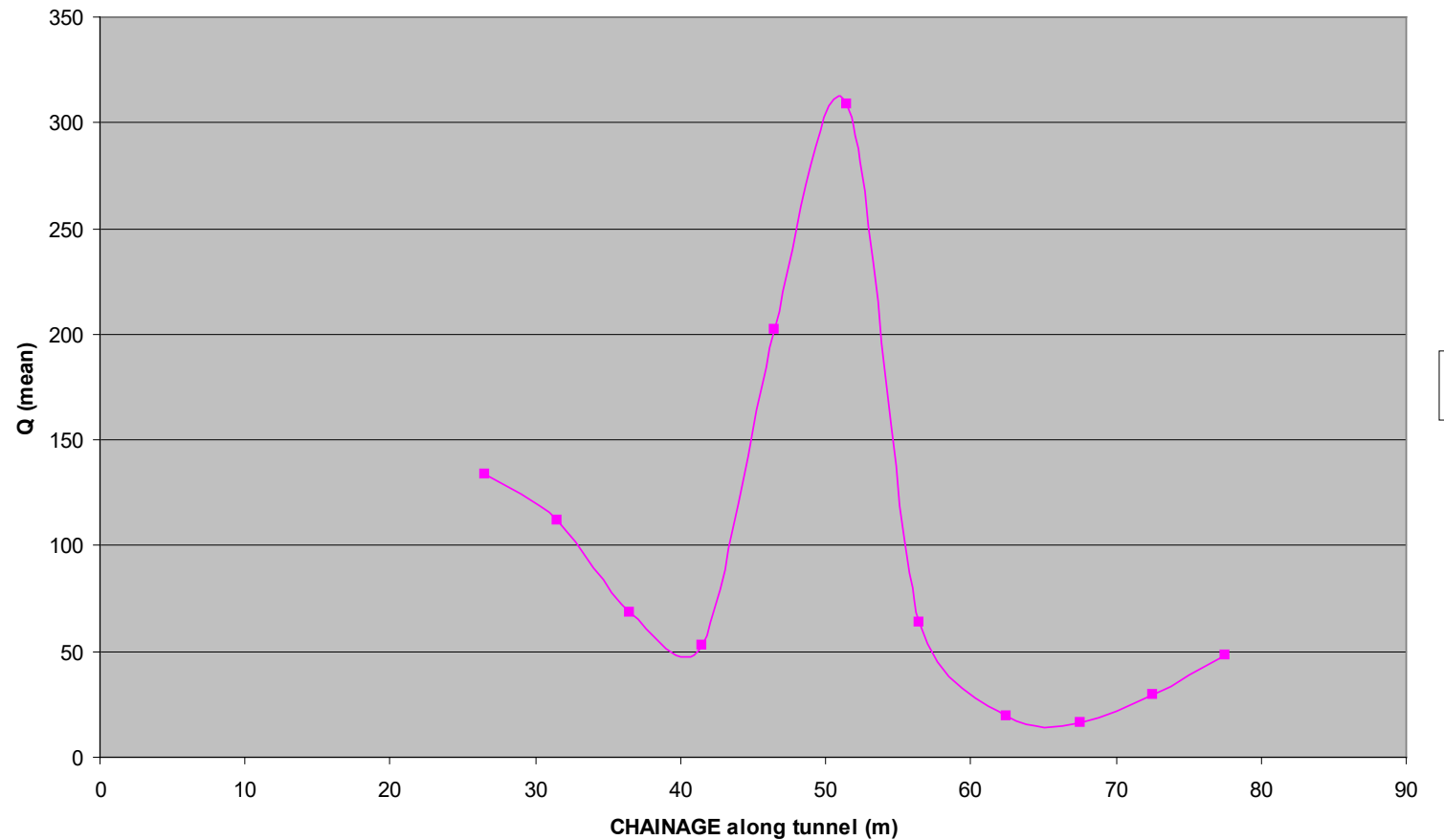
JOINT ORIENTATIONS (dip°/dip dir°)

SET ① SET ② SET ③

Q-value distribution in APSE (pillar stability) tunnel at Äspö



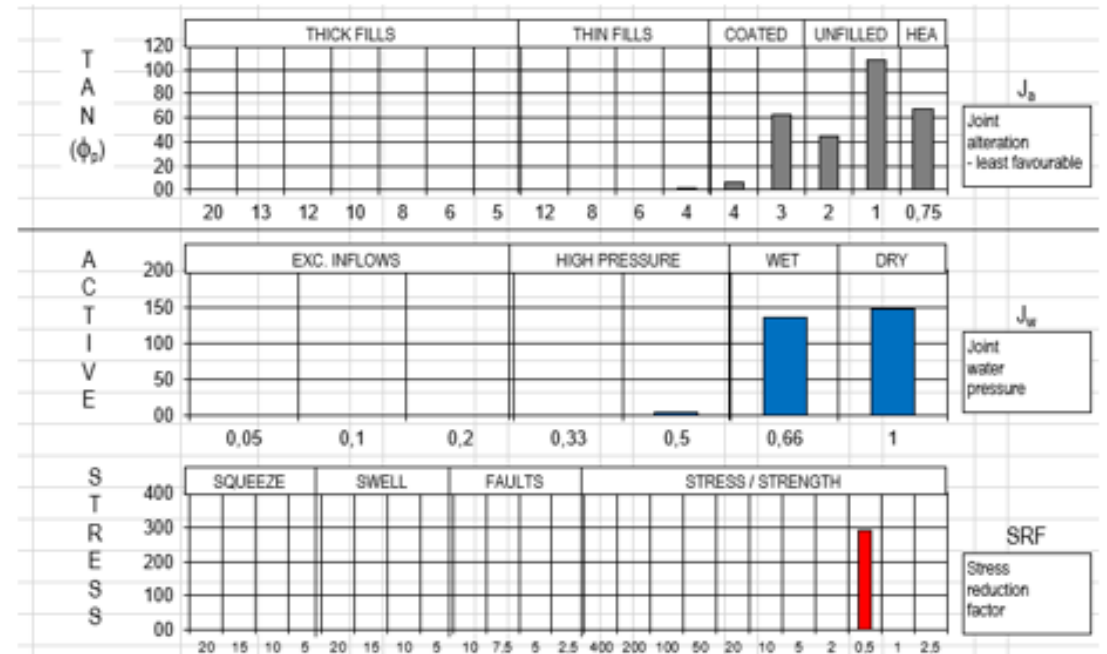
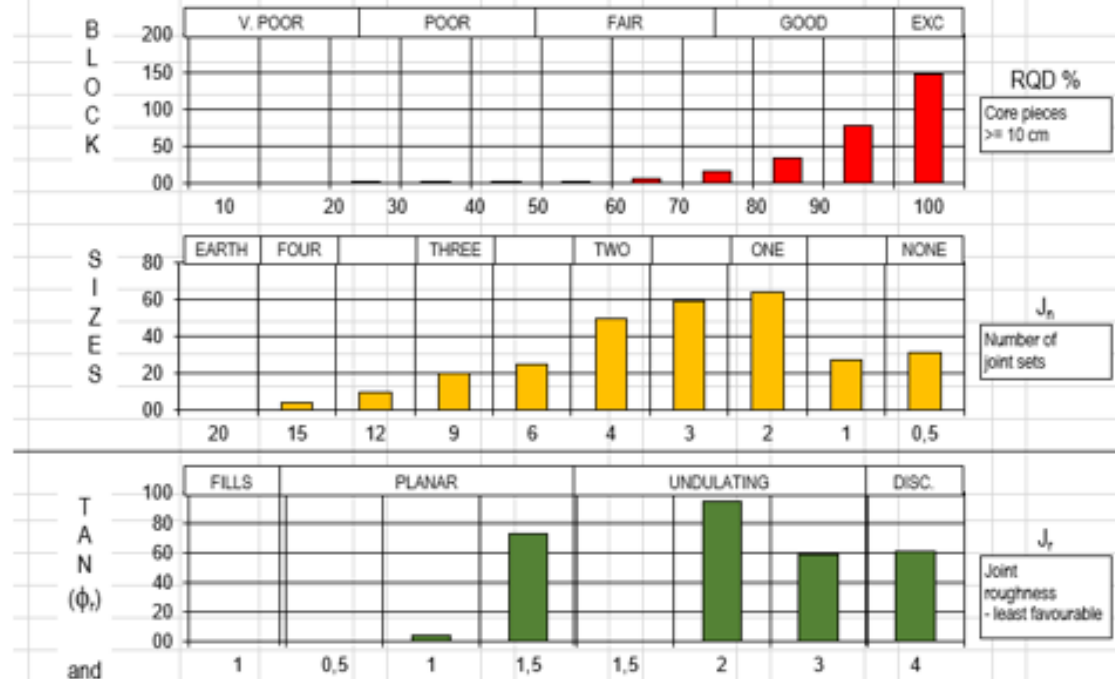
APSE TUNNEL - Q(mean) versus chainage (SRF = 0.5 assumed)



Q-parameter statistics for SKB's APSE tunnel.

Barton, 2003.

Q - VALUES:	(RQD / Jn) *	(Jr / Ja) *	(Jw / SRF) =	Q
Q (typical min)=	75 / 12,0 *	1,5 / 3,0 *	0,66 / 0,5	= 4,125
Q (typical max)=	100 / 0,5 *	4,0 / 0,8 *	1,00 / 0,5	= 2133,3
Q (mean value)=	93 / 3,6 *	2,5 / 1,6 *	0,83 / 0,5	= 65,64
Q (most frequent)=	100 / 2,0 *	2,0 / 1,0 *	1,00 / 0,5	= 200,00



SKB APSE TUNNEL ÅSPÖ

Overall Q-parameter statistics for the eleven, 5m long

sections logged from 24 to 80m.

Note SRF = 0.5 assumption relevant to tunnel invert.

Rev.	Report No.	Figure No.
	NB&A 2	
Borehole No. :	Drawn by:	Date
	nrb	20.08.2003
Depth zone (m)	Checked	
ch. 24-80	nrb	
Logg	1,0	Approved



Q-parameter statistics for seven, 5m long sections logged from **24 to 59m**.

Q - VALUES: $(RQD / J_n) * (J_r / J_a) * (J_w / SRF) = Q$

Q (typical min)= $75 / 6.0 * 1.5 / 3.0 * 0.50 / 0.5 = 8.250$

Q (typical max)= $100 / 0.5 * 4.0 / 0.8 * 1.00 / 0.5 = 2133.0$

Q (mean value)= $94 / 2.5 * 2.6 / 1.5 * 0.85 / 0.5 = 110.76$

Q (most frequent)= $100 / 2.0 * 2.0 / 1.0 * 1.00 / 0.5 = 200.00$

Q-parameter statistics for the four, 5m long sections logged from **60 to 80m**.

Q - VALUES: $(RQD / J_n) * (J_r / J_a) * (J_w / SRF) = Q$

Q (typical min)= $75 / 15.0 * 1.5 / 4.0 * 0.66 / 0.5 = 2.475$

Q (typical max)= $100 / 1.0 * 4.0 / 0.8 * 1.00 / 0.5 = 1066.7$

Q (mean value)= $91 / 6.7 * 2.2 / 1.9 * 0.79 / 0.5 = 25.10$

Q (most frequent)= $100 / 6.5 * 2.0 / 1.0 * 0.66 / 0.5 = 40.62$

(Note SRF = 0.5 assumption for relevance to tunnel invert)

Details of
Q-parameters
in APSE tunnel

Stressed (500m depth) estimates of V_p and E_{mass} based on Q_c correlations range from 5.8 to 6.0 km/s and 59 to 66 GPa respectively.

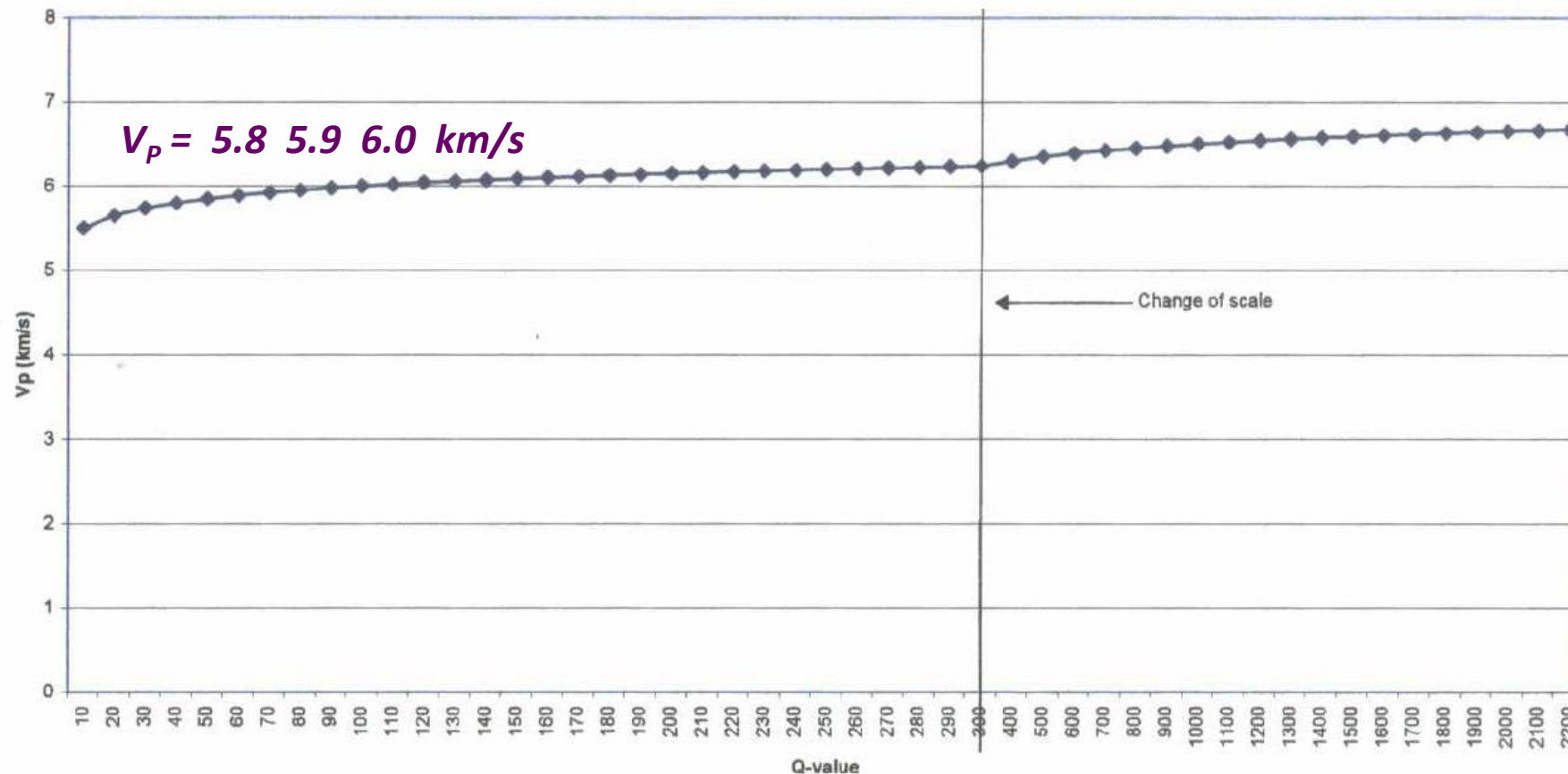
A more stressed (1000m depth) model, *perhaps* equivalent to σ_1 stress levels (rather than σ_2 , σ_3 levels) suggested V_p ranges of 6.1 to 6.2 km/s, and E_{mass} ranges of 74 to 79 GPa. (See following curves).

The following empirical equation can be used for near-surface correlation between V_p and Q_c .

$$V_p \approx 3.5 + \log Q_c$$

Stress effects are important components of both V_p and deformation modulus E_m . The following is an approximation for 500m depth (or equivalent stress):

$$V_p \approx 5.0 + 0.5 \log Q_c$$

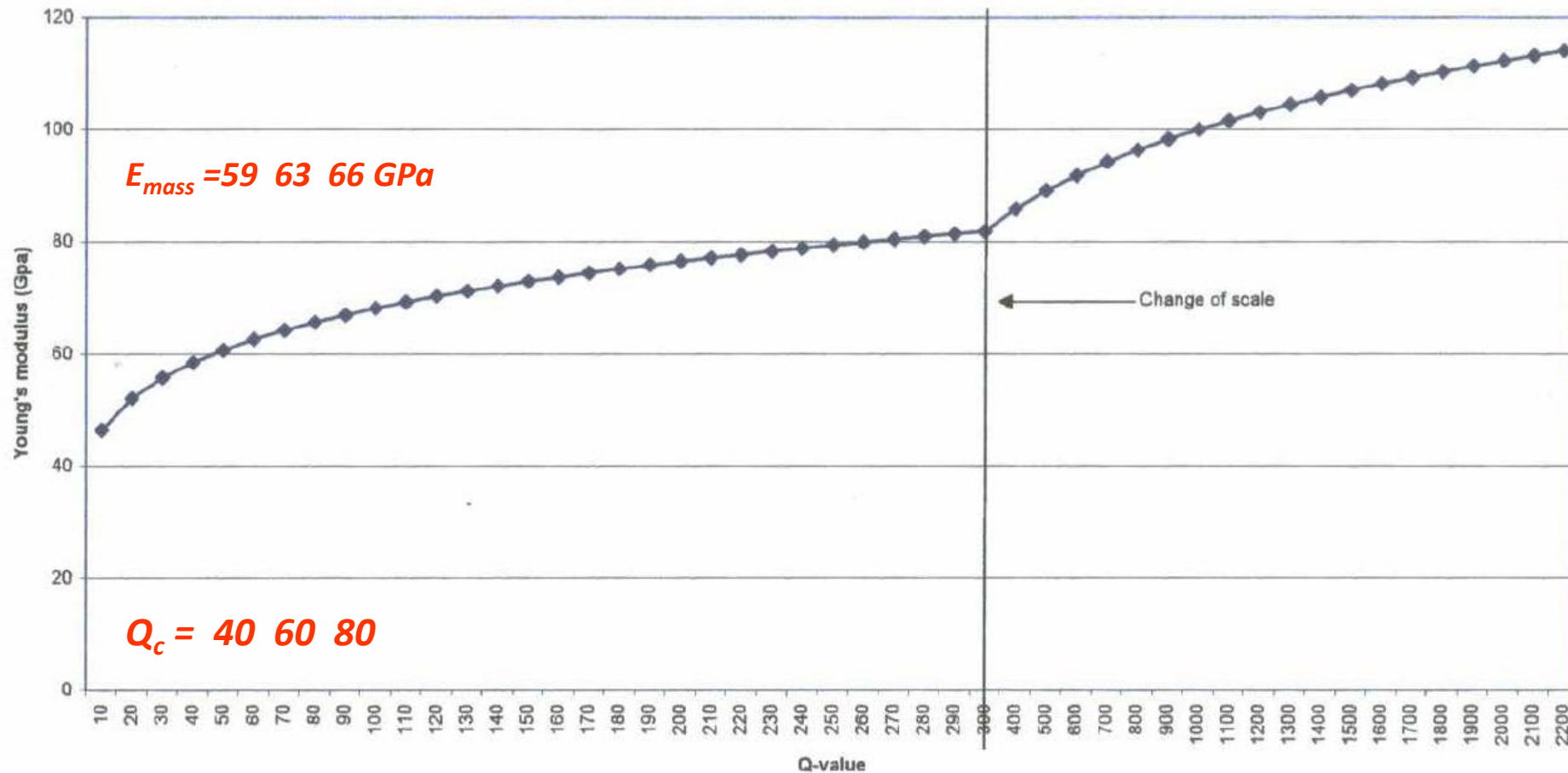


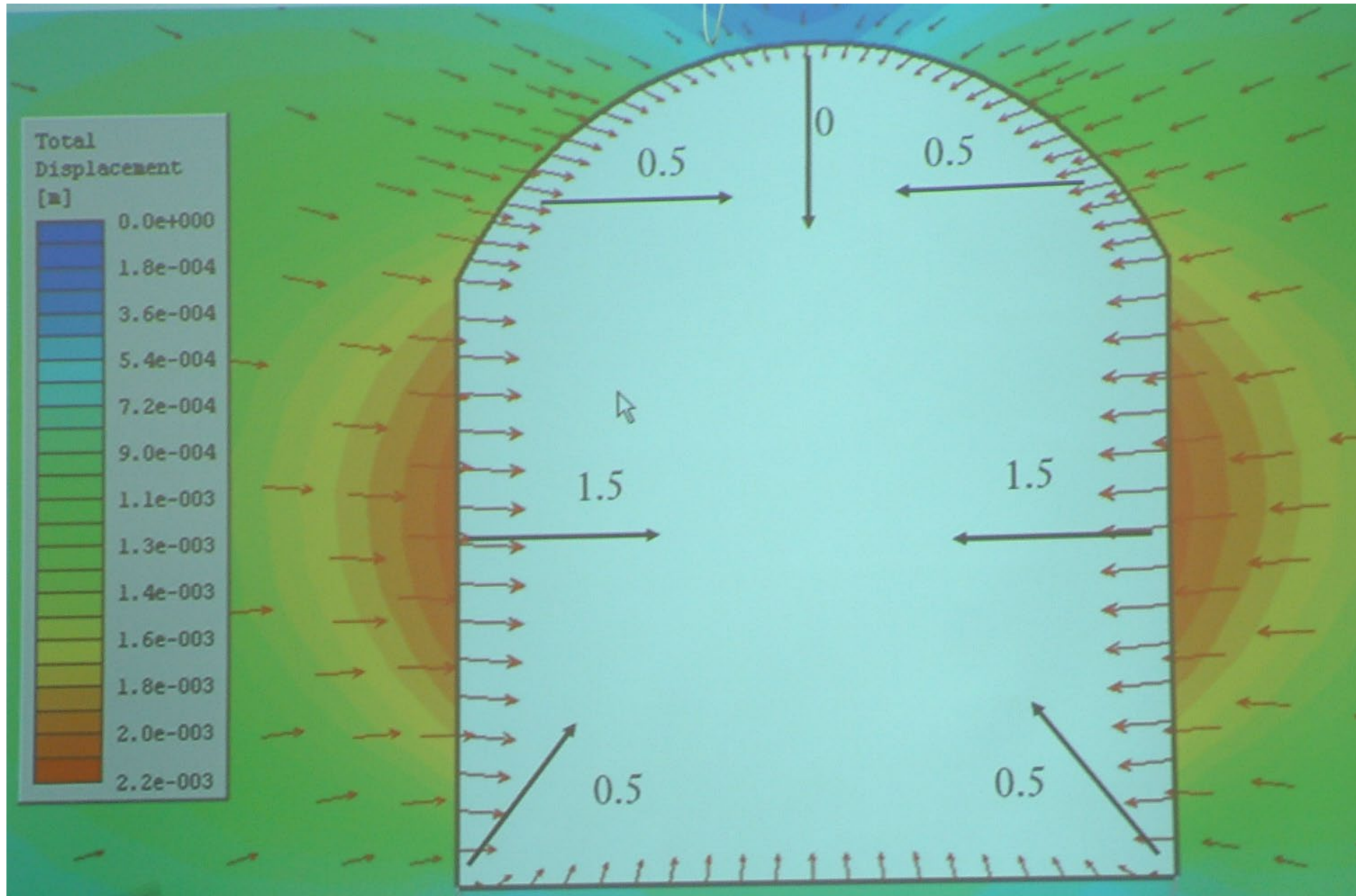
The following empirical equation can be used for near-surface correlation between E_{mass} and Q_c .

$$E_{\text{mass}} \approx 10 Q_c^{1/3}$$

Stress effects are also an important component of deformation modulus E_m . The following is an approximation for 500m depth (or equivalent stress):

$$E_{\text{mass}} \approx 10^{(4.5 + 0.5 Q_c)/3}$$





Christer/SKB
intact rock
modelling
with Q-value
estimates of
deformation
modulus.

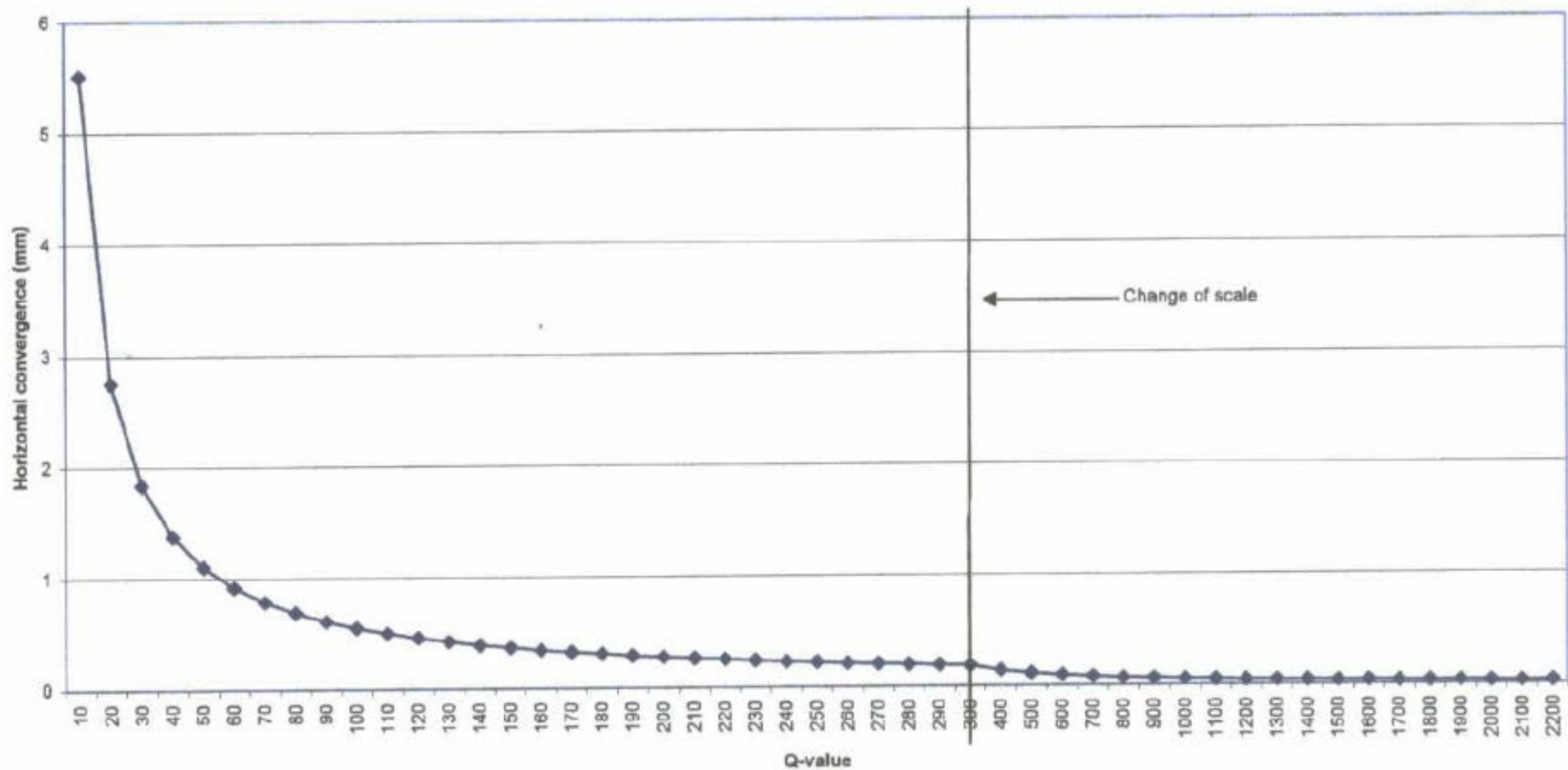


Figure 9. Plot of horizontal deformations versus Q-value using equation: $\Delta h = 2 \times \text{HEIGHT} / 100Q(\sigma_H / \sigma_c)$. Used parameters: Height = 7500, $\sigma_H = 27$ MPa, $\sigma_c = 200$ MPa.

NB report includes observations of the results of grouting experiments in the APSE TUNNEL. Only one joint set was injected successfully due to insufficient pressure.

Äspö Hard Rock Laboratory

Äspö Pillar Stability Experiment

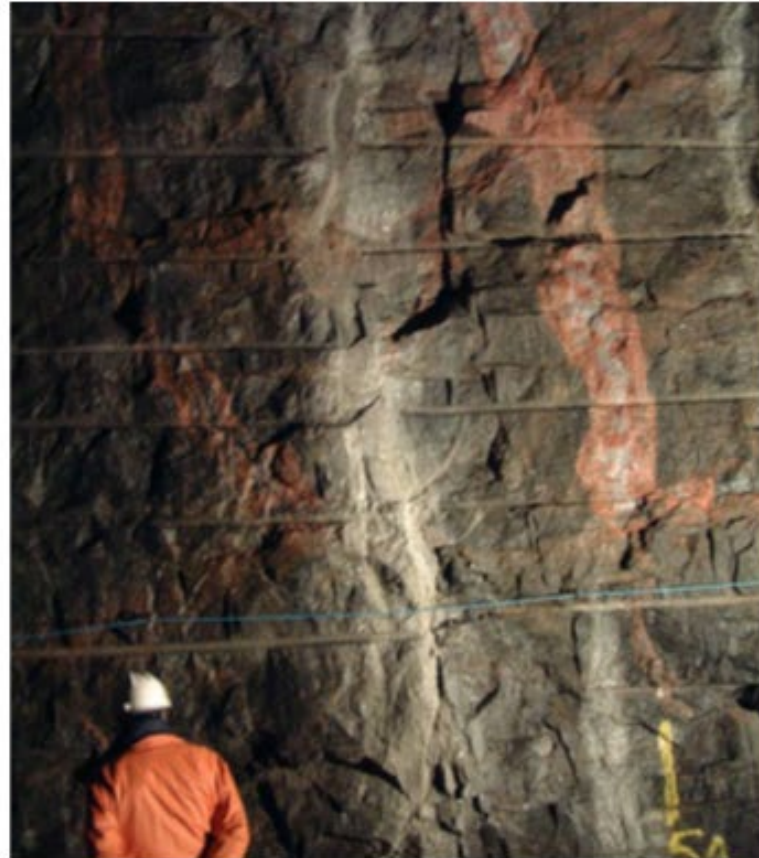
Q-logging of the TASQ tunnel at Äspö

For rock quality assessment and
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Nick Barton

Nick Barton & Associates

August 2003



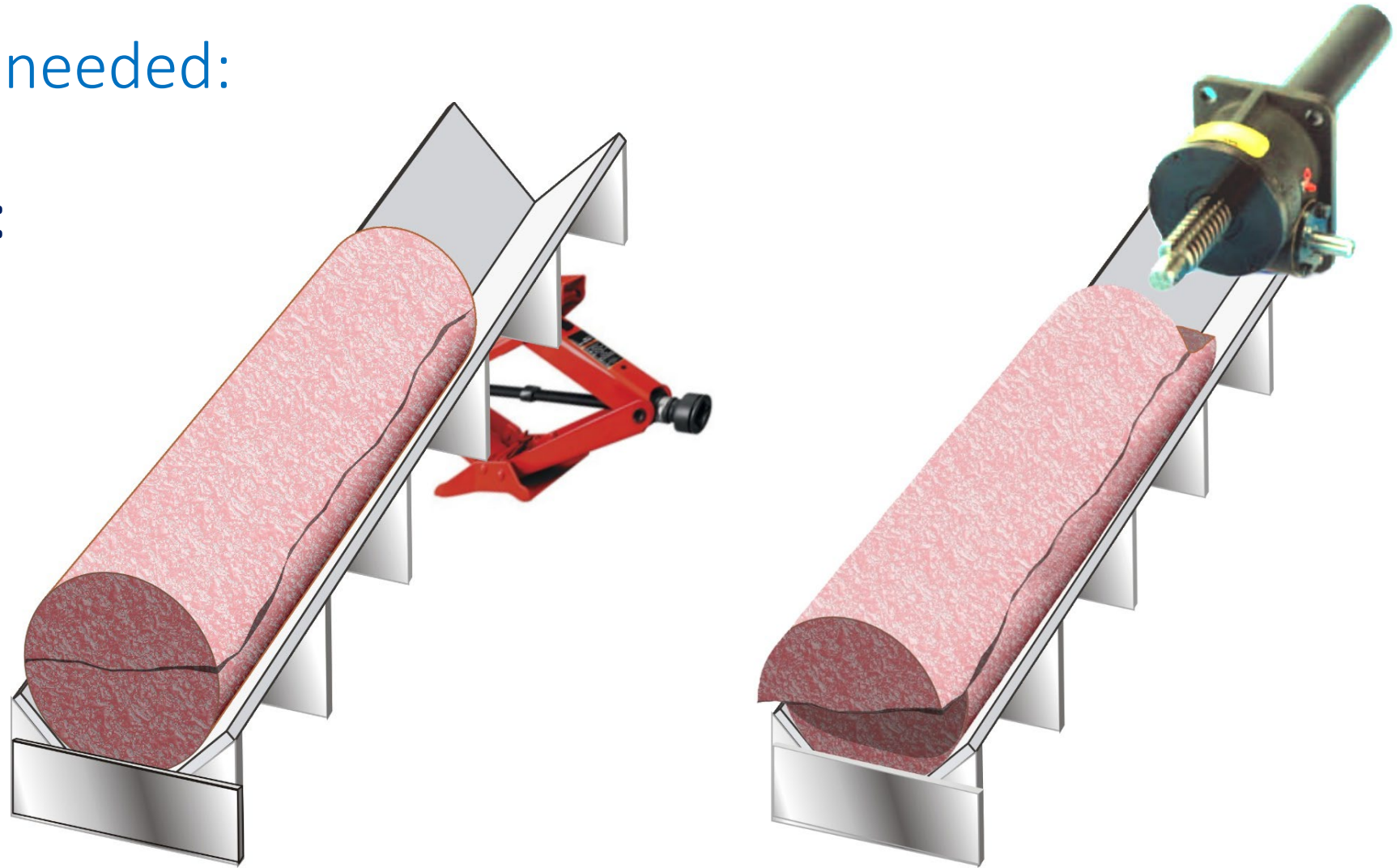
Modelling joints at Äspö: if rough joints remained **TOC** closed during cooling phase, deformation/opening would be on **these more planar sets**. Need to test for JRC₀ and JRC_n.



NB Suggestion to SKB for index testing of large diameter (and long $L = 1\text{-}3\text{m}$) over-cored joints.

In situ (JRC_n) data needed:

TILT or PUSH tests:



7. Simpevarp, surface and 2x 1000m deep borehole cores: Q-histogram 2003-4

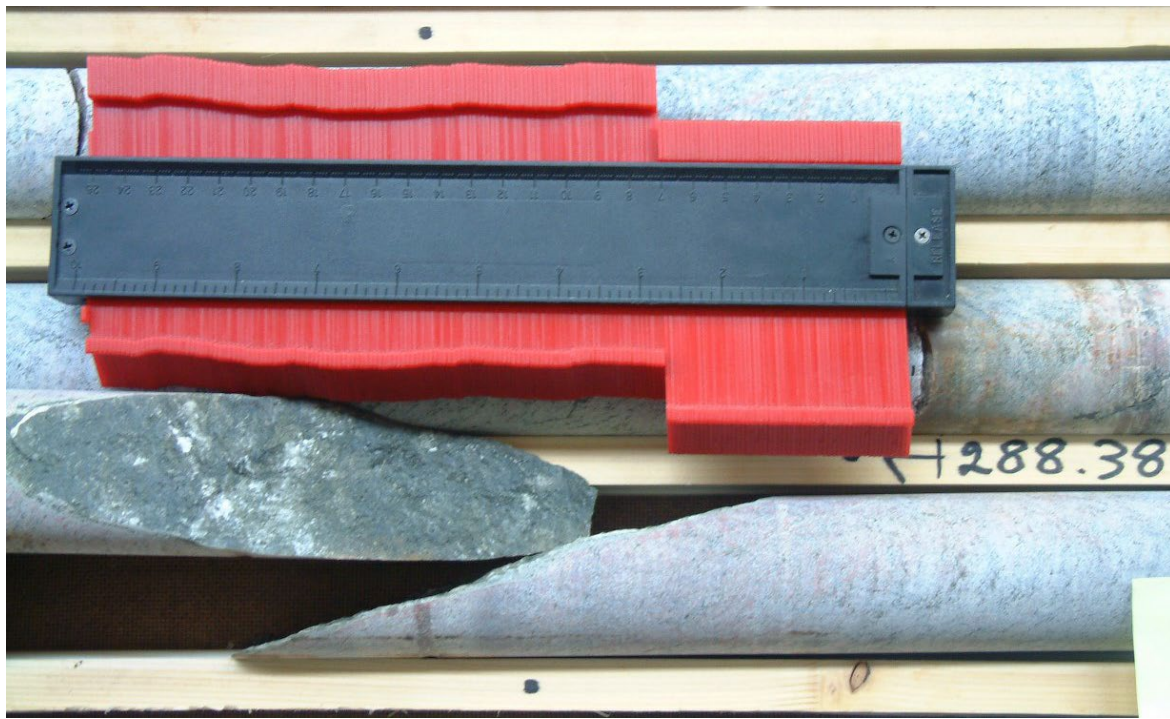
8. Forsmark: surface and 2x 1000m deep borehole cores: Q-histogram 2007 – 2008



- 1,000m of core for inspection in SKB core shed.
- (This speeds logging by a factor of 5 to 10 compared to finding and opening each core box individually!)



- Typical massive core representing the best quality



Recording Jr and optional JRC (using a/L method) at Simpevarp.

An example of a 'fracture zone' relative to the high Q core.
(KSH-01A) ca. 400-410m depth



972.03-977.59

[illegible][illegible][illegible]

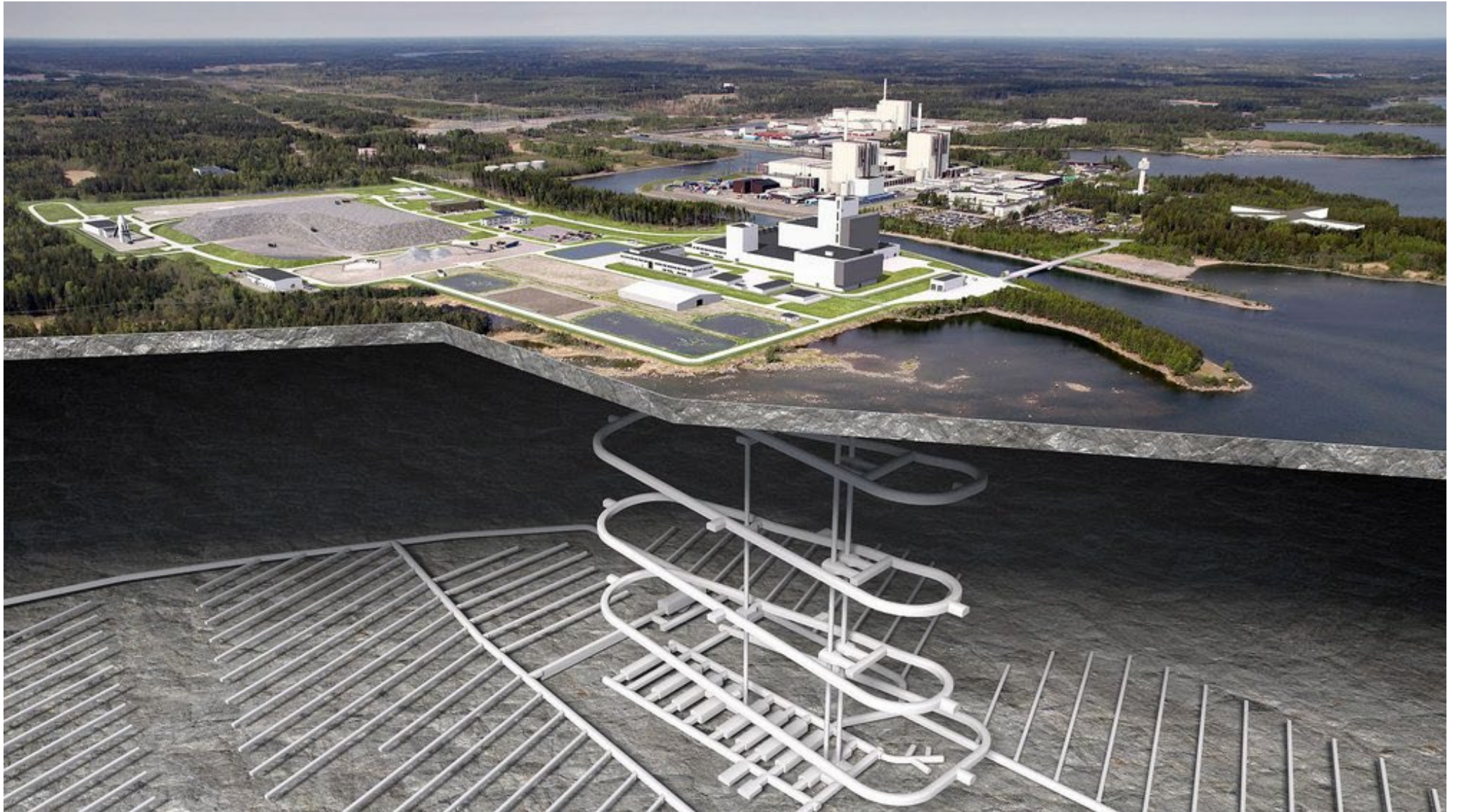
NB Q-
histogram
logging of
deep core at
Simpevarp

(KSH 01A)

977.59 - 982.98

Latest SKB deep repository image (Implenia contract).

(Discuss stress anisotropy issues).



KFM 01A

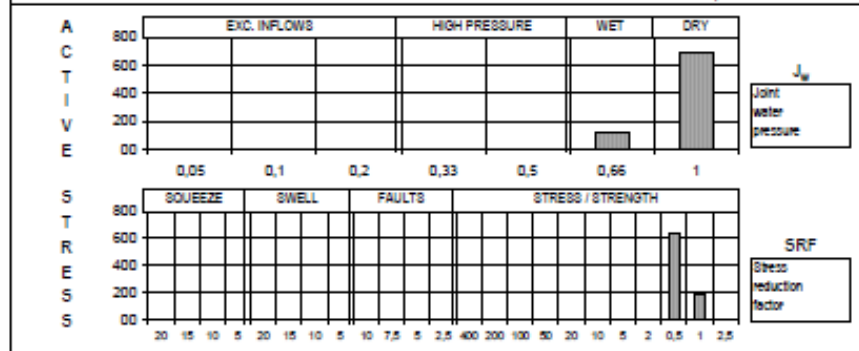
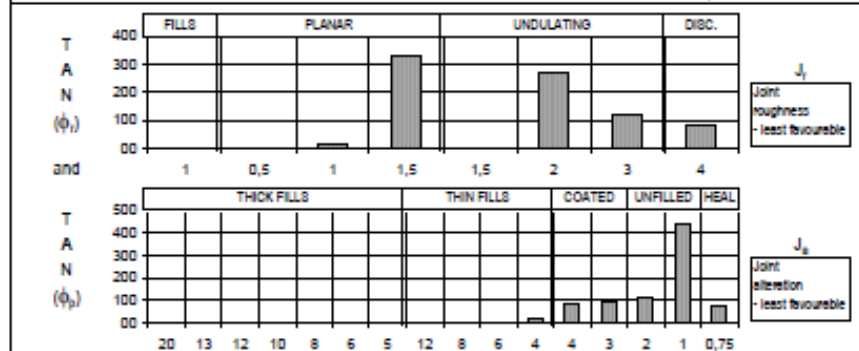
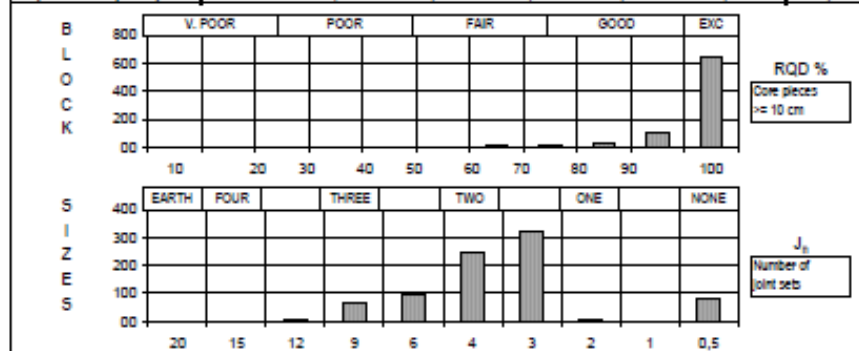
Q-logging

Nick Barton, Nick Barton & Associates

March 2003

- 'The overall quality of this first Forsmark 900m core is very good to excellent, with Q(mean) of 48.4, and a most frequent Q-value of 100. The range of quality is from 2.1 to 2130, which is the complete upper half of the six order of magnitude Q scale. Even the relatively fracture(d) zones, representing some 13% of the 900 m cored, have a combined Q(mean) of 13.9 and a range of quality of 2.1 to 150'.

Q (typical min)=	75	/	9,0	*	1,5	/	4,0	*	0,66	/	1,0	=	2,063
Q (typical max)=	100	/	0,5	*	4,0	/	0,8	*	1,00	/	0,5	=	2133,3
Q (mean value)=	97	/	3,9	*	2,1	/	1,7	*	0,95	/	0,6	=	48,37
Q (most frequent)=	100	/	3,0	*	1,5	/	1,0	*	1,00	/	0,5	=	100,00



SKB FORSMARK	Rev.	Report No.	Figure No.
KFM 01: overall quality of whole core, from 101.8 to 1000.7m depth. (includes 4 possible fracture zones)	Borehole No. : KFM 01A	NBS&A	3
	Depth zone (m) : 101.8 - 1000.7	nrb	Date
	Logg : 1,0	nrb	
	24th March 2003	Approved	

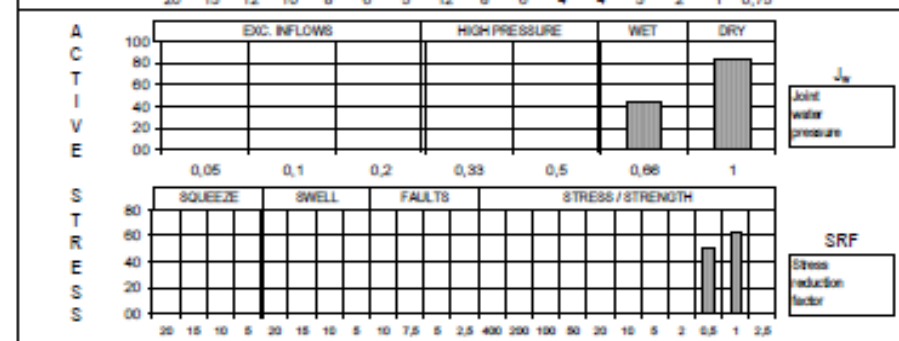
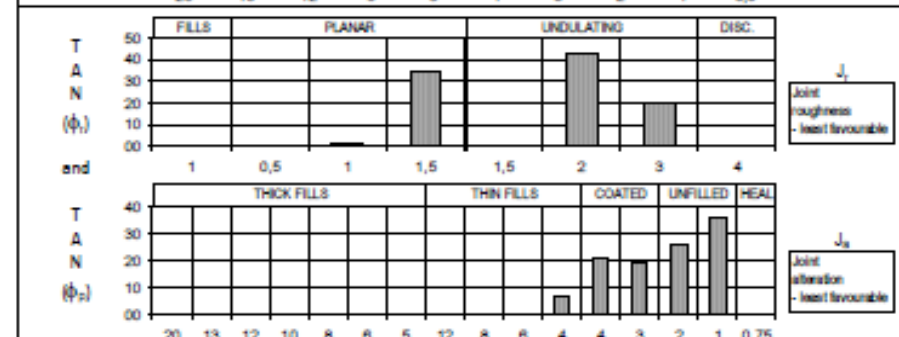
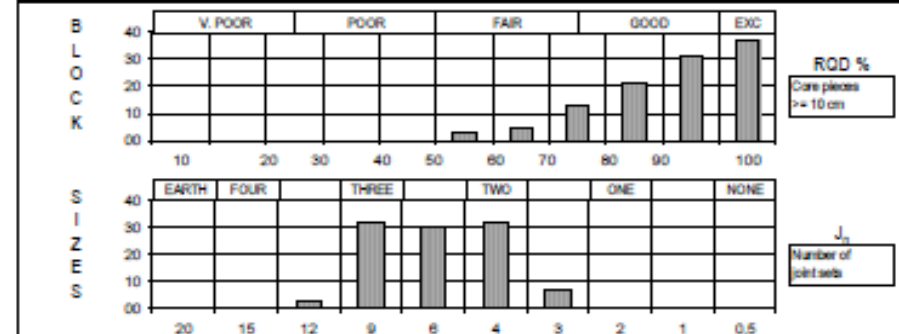
Borehole KFM-01A

Left: overall quality.

Right: fracture zones separately

900m

Q - VALUES:	(RQD / Jn) *	(Jr / Ja) *	(Jw / SRF) =	Q
Q (typical min)=	75 / 9,0	* 1,5 / 4,0	* 0,66 / 1,0	= 2,063
Q (typical max)=	100 / 4,0	* 3,0 / 1,0	* 1,00 / 0,5	= 150,0
Q (mean value)=	90 / 6,3	* 2,0 / 2,4	* 0,88 / 0,8	= 13,87
Q (most frequent)=	100 / 6,0	* 2,0 / 1,0	* 1,00 / 1,0	= 33,33



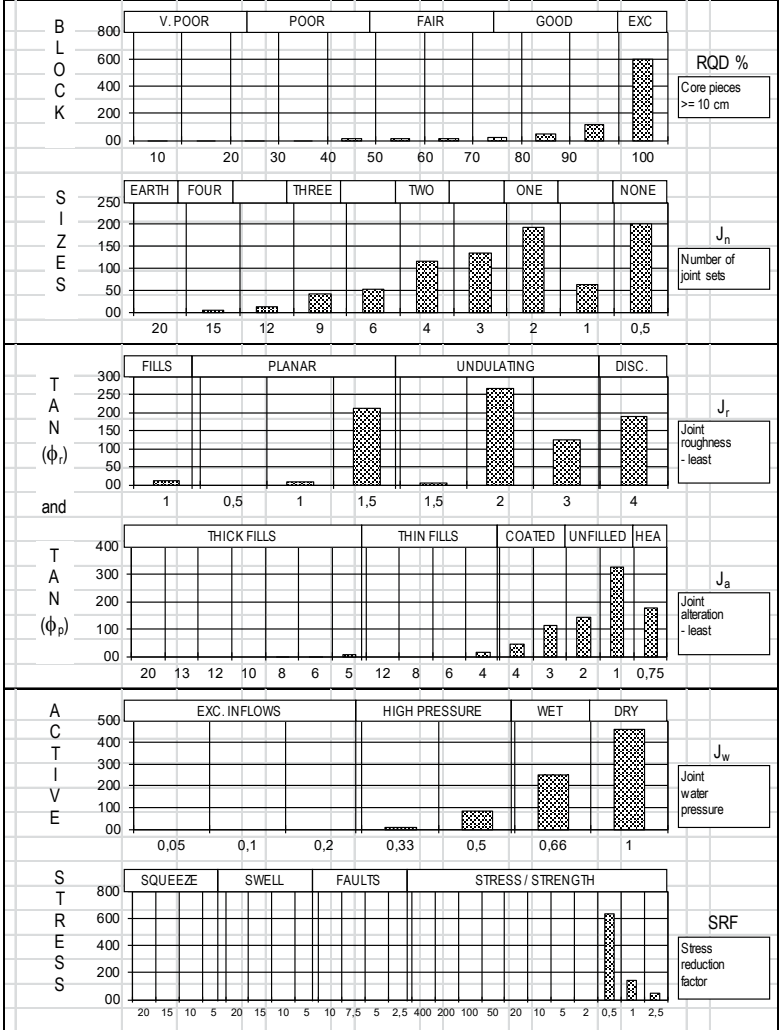
SKB FORSMARK site	Rev.	Report No.	Figure No.
KFM 01A drill core. Overall quality of four identified fracture zones : 166-199m, 265-297m, 385-407m and 651-683m.	Borehole No. : KFM 01A	NBS&A	4
	Depth zone (m) : (various)	nrb	Date
	Logg : 1,0	nrb	
	24 March 2003	Approved	



Joints in KFM
01A.

Jr = 1, 2 and 3

Q - VALUES:		(RQD / Jn) *	(Jr / Ja) *	(Jw / SRF) =	Q
Q (typical min)=	75	/ 9.0 *	1.5 / 4.0 *	0.50 / 1.0	1,563
Q (typical max)=	100	/ 0.5 *	4.0 / 0.8 *	1.00 / 0.5	2133,3
Q (mean value)=	95	/ 2.8 *	2.5 / 1.7 *	0.83 / 0.7	60,86
Q (most frequent)=	100	/ 0.5 *	2.0 / 1.0 *	1.00 / 0.5	800,00



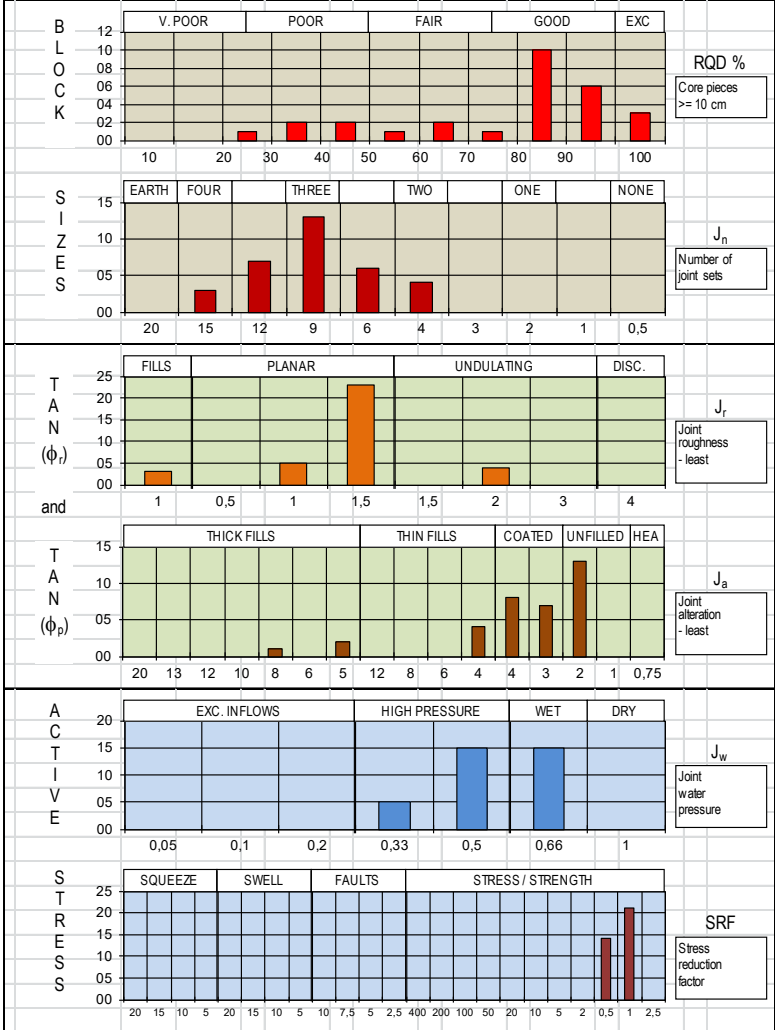
SKB FORSMARK	Rev.	Report No.	Figure No.
		NB&A 2	
KFM 02A : overall quality, from 101.0 to 1002.4m,	Borehole No. :	Drawn by	Date
	KFM 02A	nrb	#####
(includes six minor, more fractured zones and two porous zones).	Depth zone (m)	Checked	
	101.0 - 1002.4	nrb	
	Logg	1.0	Approved

Forsmark borehole KFM 02A

left: OVER-ALL
QUALITY, 900m
OF CORE.

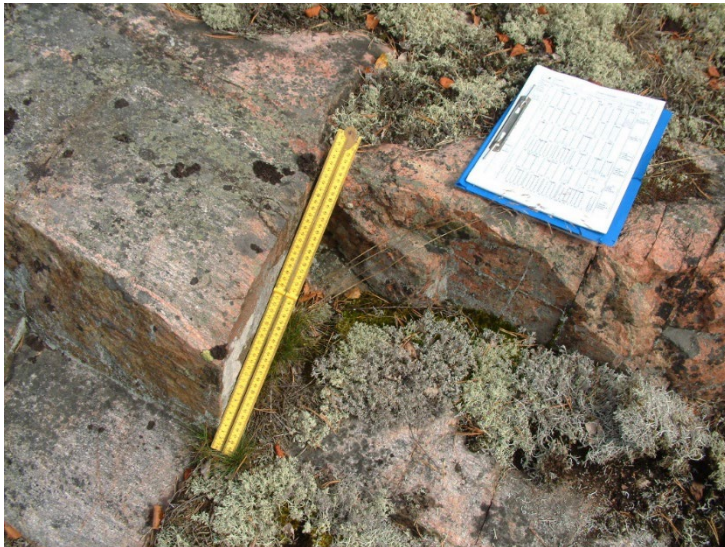
right: FRACTURE
ZONES,

Q - VALUES:		(RQD / Jn) *	(Jr / Ja) *	(Jw / SRF) =	Q
Q (typical min)=	25	/ 15.0 *	1.0 / 8.0 *	0.33 / 1.0	0,069
Q (typical max)=	100	/ 4.0 *	2.0 / 2.0 *	0.66 / 0.5	33,0
Q (mean value)=	77	/ 9.0 *	1.4 / 3.2 *	0.54 / 0.8	2,60
Q (most frequent)=	85	/ 9.0 *	1.5 / 2.0 *	0.58 / 1.0	4,11



SKB FORSMARK site KFM 02A	Rev.	Report No.	Figure No.
		NB&A 2	
Combined quality of six fracture(d) zones : 116-122m,	Borehole No. :	Drawn by	Date
	KFM 02A	nrb	#####
417-427.5m, 493-501.7m, 512-518m, 893-899m, and	Depth zone (m)	Checked	
	116-905 m	nrb	
903-904.7m	Logg	1.0	Approved

Surface Q-hist logging above future Forsmark repository.



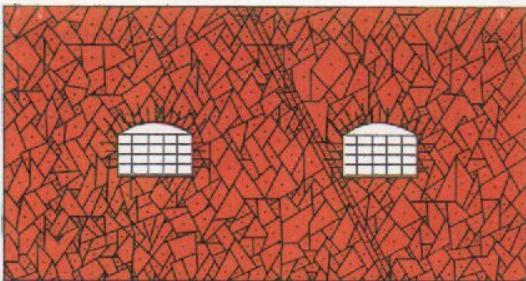
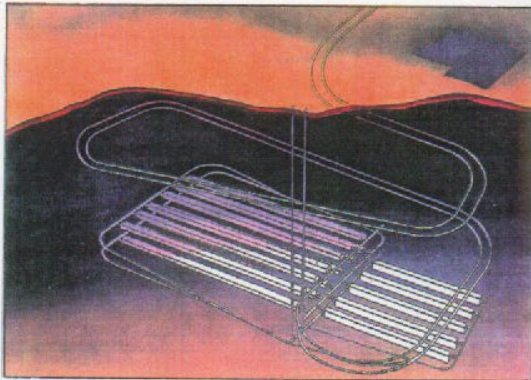
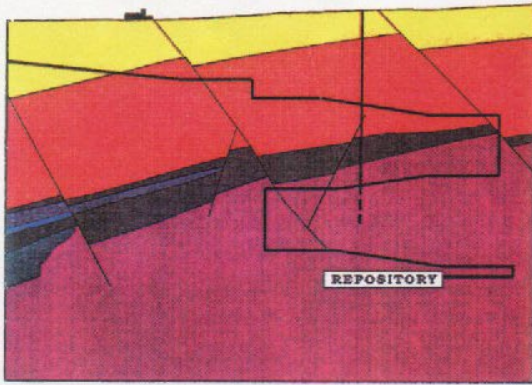


Simple roughness profiling above future repository.

a/L gives JRC_n estimate.

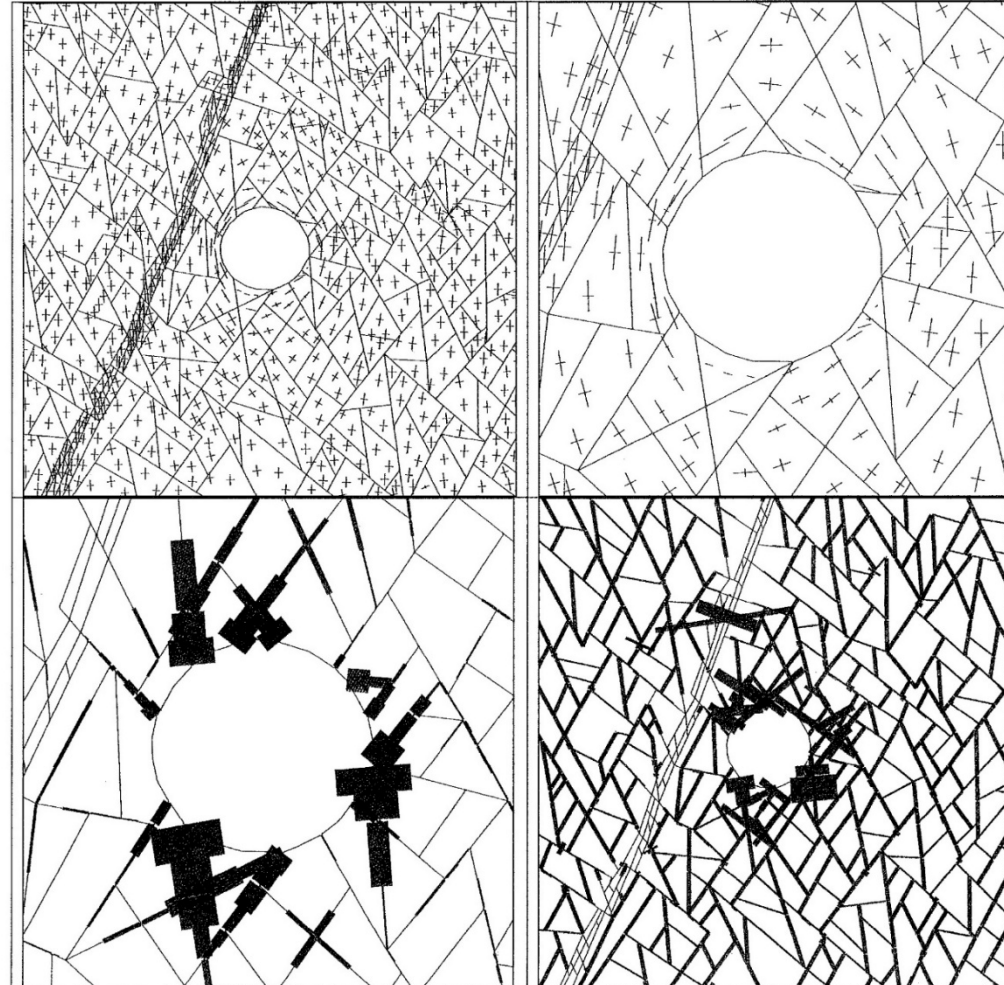
(And helps with J_r of course)

Geotechnical Studies at Sellafield



Executive Summary

9. UK Nirex Geotechnical Consultant: Sellafield Repository and RCF studies. NGI/Atkins. 1990 -1996.



3.4	0	Date	Sign	DEPTH ZONE : $Q \text{ (typical range)} = \left(\frac{70-100}{4-12} \right) \times \left(\frac{1-1.5}{1-2} \right) \times \left(\frac{0.66}{1} \right)$ $Q \text{ (mean)} = \left(\frac{88.4}{7.9} \right) \times \left(\frac{1.9}{1.2} \right) \times \left(\frac{0.66}{1} \right)$	COMMENTS : ROCK TYPE : Andesite, tuff, ignimbrite GEOLOGY : Borrowdale Volcanics
Page		Rev		Date	
LOCATION : Middle Fell, West Water		Q (mean) = 11.7		Q (typical range) = 1.9 - 24.8	
PROJECT : NIREX, Sellafield PRO. NO. : 901038-10/2 PHOTOS : No. 5-8		BLOCK SIZES		RQD % Core pieces $\geq 10\text{cm}$	
TAN (ϕ)		TAN (ϕ)		J _r Joint roughness - least favourable	
ACTIVE STRESS		J _a Joint alteration - least favourable		J _w Joint water pressure	
W		SRF Stress reduction factor		K permeability	
F = joint frequency/m (core)		JRC ₀ = joint roughness		K = permeability	
L = joint length (sets 1 and 2) (m)		J _v = volumetric joint count (No./m ³)		Rev.	

Figure 2.5 Geotechnical logging data for the BVG, based on Phase II field mapping (NGI 901038-10/2)

NOTE:
EXPOSURE
LOGGING
IN LAKE
DISTRICT
NATIONAL
PARK

Unusual large range of JRC even for one joint set.



Figure 3.8 Photographs of joints in BVG, BH2, BH4 and BH5, from depths between 570 m and 796 m. Examples of steepest (J1) joints (top left), four J2 joints (dip 50-75°) and one J3 joint (bottom left) (NGI 901038-18/2 and 28/7, NGI 931005-11/1)

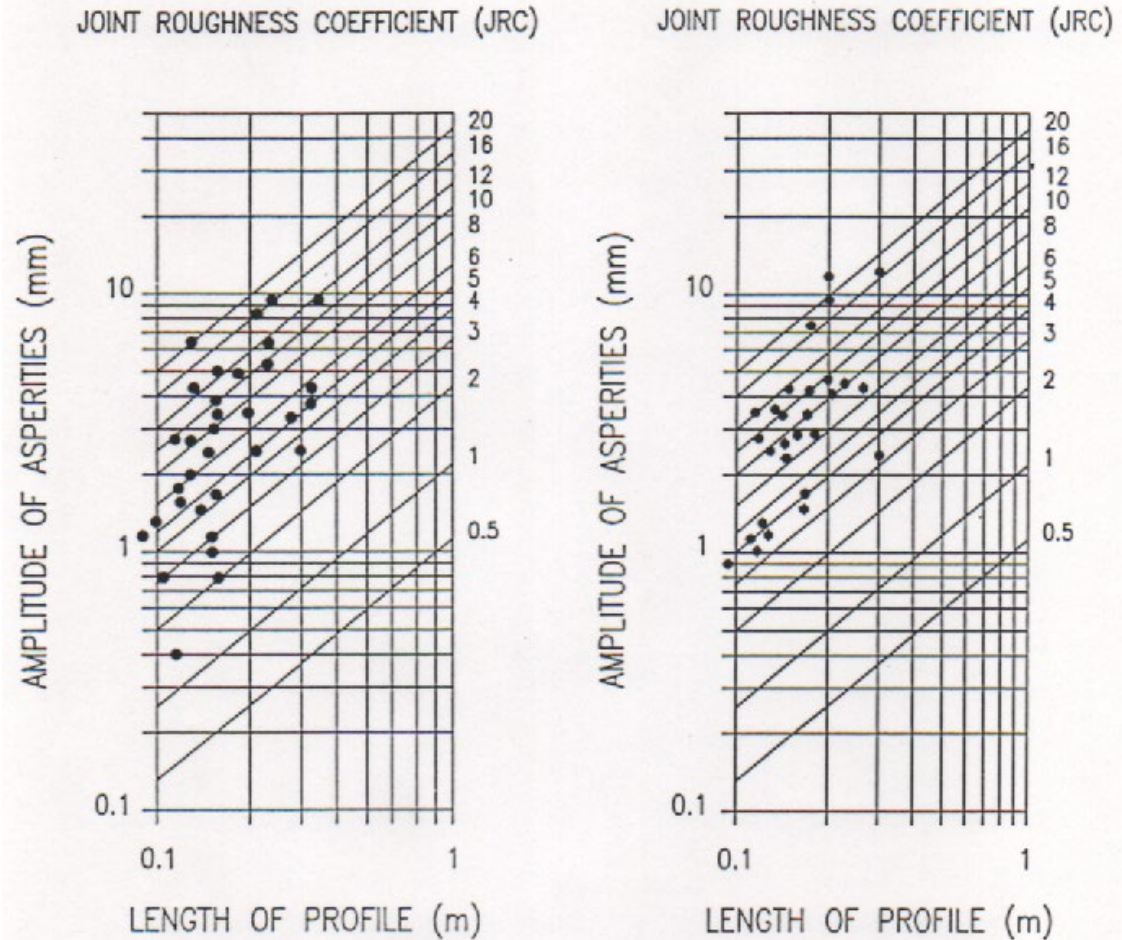
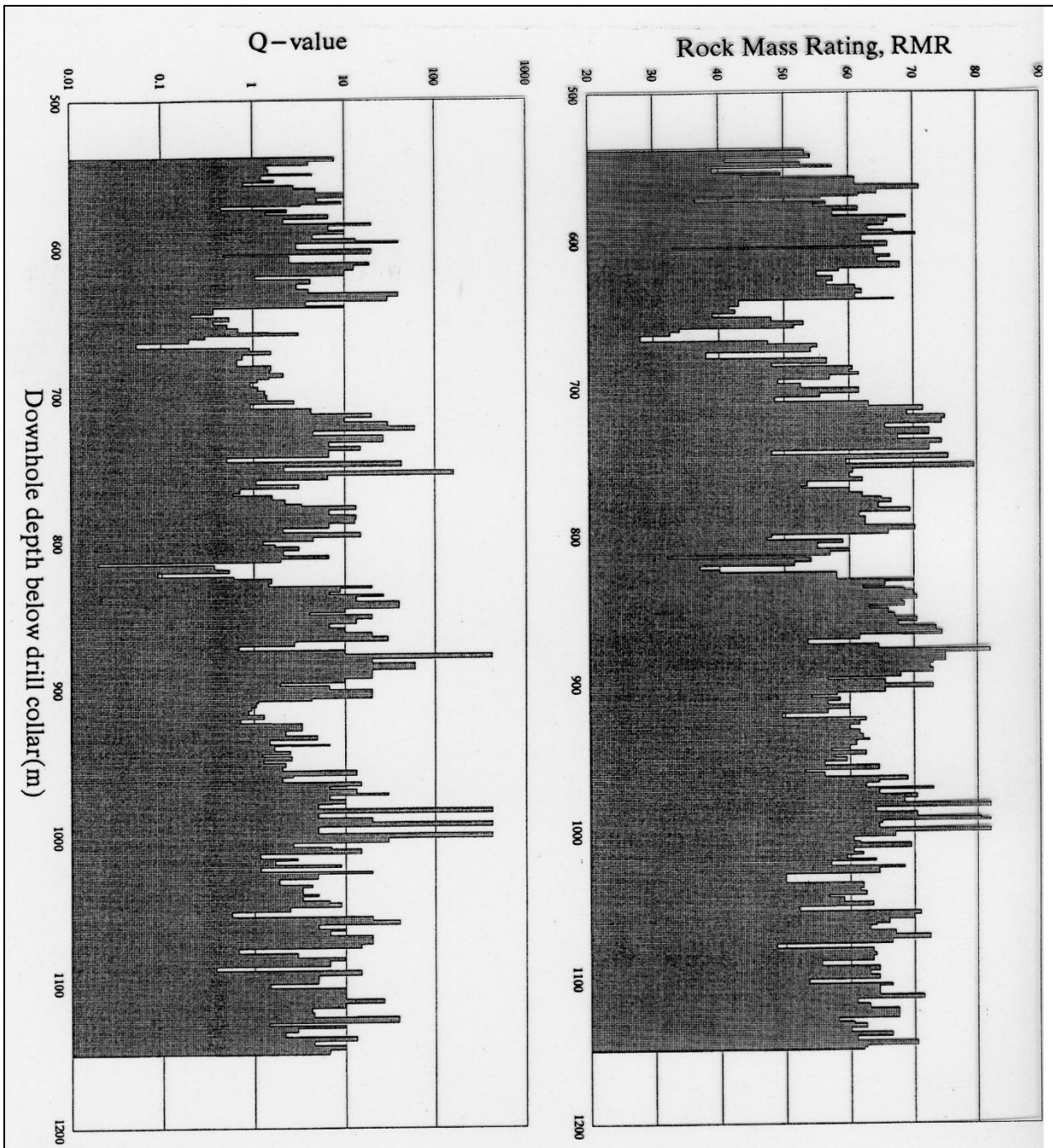
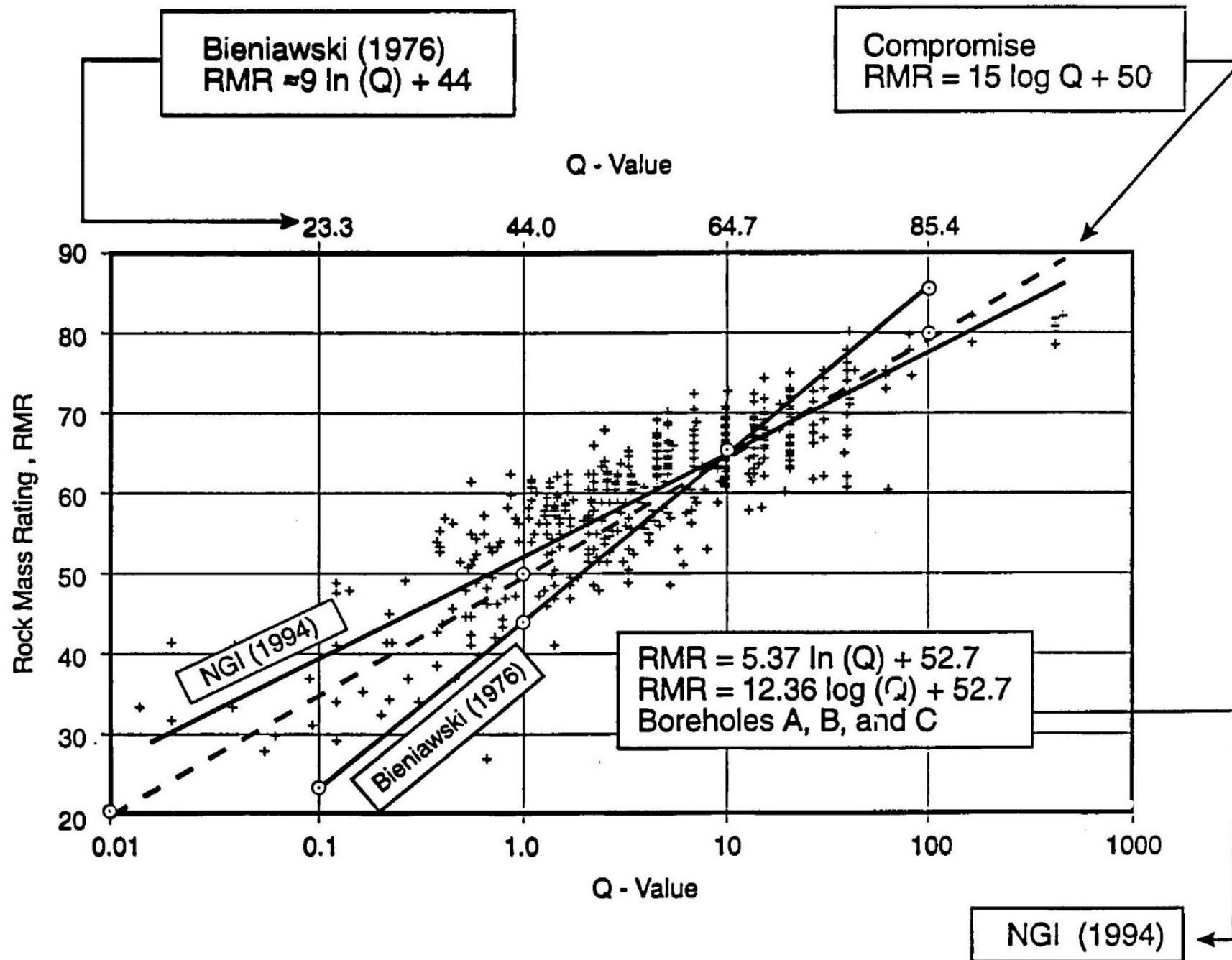


Figure 3.11 Roughness amplitude measurements on J2 joints in the BVG from BH4 and BH5

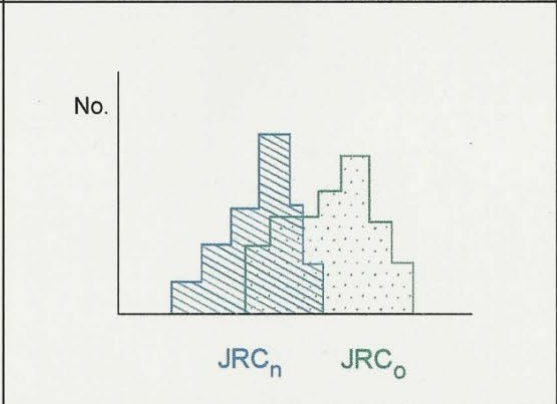
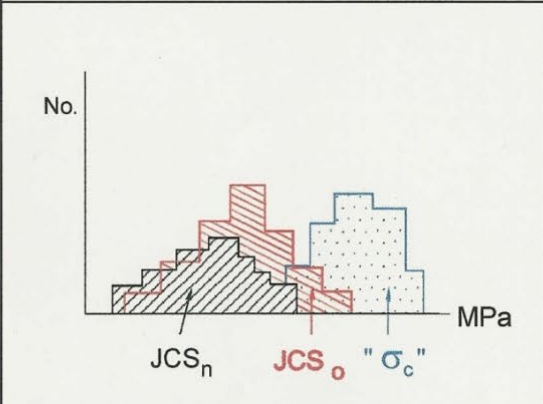
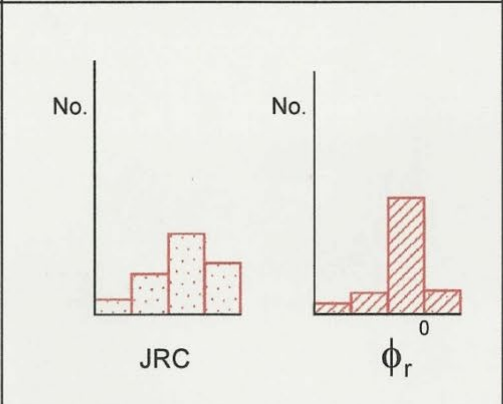
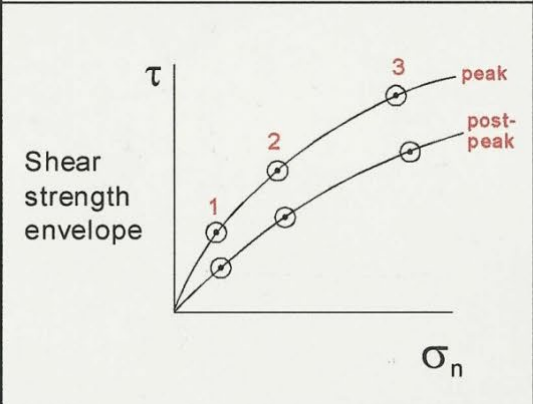
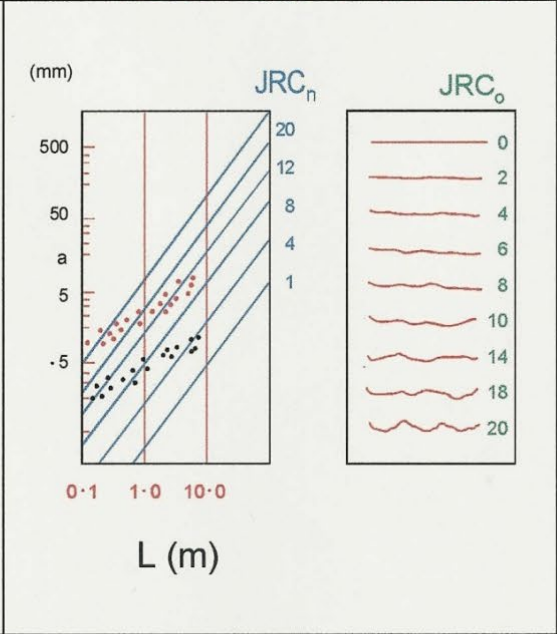
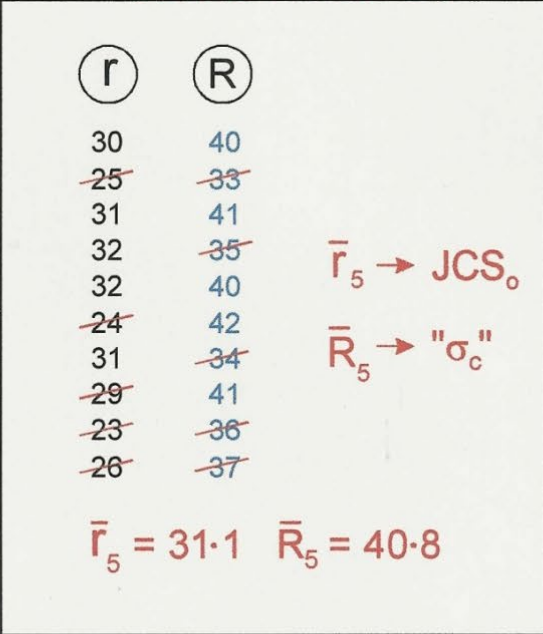
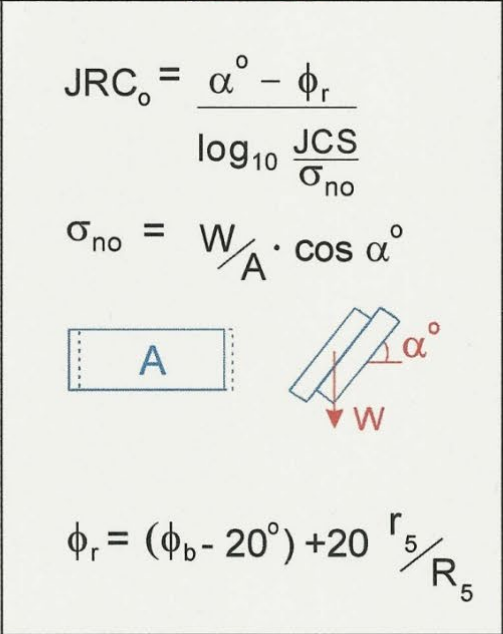
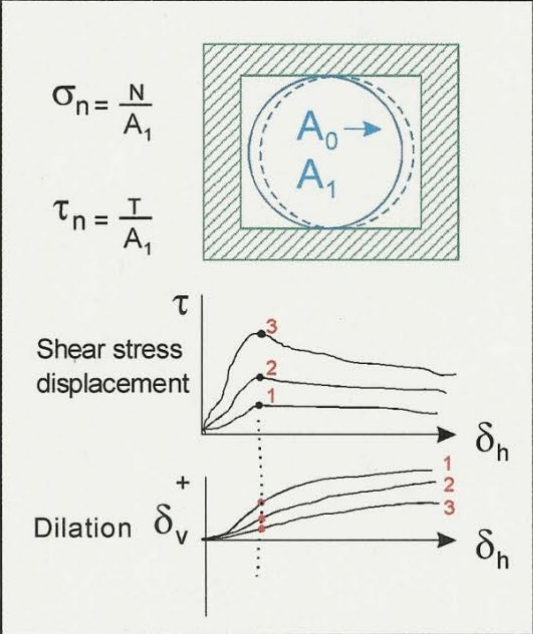
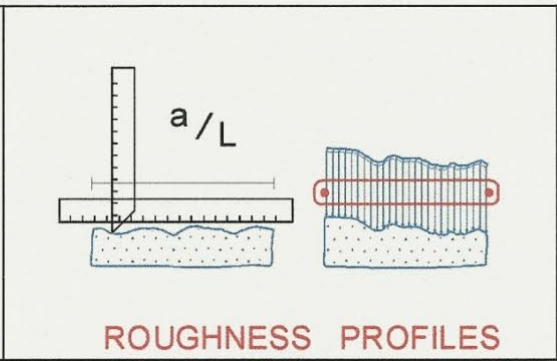
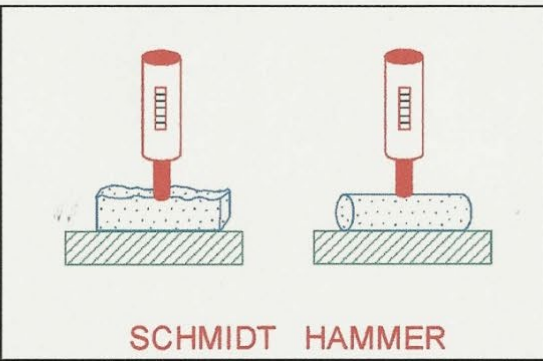
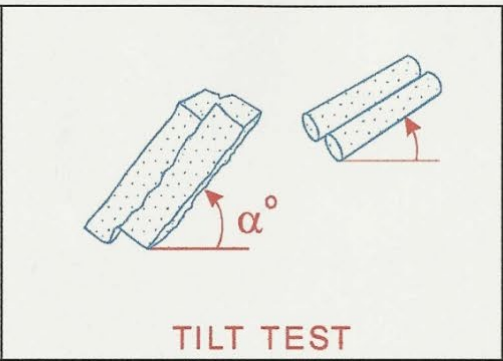
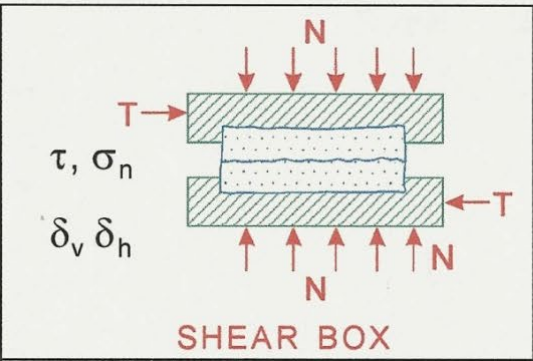
Visual comparison of Q-depth, and RMR-depth logs. (NGI 1994, UK Nirex, Sellafield).

NGI/ATKINS logged >10km of core at Sellafield, and we could also compare with deep cross-hole seismic. (Vp-Qc-depth) Barton, 1995.





RMR and Q are rather different, though may 'correlate' in central areas of quality.



MUCH USED AT SELLAFIELD

INDEX TESTS for collecting fundamental input for estimation of:

shear-strength, dilation, deformation, closure, aperture (s)

(i.e. BB-model M-H coupled input)

During UDEC-BB modelling approximation we 'moved' the models around in this vertical geologic-plane approximation.

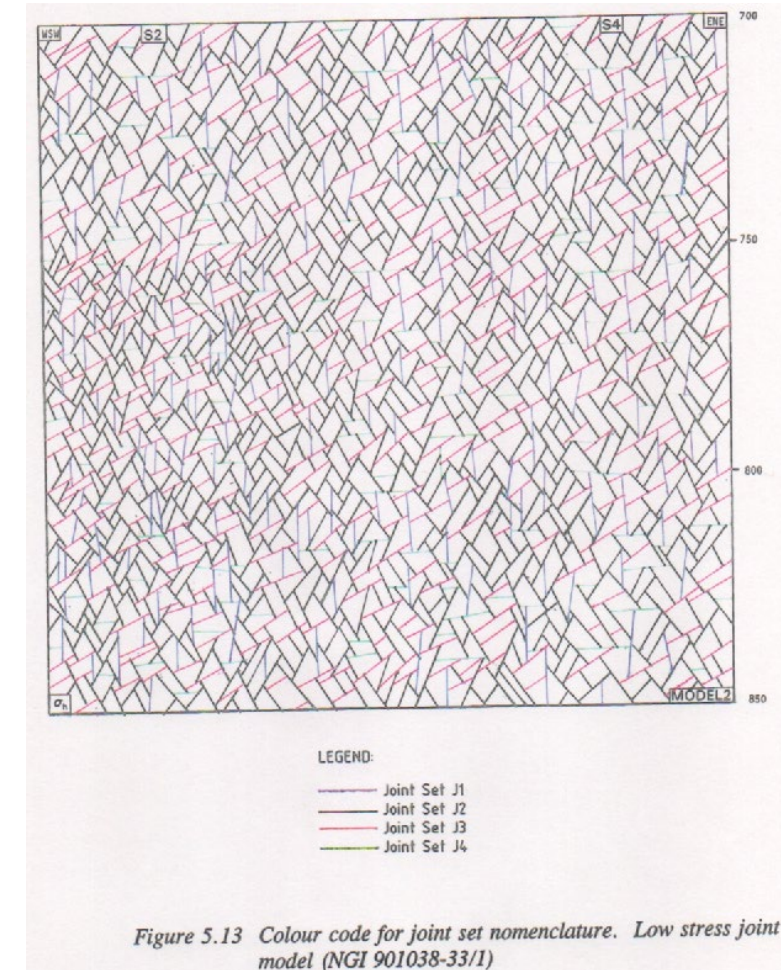
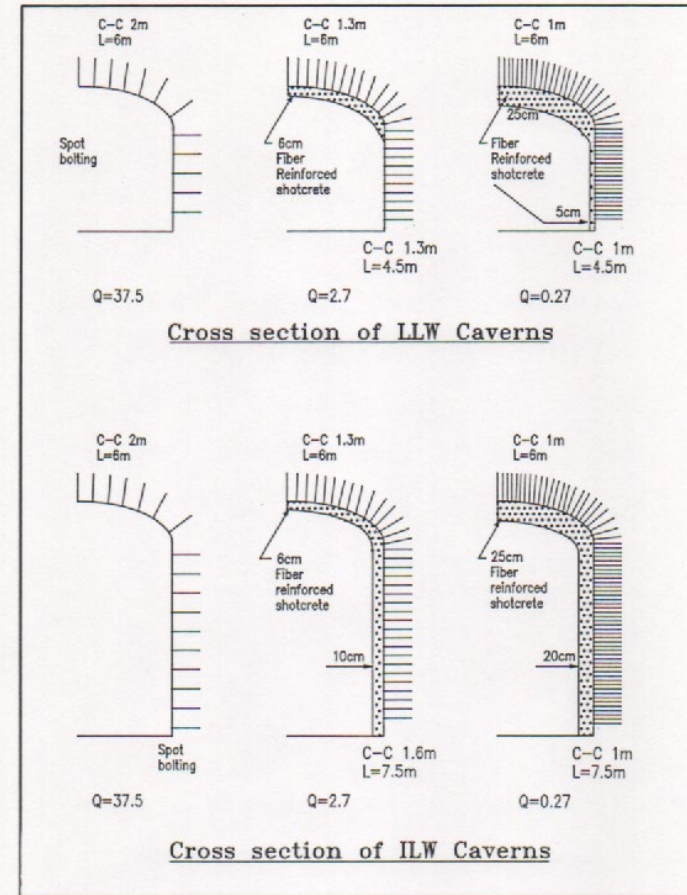
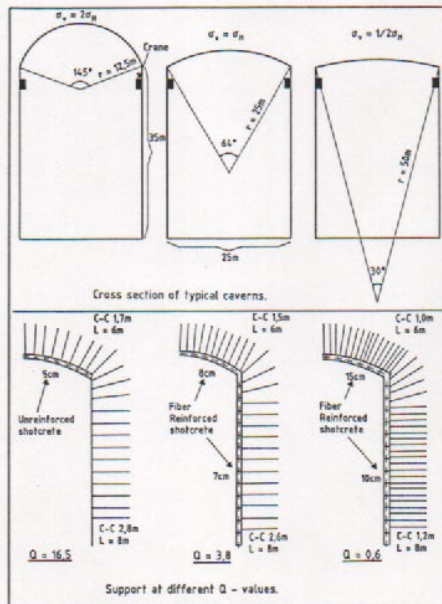
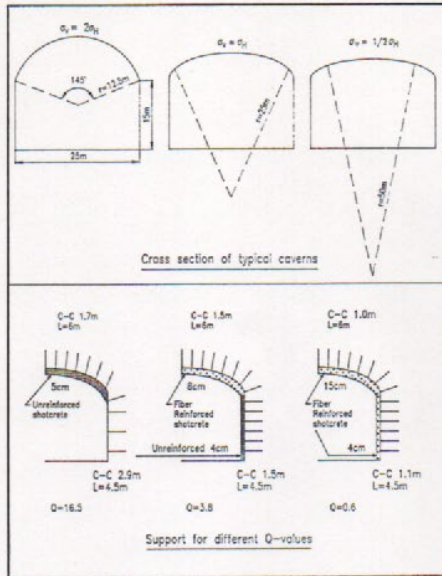


Figure 5.1 Preliminary rock reinforcement designs for 25 x 35 m and 25 x 15 m caverns (NGI 901038-6 and 15/1)

Figure 5.13 Colour code for joint set nomenclature. Low stress joint model (NGI 901038-33/1)

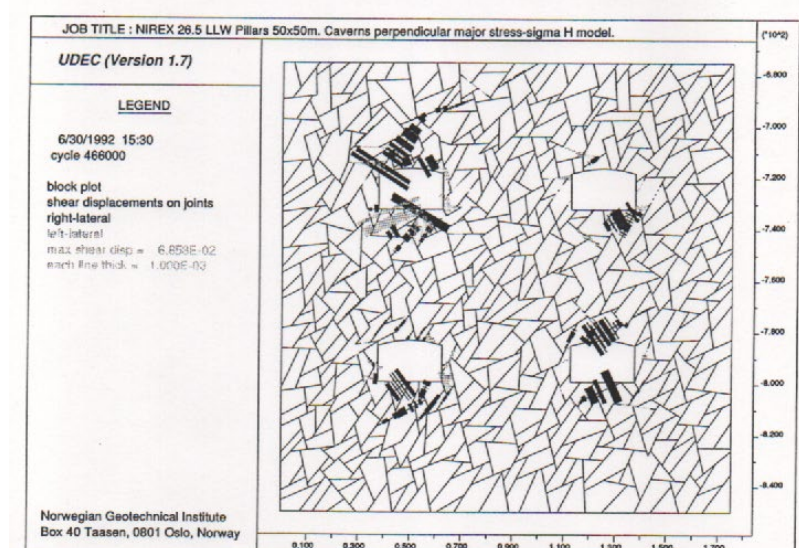
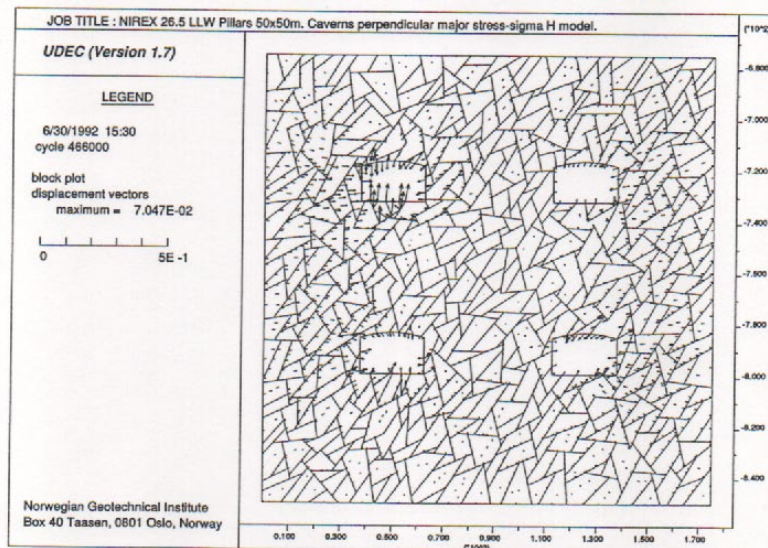
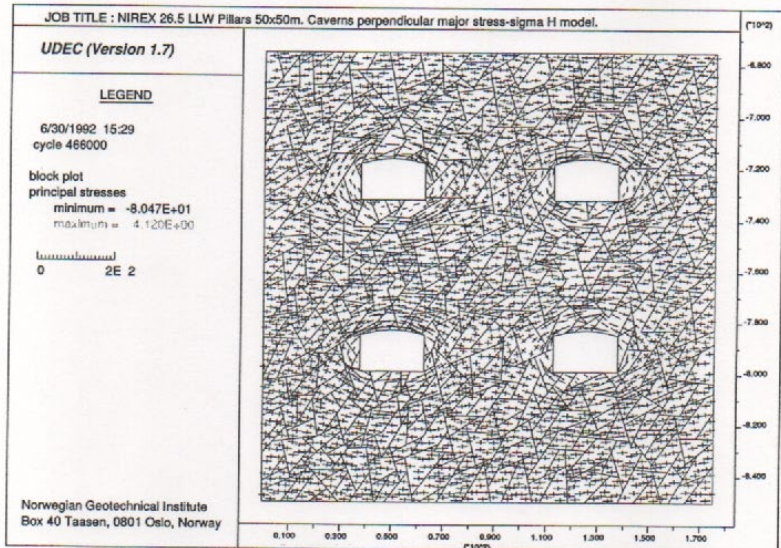
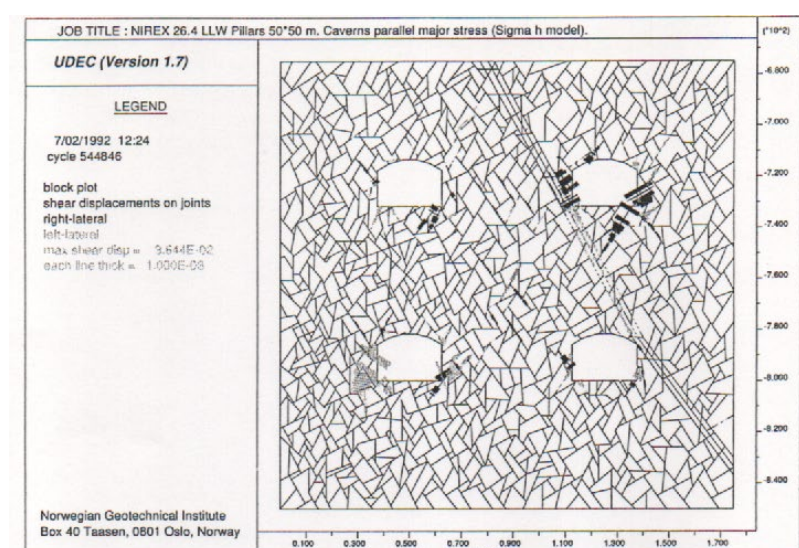
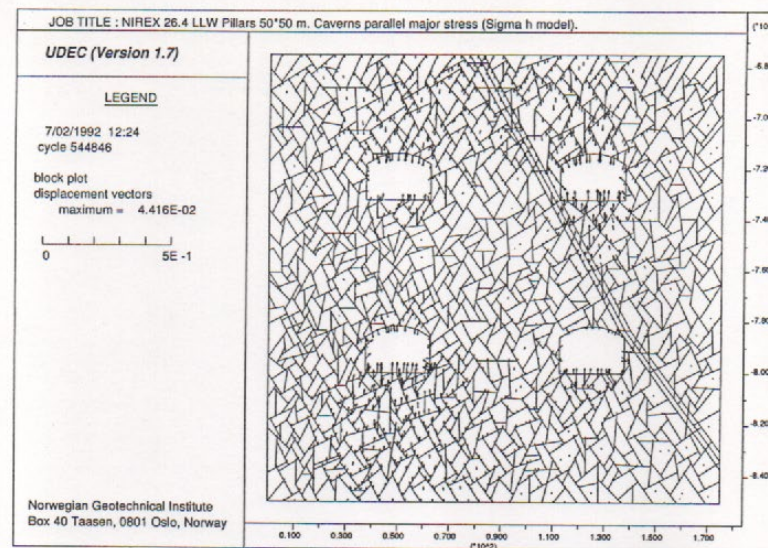
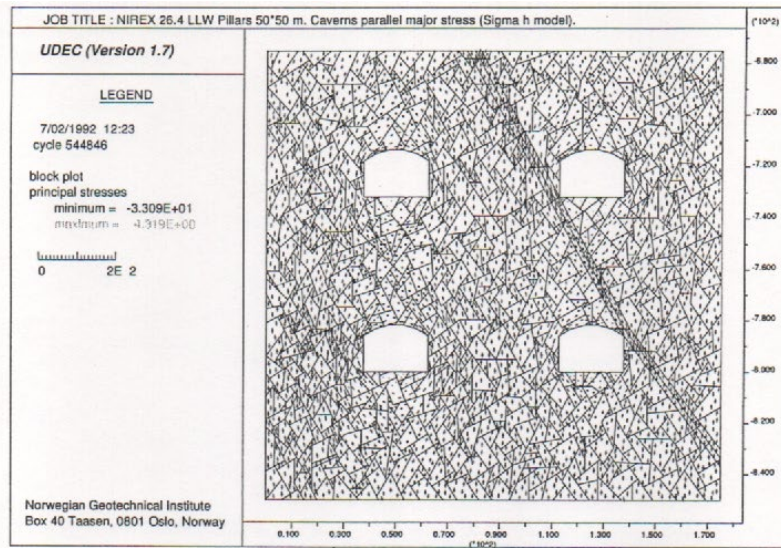
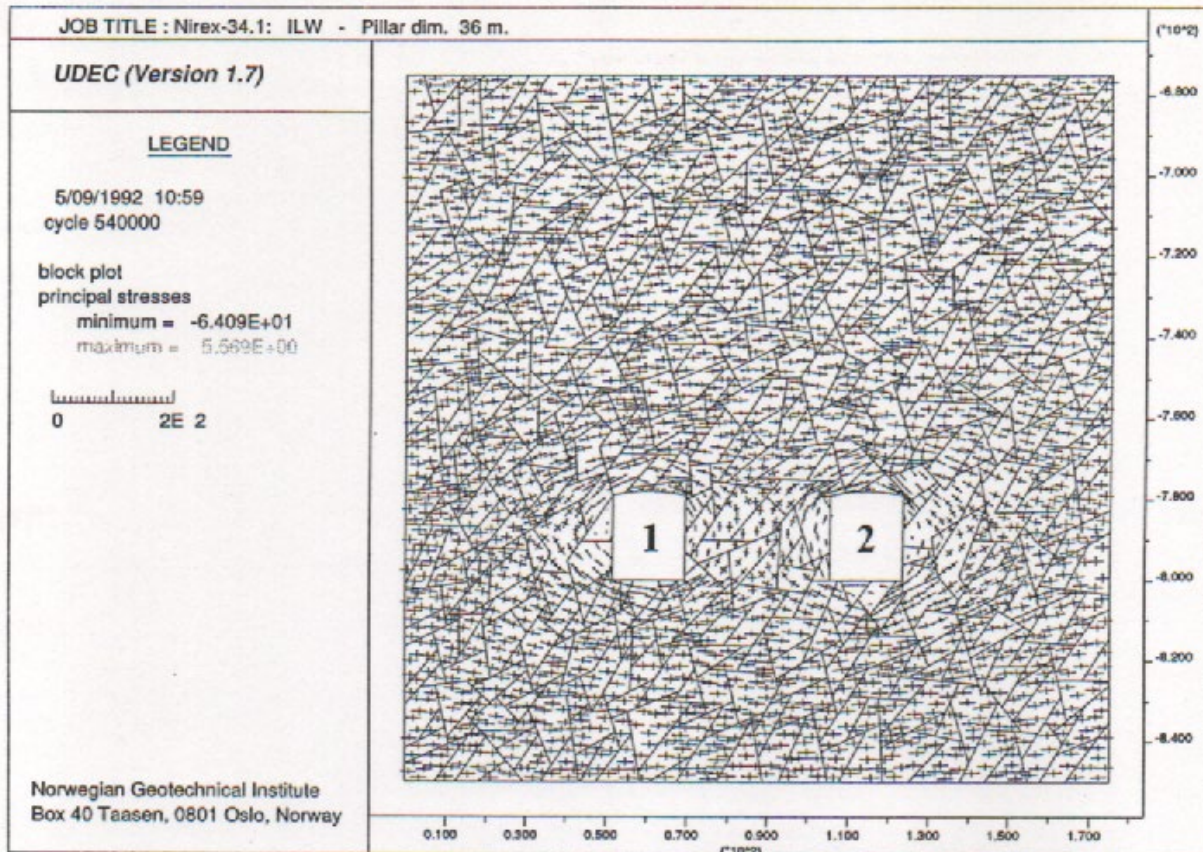


Figure 5.21 Principal stresses induced in LLW bolted cavern; σ_h and σ_H cases (NGI 901038-26/1)

Figure 5.22 Displacement vectors caused by LLW cavern excavations; bolted models, σ_h and σ_H cases (NGI 901038-26/1)

Figure 5.23 Joint shear displacements caused by LLW cavern excavations; bolted models, σ_h and σ_H cases (NGI 901038-26/1)

Multi-cavern LLW: stresses, displacements, joint shearing, low (top) and high stress models.



Twin-cavern high stress
ILW models.

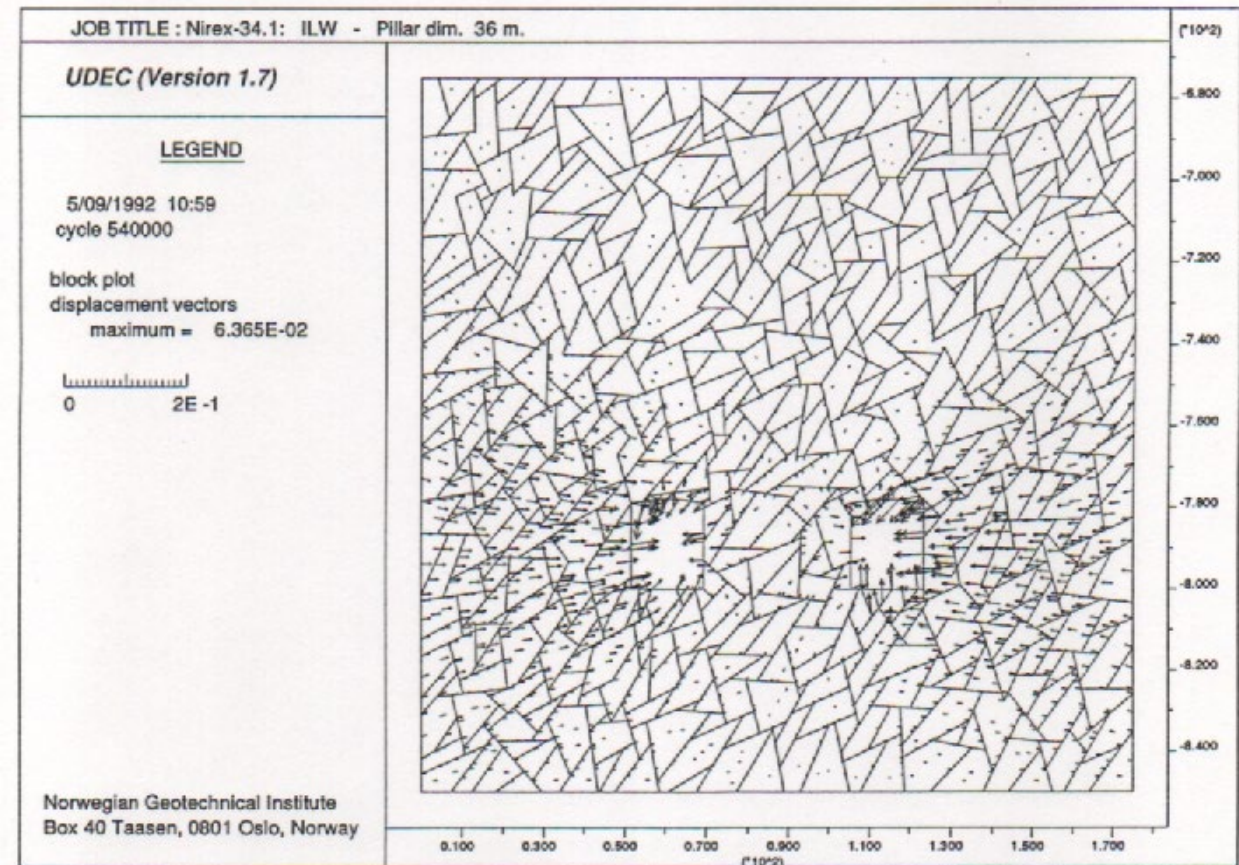
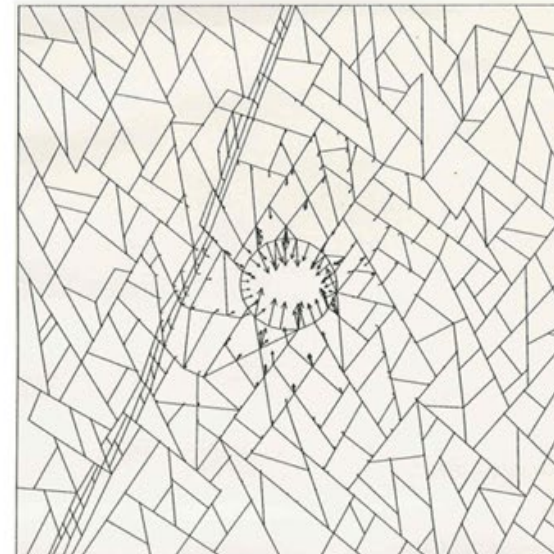
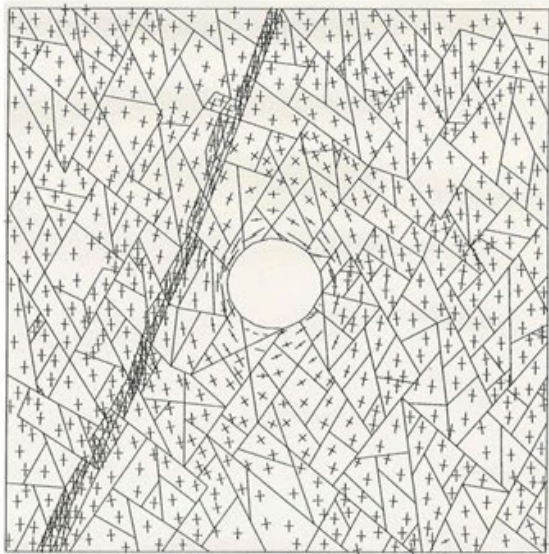
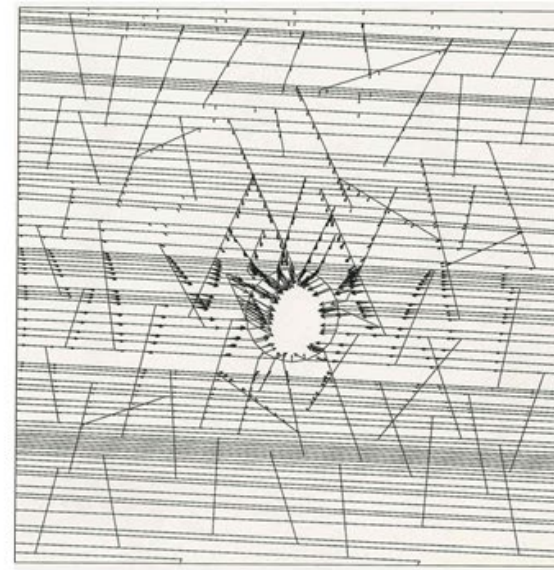
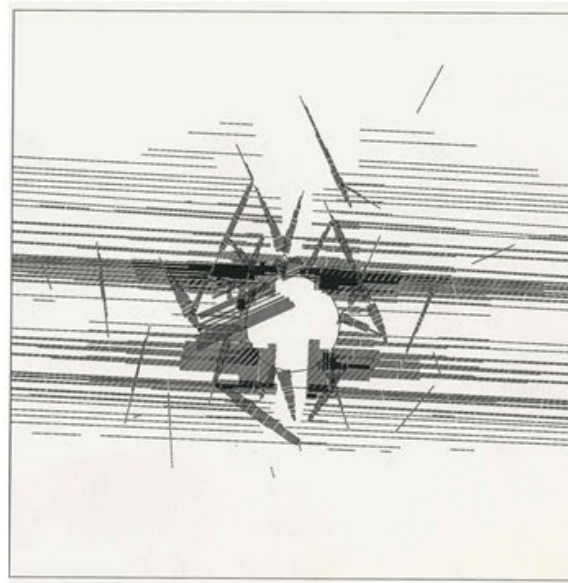
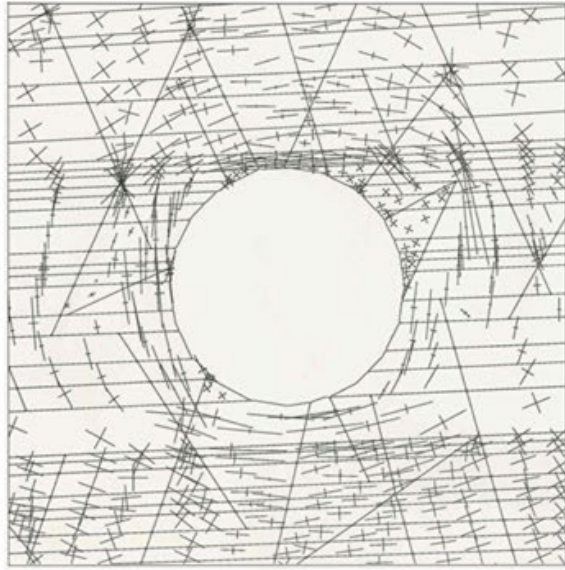


Figure 5.27 Principal stresses and displacements for unbolting ILW caverns with 36 m pillar (NGI 901038-34/1)



FINALLY:

SELECTED UDEC-BB
STUDIES OF 250M DEEP
AND 700M DEEP SECTIONS
OF A PLANNED TBM SPIRAL
ACCESS TUNNEL.

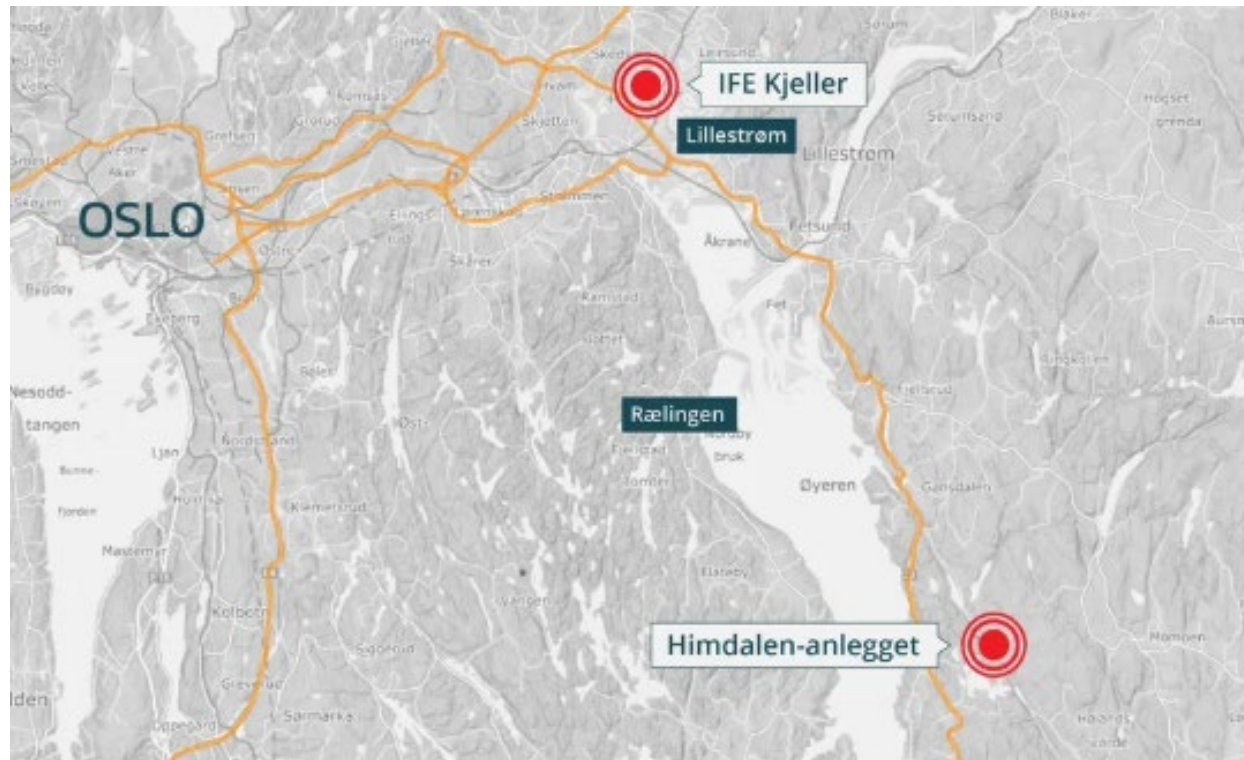
TOP: Interbedded St Bees
sandstones and siltstones.

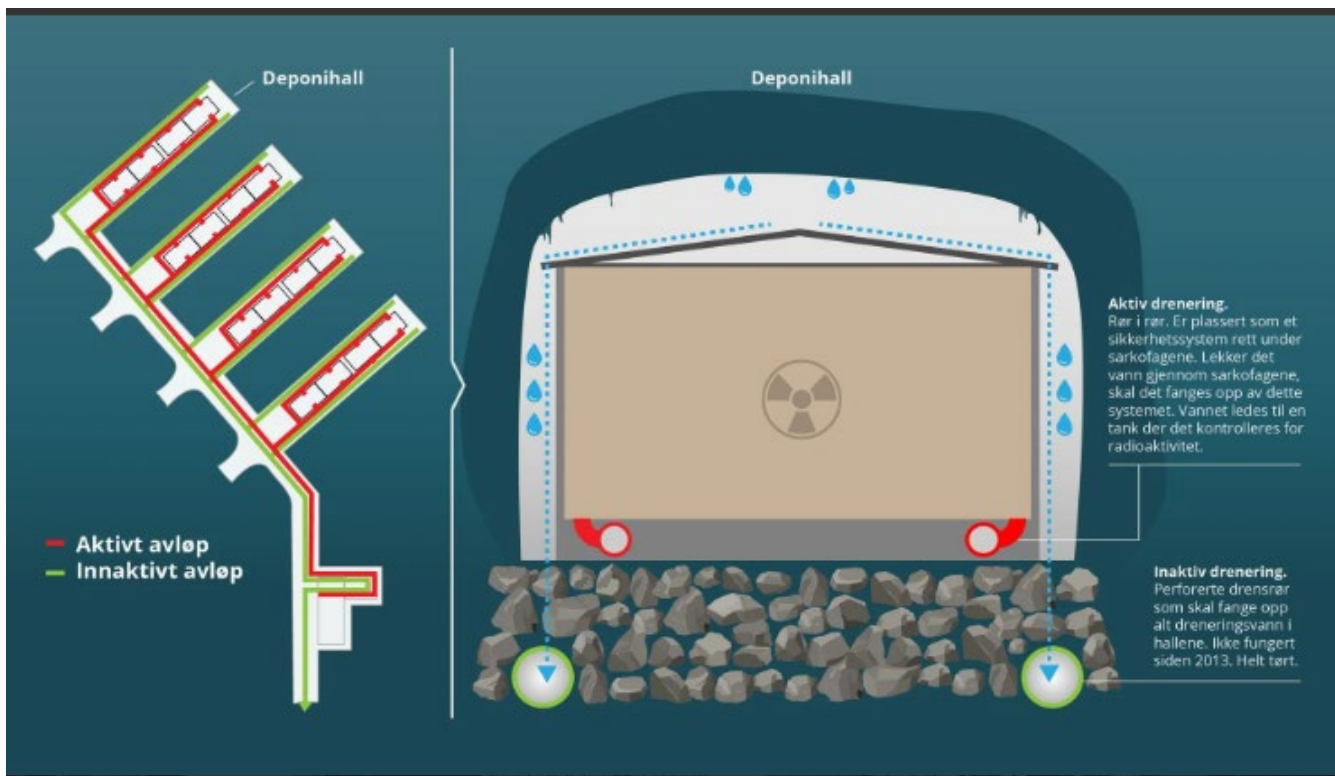
BOTTOM: Borrowdale welded
tuffs-ignimbrites.

PLANNED SELLAFIELD LLW/ILW
NUCLEAR WASTE REPOSITORY
ACCESS. NGI UK Nirex project
1990-1996.

10. Norwegian Himdalen ILW 'repository'.

(NB opposition: local support in public meeting, also requested advice to Shadow Minister in Parliament vs. Stoltenberg, at that time Norway's Environment Minister)





Leakage into river!
Discarded after 30
years. Many NB and
other's warnings!





SINGLE-SHELL NMT – Q-BASED SUPPORT SELECTION. PRE-INJECTED TUNNEL